

# On the irrationality of polynomial Cantor series

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## Abstract

In this paper we prove some general results which imply, for example, the irrationality of  $\sum_{N=1}^{\infty} \frac{[N^\alpha]}{N!}$  ( $\alpha \geq 0$ ),  $\sum_{N=1}^{\infty} \frac{[N \log^\beta N]}{N!}$  ( $\beta \in \mathbb{R}$ ) and  $\sum_{N=1}^{\infty} \frac{[N^\alpha \exp(\log^\beta N)]}{N!}$  ( $\alpha \geq 0, 0 < \beta < 1$ ). Moreover, we prove that such numbers are linearly independent over the rationals. The method of proof is an extension of the approach used in earlier works of the authors and Pingzhi Yuan.

## 1 Introduction

Let  $(b_n)_{n=1}^{\infty}$  be a sequence of integers. In the present paper we study the irrationality of  $R := \sum_{n=1}^{\infty} \frac{b_n}{n!}$  and, more generally, of  $R^* := \sum_{N=1}^{\infty} \frac{b_N}{\prod_{n=1}^N (an+b)}$  where  $a$  and  $b$  are given positive integers. In 1766 Lambert [11] proved the irrationality of  $e = 1 + \sum_{n=1}^{\infty} \frac{1}{n!}$ . In 1873 Hermite [10] established the transcendence of  $e$  which implies the irrationality of  $\sum_{n=1}^{\infty} \frac{m^n}{n!}$  for any nonzero integer  $m$ . In 1869 G. Cantor [2] showed that if  $0 \leq b_n < n$ , then  $R$  is irrational if and only if  $b_n > 0$  infinitely often and  $b_n < n - 1$  infinitely often. On the other hand, if  $\frac{b_n}{n-1}$  is constant for  $n$  larger than some  $n_0$ , then  $R \in \mathbb{Q}$ . This is an exceptional case in many results. Oppenheim [13] showed that both the condition on  $b_n > 0$  and the condition on  $b_n < n - 1$  can be relaxed. For example, it follows from his results that if  $|b_n| < n$  for every  $n$ , then  $R$  is rational if and only if  $\frac{b_n}{n-1}$  is ultimately a fixed integer. Thus if  $|b_n| < n - 1$  for every  $n$  and  $R \in \mathbb{Q}$ , then  $b_n$  is ultimately equal to 0. The results of Oppenheim were extended by the authors [8] who showed that if  $n \nmid b_n$  for all  $n$ ,  $b_n = o(n^2)$  and  $\liminf_{n \rightarrow \infty} \frac{|b_n|}{n} = 0$ , then  $R$  is irrational. They further proved that  $R$  is irrational if  $(b_n)_{n=1}^{\infty}$  is a monotonic sequence of positive integers such that  $b_n = O(n^2)$  and  $\gcd(b_n, n - 1) = o(b_n)$ . Tijdeman and Yuan [17] extended another result of Oppenheim by showing that  $R$  is irrational if  $b_n = O(n)$  and the sequence  $(\frac{b_n}{n})_{n=1}^{\infty}$  has an irrational limit point. See also Hančl [6] and [7].

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Erdős and Straus [5] started a series of results in which the size of the difference  $b_{n+1} - b_n$  is a relevant factor. They used such results to establish the irrationality of  $R$  in case  $(b_n)_{n=1}^\infty$  represents a multiplicative or other arithmetic function. It follows from their result that if  $b_n > 0$  for all  $n$ ,  $b_{n+1} - b_n = o(n)$  and  $\liminf_{n \rightarrow \infty} \frac{n}{b_n} = 0$ , then  $R$  is irrational. The authors [8] showed that the condition  $\liminf_{n \rightarrow \infty} \frac{n}{b_n} = 0$  can be replaced with the necessary condition that  $\frac{b_n}{n-1}$  is not ultimately constant. Tijdeman and Yuan [17] showed that, moreover, the condition  $b_n > 0$  for all  $n$  can be dropped: if  $b_{n+1} - b_n = o(n)$ , then  $R \in \mathbb{Q}$  if and only if  $\frac{b_n}{n-1}$  is ultimately a fixed integer. These results generalize Erdős' result [3] that  $\sum_{n=1}^\infty \frac{p_n}{n!} \notin \mathbb{Q}$ , where  $\{p_n\}_{n=1}^\infty$  is the sequence of consecutive prime numbers. In fact Erdős claimed the irrationality of  $\sum_{n=1}^\infty \frac{p_n^k}{n!} \notin \mathbb{Q}$  for  $k = 1, 2, \dots$ , but unfortunately he proved only the case  $k = 1$ . Oppenheim [13] showed that  $\sum_{n=1}^\infty \frac{\epsilon_n d_n}{n!}$ ,  $\sum_{n=1}^\infty \frac{\epsilon_n \sigma_n}{n!}$  and  $\sum_{n=1}^\infty \frac{\epsilon_n \phi_n}{n!}$  are irrational for all choices of  $\epsilon_n \in \{-1, 1\}$ , where  $d(n)$ ,  $\sigma(n)$ ,  $\phi(n)$  denote the number of divisors, the sums of divisors, and the Euler function of  $n$ , respectively. A special case was treated by Erdős and Kac [4]. Erdős and Straus [5] proved that the numbers  $1$ ,  $\sum_{n=1}^\infty \frac{\sigma_n}{n!}$ ,  $\sum_{n=1}^\infty \frac{\phi_n}{n!}$  and  $\sum_{n=1}^\infty \frac{b_n}{n!}$ , where  $|b_n| < n^{\frac{1}{2}-\epsilon}$  for all large  $n$  and  $b_n \neq 0$  infinitely often, are linearly independent over the rationals. Most of the mentioned results were stated in greater generality in the original papers than above.

Tijdeman and Yuan [17] started to compare second order differences (cf. the proof of their Theorem 4.3). In the present paper we pursue this idea by studying  $K$ -th order differences. For doing so we have to impose stronger regularity conditions on the numbers  $b_n$ . Nevertheless the results are valid for a wide class of sequences  $(b_n)_{n=1}^\infty$ . Corollary 3.1 precisely states for which polynomials  $P(x)$  with integer coefficients  $\sum_{n=1}^\infty \frac{P(n)}{n!}$  is rational. Section 3 further provides a method to establish the irrationality of a large class of numbers  $\sum_{N=1}^\infty \frac{f(N)}{\prod_{n=1}^N (an+b)}$  where  $f(N)$  is an integer-valued function satisfying  $f(N) = (aN + b)F(N) + O(1)$  and  $F$  is a smooth function which does not grow faster than a polynomial. In particular it yields the irrationality of the following numbers:

$$\sum_{n=1}^\infty \frac{[\gamma n^\alpha]}{n!} \text{ for } \alpha \in \mathbb{R}_+, \alpha \notin \mathbb{Z}, \gamma \in \mathbb{R}_+, \quad \sum_{n=1}^\infty \frac{[\log n]}{n!}, \quad \sum_{n=1}^\infty \frac{[\exp(\log^{\frac{1}{2}} n)]}{n!}.$$

In Section 4 the linear independence over the rationals of such numbers is treated. For example, linear independence is shown for the numbers

$$1, e \text{ and } \sum_{n=1}^\infty \frac{[n^\alpha]}{n!} \text{ for all } \alpha \in \mathbb{R}_+, \alpha \notin \mathbb{Z}.$$

The results remind us of the result by Loxton and van der Poorten [12] who proved by Mahler's method that  $\sum_{n=1}^\infty [n\alpha]\beta^n$  is transcendental for  $\alpha$  irrational and  $\beta$  algebraic with  $0 < |\beta| < 1$ .

## 2 An irrationality criterion for Polynomial Cantor sums

We consider sums of the form

$$S = \sum_{N=0}^{\infty} \frac{P(N)}{\prod_{n=0}^N Q(n)}$$

where  $P(x) \in \mathbb{Z}[x]$ ,  $Q(x) \in \mathbb{Z}[x]$ ,  $P \neq 0$ , the denominators do not vanish, and the sum converges. If  $Q$  is a constant then  $Q(n)$  is an integer  $a$  for all  $n$  and  $S = \sum_{N=0}^{\infty} \frac{P(N)}{a^{N+1}} \in \mathbb{Q}$  (cf. [16] Section 4.1). In the sequel we assume that  $Q$  is non-constant. If  $\deg P < \deg Q$ , then the irrationality of  $S$  is an immediate consequence of results of Oppenheim [13].

**Theorem 2.1** (Oppenheim) *Let  $P(x) \in \mathbb{Q}[x]$ ,  $Q(x) \in \mathbb{Z}[x]$ ,  $P, Q \neq 0$ ,  $\deg P < \deg Q$  such that  $Q(n) \neq 0$  for all positive integer  $n$ . Then*

$$S = \sum_{N=0}^{\infty} \frac{P(N)}{\prod_{n=0}^N Q(n)} \notin \mathbb{Q}.$$

*Proof.* Without loss of generality we may assume that  $P(x) \in \mathbb{Z}[x]$ . If the leading coefficient of  $Q$  is positive, the theorem follows immediately from Oppenheim [13], Theorem 4. If the leading coefficient of  $Q$  is negative, one can immediately apply Theorem 8 of [13].  $\square$

Suppose that  $\deg P \geq \deg Q$ . We write

$$P(x) = A_0(x) + A_1(x)Q(x) + A_2(x)Q(x)Q(x-1) + \cdots + A_t(x)Q(x)Q(x-1) \cdots Q(x-t) \quad (1)$$

with  $A_j(x) \in \mathbb{Q}[x]$ ,  $\deg(A_j) < \deg(Q)$  for  $j = 0, 1, \dots, t$  and  $A_t \neq 0$ . Let  $A$  be a common denominator of the coefficients of  $A_0, A_1, \dots, A_t$ . Hence

$$\begin{aligned} S &= \sum_{j=0}^t \sum_{N=0}^{\infty} \frac{A_j(N)Q(N) \cdots Q(N-j)}{\prod_{n=0}^N Q(n)} = \\ &= \sum_{j=0}^t \sum_{N=0}^{j-1} A_j(N)Q(-1) \cdots Q(N-j) + \sum_{j=0}^t \sum_{N=j}^{\infty} \frac{A_j(N)}{\prod_{n=0}^{N-j-1} Q(n)} = \\ &= \sum_{N=0}^t \sum_{j=N+1}^t A_j(N)Q(-1) \cdots Q(N-j) + \sum_{j=0}^t \sum_{N=0}^{\infty} \frac{A_j(N+j)}{\prod_{n=0}^{N-1} Q(n)}. \end{aligned}$$

We conclude that

$$S = \sum_{N=0}^t \sum_{j=1}^{t-N} A_{N+j}(N)Q(-1) \cdots Q(-j) + \sum_{N=0}^{\infty} \frac{1}{\prod_{n=0}^{N-1} Q(n)} \sum_{j=0}^t A_j(N+j). \quad (2)$$

The first term on the right-hand side is a rational number. To the second term on the right-hand side we can apply Theorem 2.1. In view  $\deg(\sum_{j=0}^t A_j(x+j)) < \deg(Q(x))$ , by Theorem 2.1,  $S \in \mathbb{Q}$  if and only if the polynomial  $\sum_{j=0}^t A_j(x+j)$  vanishes for all large integer values of  $x$ , i.e. is identically zero. This yields the following general result.

**Theorem 2.2** *Let  $P(x), Q(x) \in \mathbb{Z}[x]$ ,  $P \not\equiv 0$ ,  $Q$  non-constant, such that*

$$S = \sum_{N=0}^{\infty} \frac{P(N)}{\prod_{n=0}^N Q(n)}$$

*is a well-defined real number. Define  $t, A_0(x), \dots, A_t(x) \in \mathbb{Q}[x]$  by*

$$P(x) = A_0(x) + A_1(x)Q(x) + A_2(x)Q(x)Q(x-1) + \dots + A_t(x)Q(x)Q(x-1) \dots Q(x-t)$$

*with  $\deg(A_j) < \deg(Q)$  for  $j = 0, 1, \dots, t$  and  $A_t \not\equiv 0$ . Then*

$$S \in \mathbb{Q} \iff \sum_{j=0}^t A_j(x+j) \equiv 0. \quad (3)$$

*Moreover, if  $Q$  is monic and  $S \in \mathbb{Q}$ , then  $S \in \mathbb{Z}$ .*

**Remark 2.1** *Observe that if  $P(x)$  has rational coefficients, we can multiply  $P$  and  $S$  by the lowest common multiple of the coefficients of  $P$  to get a polynomial with integer coefficients and apply Theorem 2.2.*

**Remark 2.2** *If  $Q$  is linear, then all the polynomials  $A_j$  are rational constants and  $S \in \mathbb{Q} \iff \sum_{j=0}^t A_j = 0$ . It follows from a general theorem of Shidlovski [15] that if  $\sum_{j=0}^t A_j \neq 0$ , then  $S$  is transcendental.*

*Proof.* (of Theorem 2.2). The first statement has already been proved. If  $Q$  is monic, then  $A_0(x), \dots, A_t(x) \in \mathbb{Z}[x]$ . Hence  $A = 1$ . Using this we obtain, by (3),

$$S \in \mathbb{Q} \Rightarrow S \in \mathbb{Z} + \sum_{N=0}^{\infty} \frac{1}{\prod_{n=0}^{N-1} Q(n)} \sum_{j=0}^t A_j(N+j) = \mathbb{Z}$$

□

**Example 2.1** *Let  $P(x) = p_4x^4 + p_3x^3 + p_2x^2 + p_1x + p_0 \in \mathbb{Z}[x]$ ,  $Q(x) = q_2x^2 + q_1x + q_0 \in \mathbb{Z}[x]$  with  $q_2 \neq 0$ . Then  $A_2(x) = \frac{p_4}{q_2^2}$ ,*

$$A_1(x) = \frac{1}{q_2^3} \{ (p_3q_2^2 - 2p_4q_1q_2 + 2p_4q_2^2)x + (p_2q_2^2 - p_3q_1q_2 + p_4q_1^2 + p_4q_1q_2 - 2p_4q_0q_2 - p_4q_2^2) \},$$

$$A_0(x) = \frac{1}{q_2^3}(p_1q_2^3 - p_2q_1q_2^2 - p_3q_0q_2^2 + p_3q_1^2q_2 + 2p_4q_0q_1q_2 - p_4q_1^3)x + \frac{1}{q_2^3}(p_0q_2^3 - p_2q_0q_2^2 + p_3q_0q_1q_2 + p_4q_0^2q_2 - p_4q_0q_1^2).$$

Hence  $S \in \mathbb{Q}$  if and only if

$$p_1q_2^3 - p_2q_1q_2^2 - p_3q_0q_2^2 + p_3q_1^2q_2 + p_3q_2^2 + 2p_4q_0q_1q_2 - 2p_4q_1q_2 - p_4q_1^3 + 2p_4q_2^2 = 0$$

and

$$p_0q_2^3 - p_2q_0q_2^2 + p_2q_2^2 + p_3q_0q_1q_2 - p_3q_1q_2 + p_3q_2^2 + p_4q_0^2q_2 - p_4q_0q_1^2 - 2p_4q_0q_2 + p_4q_1^2 - p_4q_1q_2 + 2p_4q_2 + p_4q_2^2 = 0.$$

Moreover, according to the proof of Theorem 2.2, if  $S \in \mathbb{Q}$ , then  $q_2^3S \in \mathbb{Z}$ .

The special case  $p_4 = q_1 = 0$  has been treated in [16]. The even more special case  $p_4 = p_2 = p_1 = q_1 = 0$ ,  $p_3 \neq 0$  has been dealt with in [16]. As mentioned in [9] the condition there should be read as  $c = 1$  and  $b + ad = 0$ . In accordance with the above conditions.

**Remark 2.3** The case of an alternating sum  $\sum_{N=0}^{\infty} (-1)^N \frac{P(N)}{\prod_{n=0}^N Q(n)}$  can be reduced to the above sum by replacing  $Q$  by  $-Q$ .

### 3 Some sufficient conditions for irrationality

This section deals with sums of the type

$$S = \sum_{N=1}^{\infty} \frac{P(n)}{\prod_{n=1}^N Q(n)}$$

for  $P(x), Q(x) \in \mathbb{Q}[x]$ ,  $Q$  is non-constant such that  $P(n), Q(n) \in \mathbb{Z}$  and  $Q(n) \neq 0$  for all  $n \in \mathbb{N}$ . We use the convention that an empty product equals 1. We shall give sufficient conditions for the irrationality of  $S$ . The following theorem will be our starting point.

**Theorem 3.1** Let  $P(x) \in \mathbb{Q}[x]$ ,  $P \neq 0$ ,  $Q(x) \in \mathbb{Z}[x]$ ,  $Q$  is non-constant and  $Q(n) \neq 0$  for all positive integers  $n$ . Let  $\mathcal{R}$  be a class of polynomials with integral coefficients. Suppose that  $Q$  has the property that if  $R \in \mathcal{R}$ ,  $R \neq 0$  then there exist  $A(x), B(x) \in \mathbb{Q}[x]$  such that

$$R(x) = A(x)Q(x) + B(x),$$

$\deg(B) < \max(\deg(Q), \deg(R))$  and  $A(x+1) + B(x) \in \mathcal{R}$ ,  $A(x+1) + B(x) \neq 0$ . Then  $P \in \mathcal{R}$  implies that then  $S = \sum_{N=1}^{\infty} \frac{P(N)}{\prod_{n=1}^N Q(n)} \notin \mathbb{Q}$ .

*Proof.* If  $\deg(P) < \deg(Q)$ , then we can apply Theorem 2.1. (Here the supposition is irrelevant.) Suppose  $\deg(P) \geq \deg(Q)$ . By our assumption there exists  $A(x), B(x) \in \mathbb{Q}[x]$  such that

$$P(x) = A(x)Q(x) + B(x)$$

with  $\deg(B) < \max(\deg(Q), \deg(R))$  and  $A(x+1) + B(x) \in \mathcal{R}$ ,  $A(x+1) + B(x) \neq 0$ . Furthermore

$$S = \sum_{N=1}^{\infty} \frac{A(N)Q(N) + B(N)}{\prod_{n=1}^N Q(n)} = A(1) + \sum_{N=1}^{\infty} \frac{A(N+1) + B(N)}{\prod_{n=1}^N Q(n)}.$$

If  $\deg(A(x+1) + B(x)) < \deg(Q)$ , then we can apply Theorem 2.1 to conclude that  $S \notin \mathbb{Q}$  in view of  $A(x+1) + B(x) \neq 0$ . If  $\deg(A(x+1) + B(x)) \geq \deg(Q)$ , then we can apply the procedure to  $A(x+1) + B(x)$  in place of  $P(x)$ . After at most  $\deg(P)$  iterations we will arrive at a situation where we can apply Theorem 2.1 to conclude that  $S \notin \mathbb{Q}$ .  $\square$

**Example 3.1** Suppose that  $\mathcal{R}$  is a class of polynomials  $\sum_{i=0}^T r_i x^i \in \mathbb{Q}[x]$  with non-negative coefficients  $r_i$  and  $Q(x) = \sum_{i=0}^M c_i x^i \in \mathbb{Z}[x]$ ,  $c_M > 0$ ,  $c_i < 0$  for  $i = 0, 1, \dots, M-1$ . Then  $R(x) \in \mathcal{R}$ ,  $T \geq M$  implies

$$R(x) = \frac{r_T}{c_M} x^{T-M} Q(x) + \left( \sum_{i=0}^{T-1} r_i x^i + \frac{r_T}{c_M} (c_{M-1} x^{T-1} + c_{M-2} x^{T-2} + \dots + c_0 x^{T-M}) \right)$$

such that the resulting polynomials  $A(x), B(x)$  have non-negative coefficients. It follows that  $A(x+1) + B(x) \in \mathcal{R}$  and  $A(x+1) + B(x) \neq 0$ . If  $T < M$ , then we choose  $A = 0$ ,  $B = R$ . Hence by Theorem 3.1 if  $P(x) \in \mathcal{R}$ ,  $P \neq 0$ , then  $S \notin \mathbb{Q}$ .

**Example 3.2** Let  $\mathcal{R}$  be the class as in Example 3.1 and  $Q(x) = x^2 - x + 1$ . Then  $R(x) \in \mathcal{R}$ ,  $T \geq 2$  implies

$$R(x) = r_T x^{T-2} Q(x) + \sum_{i=0}^{T-1} r_i x^i + r_T (x^{T-1} - x^{T-2})$$

such that the resulting polynomial

$$A(x+1) + B(x) = r_T (x+1)^{T-2} + \sum_{i=0}^{T-1} r_i x^i + r_T (x^{T-1} - x^{T-2})$$

has non-negative coefficients and is non-trivial. Hence, by Theorem 3.1, if  $P(x) \in \mathcal{R}$ ,  $P \neq 0$ , then  $S \notin \mathbb{Q}$ .

**Example 3.3** Suppose that  $\mathcal{R}$  is the same class as in previous examples and  $Q(x) = x^2 + 1$ . Then, if  $\deg(R) \geq 4$ ,

$$R(x) = r_T(x^{T-2} - x^{T-4})(x^2 + 1) + \left( \sum_{i=0}^{T-1} r_i x^i + c_T x^{T-4} \right)$$

such that the resulting polynomial  $B(x)$  has non-negative coefficients and is non-trivial. Moreover  $A(x+1) = r_T((x+1)^{T-2} - (x+1)^{T-4})$  has also non-negative coefficients. It follows that  $A(x+1)+B(x) \in \mathcal{R}$  and the coefficient of  $x$  is positive. If  $2 \leq T < 4$ , then, putting  $r_3 = 0$  if  $T = 2$ ,

$$R(x) = (r_3 x + r_2)(x^2 + 1) + ((r_1 - r_3)x + (r_0 - r_2))$$

and

$$A(x+1) + B(x) = r_1 x + (r_0 + r_3)$$

which implies that  $A(x+1) + B(x) \in \mathcal{R}$ , but vanishes if and only if  $r_0 = r_1 = r_3 = 0$ . Finally if  $T < 2$ , then we choose again  $A = 0$ ,  $B = R$ . We conclude that if  $P \in \mathcal{R}$ ,  $P \neq 0$ , then  $S \notin \mathbb{Q}$ , unless  $T = 2$ ,  $r_1 = r_0 = 0$ . In this exceptional case we have

$$S = \sum_{N=1}^{\infty} \frac{N^2}{\prod_{n=1}^N (n^2 + 1)} = \sum_{N=1}^{\infty} \frac{1}{\prod_{n=1}^{N-1} (n^2 + 1)} - \sum_{N=1}^{\infty} \frac{1}{\prod_{n=1}^N (n^2 + 1)} = 1$$

which is rational.

We shall generalize these examples in the remainder of this section. For  $\mathcal{R}$  we shall take the class of polynomials  $\sum_{i=0}^T r_i x^i \in \mathbb{Q}[x]$  with non-negative coefficients  $r_i$ . Observe that by considering  $P(x+x_0)$  in place of  $P(x)$  for a suitable integer  $x_0 \geq 0$  we can always secure that the coefficients are non-negative. Of course we than have to consider

$$S = \sum_{N=1}^{\infty} \frac{P(N+x_0)}{\prod_{n=1}^N Q(n+x_0)}$$

so that  $Q$  is translated by the same  $x_0$ . In the first theorem we allow  $Q$  to be the product of polynomials from a certain class.

**Theorem 3.2** Let  $P(x), Q(x) \in \mathbb{Q}[x]$ ,  $P(x) = \sum_{i=0}^T a_i x^i$  with  $a_T > 0$  and  $a_i \geq 0$  for every  $i = 0, 1, \dots, T-1$ ,  $Q(x) = \prod_{m=1}^M C_m(x)$  with  $C_m(x) = \sum_{k=0}^{K_m} c_{m,k} x^k \in \mathbb{R}[x]$  for  $m = 1, 2, \dots, M$  such that  $c_{m,M_m} > 0$ , but  $c_{m,k} \leq 0$  for  $k = 0, 1, \dots, K_m - 1$ . Assume that  $Q(x)$  is non-constant and  $Q(n) \in \mathbb{Z}$ ,  $Q(n) \neq 0$  for every positive integer  $n$ . Then

$$S = \sum_{N=1}^{\infty} \frac{P(N)}{\prod_{n=1}^N Q(n)} \notin \mathbb{Q}.$$

*Proof.* If  $\deg P < \deg Q$ , then from Theorem 2.1 we conclude that  $S \notin \mathbb{Q}$  (even without using that  $Q$  is a special product).

Assume that  $\deg P \geq \deg Q$ . Then we obtain, by applying division with remainder,

$$\begin{aligned} P(x) &= A_1(x)C_1(x) + B_1(x), & \deg B_1 < \deg A_1 \\ A_1(x) &= A_2(x)C_2(x) + B_2(x), & \deg B_2 < \deg A_2 \\ &\vdots \\ A_{M-1}(x) &= A_M(x)C_M(x) + B_M(x), & \deg B_M < \deg A_M. \end{aligned}$$

Hence  $P(x) = A(x)Q(x) + B(x)$  with  $A(x) = A_1(x) \cdots A_M(x)$  and

$$B(x) = B_1(x) + B_2(x)C_1(x) + B_3(x)C_1(x)C_2(x) + \cdots + B_M(x)C_1(x) \cdots C_{M-1}(x).$$

So  $\deg(B) < K_1 + \cdots + K_M = \deg(Q)$ . Since  $P(x) \in \mathcal{R}$ , we find by induction on  $m$  that  $A_m(x)$  and  $B_m(x)$  have non-negative coefficients in view of

$$x^j = \frac{1}{c_{i,M_j}} x^{i-M_i} C_i(x) + \sum_{k=0}^{M_i-1} \frac{-c_{i,k}}{c_{i,M_j}} x^{i+k-M_i} \quad (i = 1, \dots, M_j, j = 1, 2, \dots)$$

which is repeatedly used and yields non-negative coefficients, and therefore  $A(x+1) + B(x)$  too. Thus  $A(x+1) + B(x) \in \mathcal{R}$ . Moreover, since  $A(x)$  is nontrivial,  $A(x+1) + B(x)$  is non-trivial too. As before

$$S = \sum_{N=1}^{\infty} \frac{A(N+1) + B(N)}{\prod_{n=1}^N Q(n)}.$$

We now repeat the above argument with  $P(x)$  replaced by  $A(x+1) + B(x)$  which has lower degree than  $P(x)$ . If  $\deg(A(x+1) + B(x)) < \deg(Q)$ , then we apply Theorem 2.1 to conclude  $S \notin \mathbb{Q}$ , otherwise we apply division with remainder. After at most  $\deg(P)$  iterations we apply Oppenheim's Theorem 2.1 to conclude  $S \notin \mathbb{Q}$ .  $\square$

**Example 3.4** For every positive integer  $k$  the number

$$\sum_{N=2}^{\infty} \frac{(N^2 + N + 1)^k}{\prod_{n=2}^N (n^3 - 2n^2 + 1)}$$

is irrational. Indeed we have  $(x^3 - 2x^2 + 1) = (x-1)(x^2 - x - 1)$ .

**Corollary 3.1** Let  $P(x), Q(x) \in \mathbb{Q}[x]$  and  $P(x) = \sum_{i=0}^T a_i x^i$ . Suppose that  $a_T > 0$  and  $a_i \geq 0$  for every  $i = 0, 1, \dots, T-1$ . Let all roots of the polynomial  $Q(x)$  are real, noninteger and non-negative. Assume that  $Q(x)$  is not constant and that  $Q(n) \in \mathbb{Z}$  and  $Q(n) \neq 0$  for all  $n \in \mathbb{N}$ . Then  $S = \sum_{N=1}^{\infty} \frac{P(N)}{\prod_{n=1}^N Q(n)} \notin \mathbb{Q}$ .



**Example 3.5** For every positive integer  $k$  the number

$$\sum_{N=1}^{\infty} \frac{N^k}{\prod_{n=1}^N (n^2 - 3n + 1)}$$

is irrational.

**Remark 3.1** The condition that the roots of  $Q$  are non-integral is only needed to guarantee that the terms of the series are well-defined.

**Theorem 3.3** Let  $d$ ,  $k$  and  $r$  be positive integers with  $rd \leq k$  and let  $P(x) = \sum_{i=0}^T a_i x^i \in \mathbb{Z}[x]$ . Assume that  $a_T > 0$  and  $a_i \geq 0$  for  $i = 0, 1, \dots, T-1$ . If

$$S = \sum_{N=1}^{\infty} \frac{P(N)}{\prod_{n=1}^N (n^k + n^{k-d} + \dots + n^{k-rd})} \in \mathbb{Q}$$

then  $k = rd$ . If  $k = rd$  and  $d > 1$  then  $S \in \mathbb{Q}$  if and only if  $P(x) = c(x^k + x^{k-d} + \dots + x^d)$  where  $c$  is a fixed positive integer.

*Proof.* Step 1. The case  $T \geq k + d$ . We write  $Q(x) = x^k + x^{k-d} + \dots + x^{k-rd}$  and

$$P(N) = \sum_{i=0}^{k+d-1} a_i N^i + \sum_{i=k+d}^T a_i N^i =$$

$$\sum_{i=0}^{k+d-1} a_i N^i + \sum_{i=k+d}^T a_i \{(N^{i-k} - N^{i-k-d})(N^k + N^{k-d} + \dots + N^{k-rd}) + N^{i-(r+1)d}\} =$$

$$D(N-1)(N^k + N^{k-d} + \dots + N^{k-rd}) + E(N)$$

where

$$D(x-1) = \sum_{i=k+d}^T a_i x^{i-k-d} (x^d - 1)$$

and

$$E(x) = \sum_{i=0}^{k+d-1} a_i x^i + \sum_{i=k+d}^T x^{i-(r+1)d}.$$

Hence

$$D(x) = \sum_{i=k+d}^T a_i (x+1)^{i-k-d} \sum_{j=1}^d \binom{d}{j} x^j.$$

Since  $a_T > 0$ ,  $a_i \geq 0$  for  $i \geq 0$ , the coefficient of  $x$  of  $D(x)$  is positive. Furthermore

$$S = \sum_{N=0}^{\infty} \frac{P(N)}{\prod_{n=0}^N Q(n)} = \sum_{N=0}^{\infty} \left( \frac{D(N-1)}{\prod_{n=0}^{N-1} Q(n)} + \frac{E(N)}{\prod_{n=0}^N Q(n)} \right) = \sum_{N=0}^{\infty} \frac{D(N) + E(N)}{\prod_{n=0}^N Q(n)}.$$

The degree of  $D(x) + E(x) = P^*(x)$  is at most  $T^* \leq \max(T - k, k + d - 1, T - (r + 1)d)$ , its coefficients are non-negative integers and the coefficient of  $x$  of  $P^*(x)$  is positive. We may iterate this procedure with  $P^*$  in place of  $P$  and continue until  $\deg(P^*) < k + d$ . From now we assume that the conditions of the Theorem 3.3 are satisfied with  $T < k + d$  and remember that  $a_1 > 0$  if we have applied Step 1.

Step 2: The case  $T < k + d$ . If  $T < k$ , then it follows immediately from Oppenheim's theorem that  $S \in \mathbb{Q}$  in view of  $a_T > 0$  if we have not applied Step 1 and otherwise  $a_1 > 0$ . Therefore we suppose  $k \leq T < k + d$ . We write

$$P(N) = \sum_{i=0}^{k-1} a_i N^i + \sum_{i=k}^T a_i N^i =$$

$$\sum_{i=0}^{k-1} a_i N^i + \sum_{i=k}^T a_i N^{i-k} (N^k + N^{k-d} + \dots + N^{k-rd}) - \sum_{i=k}^T a_i (N^{i-d} + \dots + N^{i-rd}) =$$

$$D(N-1)(N^k + N^{k-d} + \dots + N^{k-rd}) + E(N)$$

where

$$D(x-1) = \sum_{i=k}^T a_i x^{i-k}$$

and

$$E(x) = \sum_{i=0}^{k-1} a_i x^i - \sum_{i=k}^T a_i (x^{i-d} + \dots + x^{i-rd}).$$

Hence

$$D(x) = \sum_{i=k}^T a_i (x+1)^{i-k}$$

has positive coefficients in view of  $a_T > 0$ ,  $a_i \geq 0$  for  $i = k, \dots, T$ , and

$$S = \sum_{N=0}^{\infty} \frac{D(N) + E(N)}{\prod_{n=0}^N Q(n)}$$

where  $P^*(x) = D(x) + E(x)$  has integral coefficients and degree  $< k$ . We distinguish between two cases.

Case 2A. Let  $k > rd$ . Then we have  $P^*(0) = \sum_{i=k}^T a_i i^{i-k} + a_0 \geq a_T > 0$  so that  $P^*$  is non-trivial. By Oppenheim's theorem [13] we obtain that  $S \notin \mathbb{Q}$ .

Case 2B. Let  $k = rd$  and  $d > 1$ . Then we have  $P^*(0) = \sum_{i=k}^T a_i i^{i-k} + a_0 - a_k = \sum_{i=k+1}^T a_i i^{i-k} + a_0$  and this is positive if  $T > k$ . Thus  $S \notin \mathbb{Q}$  if  $T > k$  by Oppenheim's theorem. Suppose  $T = k$ . Then the coefficient of  $x$  of  $P^*(x)$  equals  $a_1$  because of  $T = k = rd$  and  $d > 1$ . If  $a_1 > 0$ , then we know that  $S \notin \mathbb{Q}$  by Oppenheim's theorem. This is certainly the case if we have applied Step 1. We

conclude that  $a_1 = 0$  is only possible if the original  $T$  equals  $k$ . Then  $D(x) = a_k$  and  $E(x) = \sum_{i=0}^{k-1} a_i x^i - a_k(x^{k-d} + x^{k-2d} + \dots + 1)$ . According to Oppenheim's theorem  $S \in \mathbb{Q}$  if and only if  $D(x) + E(x) \equiv 0$ . Hence  $S \in \mathbb{Q}$  if and only if

$$\begin{aligned} P(x) &= \sum_{i=0}^k a_i x^i = a_k x^k + a_k(x^{k-d} + x^{k-2d} + \dots + 1) - a_k \\ &= a_k(x^k + x^{k-d} + \dots + x^d). \end{aligned}$$

This completes the proof of the theorem. □

**Example 3.6** Let  $T, k \in \mathbb{N}$ . Then

$$\sum_{N=1}^{\infty} \frac{N^T}{\prod_{n=1}^N (n^k + n^{k-1} + \dots + n)} \notin \mathbb{Q}.$$

**Remark 3.2** The following example shows that we cannot omit the condition  $d > 1$  if  $k = rd$  in Theorem 3.3.

$$\begin{aligned} &\sum_{N=1}^{\infty} \frac{N^5 + 2N^3 + N^2}{\prod_{n=1}^N (n^3 + n^2 + n + 1)} = \\ &\sum_{N=1}^{\infty} \frac{(N^2 - N + 2)(N^3 + N^2 + N + 1) - N^2 - N - 2}{\prod_{n=1}^N (n^3 + n^2 + n + 1)} = \\ &\sum_{N=1}^{\infty} \left( \frac{N^2 - N + 2}{\prod_{n=1}^{N-1} (n^3 + n^2 + n + 1)} - \frac{N^2 + N + 2}{\prod_{n=1}^N (n^3 + n^2 + n + 1)} \right) = 1 \in \mathbb{Q}. \end{aligned}$$

**Theorem 3.4** Let  $Q(x) \in \mathbb{Q}[x]$  and  $P(x) = \sum_{i=0}^T a_i x^i \in \mathbb{R}[x]$ . Suppose that  $Q$  is not constant, that  $Q(n) \in \mathbb{Z}$  and  $Q(n) \neq 0$  for all  $n \in \mathbb{N}$ . Let  $f : \mathbb{N} \rightarrow \mathbb{Z}$  be a sequence such that  $f(N) = Q(N)P(N) + O(\frac{Q(N)}{N})$  as  $N \rightarrow \infty$ . Suppose  $\sum_{N=1}^{\infty} \frac{f(N)}{\prod_{n=1}^N Q(n)} \in \mathbb{Q}$ . Then  $a_T, a_{T-1}, \dots, a_1, a_0 \in \mathbb{Q}$ .

*Proof.* Suppose  $\sum_{N=1}^{\infty} \frac{f(N)}{\prod_{n=1}^N Q(n)} = \frac{p}{q}$  where  $p$  and  $q > 0$  are coprime integers. Let  $U$  be the largest index  $i$  with  $a_i \notin \mathbb{Q}$ . Let  $d$  be a common denominator of  $a_{U+1}, \dots, a_T$ . Put  $P_1(x) = \sum_{i=0}^U a_i x^i$  and  $P_2(x) = Q(x) \sum_{i=U+1}^T a_i x^i$ . Then we have, by Lemma 6.5,

$$\begin{aligned} dp &= dq \sum_{N=1}^{\infty} \frac{f(N)}{\prod_{n=1}^N Q(n)} = \\ &q \sum_{N=1}^{\infty} \frac{d(f(N) - P_2(N) + P_2(N))}{\prod_{n=1}^N Q(n)} = \end{aligned}$$

$$qdQ_1 + q \sum_{N=1}^{\infty} \frac{d(f(N) - P_2(N)) + dQ_2Q_3(N)}{\prod_{n=1}^N Q(n)}$$

where  $Q_1, Q_2 \in \mathbb{Q}$ ,  $Q_3(x) \in \mathbb{Q}[x]$  correspond to  $P_2$  according to Lemma 6.5. From this and Lemma 6.1 we obtain that for every positive integer  $N$  the number

$$R_N^* := qd \sum_{s=0}^{\infty} \frac{f(N+s) - P_2(N+s) + Q_2Q_3(N+s)}{\prod_{n=N}^{N+s} Q(n)}$$

is an integer. From the definition of  $P_1, P_2$  and the assumption on  $f$  it follows that

$$R_N^* = qd \sum_{s=0}^{\infty} \frac{P_1(N+s)}{\prod_{n=N}^{N+s-1} Q(n)} + O\left(\frac{1}{N}\right).$$

This combined with Lemma 6.4 applied to  $H(X) = qdP_1(X+j)$  implies that the number

$$\begin{aligned} R_U^*(N) &:= \sum_{j=0}^U (-1)^j \binom{U}{j} R_{N+j}^* = (-1)^U qdP_1^{(U)}(N) + O\left(\frac{1}{N}\right) = \\ &(-1)^U qU!da_U + O\left(\frac{1}{N}\right) \end{aligned}$$

is an integer. This is a contradiction for a sufficiently large number  $N$ .  $\square$

**Theorem 3.5** *Let  $d, k$  and  $r$  be positive integers with  $rd \leq k$  and let  $P(x) = \sum_{i=0}^T a_i x^i \in \mathbb{Z}[x]$ . Assume that  $a_T > 0$  and  $a_i \geq 0$  for  $i = 0, 1, \dots, T-1$ . If*

$$S = \sum_{N=2}^{\infty} \frac{P(N)}{\prod_{n=1}^N (n^k - n^{k-d} + \dots + (-1)^r n^{k-rd})} \in \mathbb{Q}$$

*then  $k = rd$ . If  $k = rd$  and  $d > 1$  then  $S \in \mathbb{Q}$  if and only if  $P(x) = c(x^k - x^{k-d} + \dots + x^d)$  where  $c$  is a fixed positive integer.*

*Proof.* Let  $\deg(P) < k$ . Then we apply Oppenheim's Theorem 2.1 to conclude  $S \notin \mathbb{Q}$ . Assume that  $\deg(P) \geq k$ . Now the proof falls into two cases.

1. Suppose that  $r$  is odd. If  $i \geq k+d$  then we can write

$$\begin{aligned} N^i &= N^{i-k-d}(N^{k+d} - N^{k-rd}) + N^{i-(r+1)d} = \\ &N^{i-k-d}(N^d + 1)(N^k - N^{k-d} + \dots - N^{k-rd}) + N^{i-(r+1)d}. \end{aligned}$$

Thus

$$P(x) = A(x)(x^k - x^{k-d} + \dots + (-1)^r x^{k-rd}) + B(x)$$

where  $A(x), B(x) \in Z[x]$ ,  $\deg(B) < k + d$ ,  $\deg(A) \leq \deg(P) - k$ ,  $A(x)B(x) \not\equiv 0$  and the polynomials  $A(x)$ ,  $B(x)$  have non-negative coefficients. Hence

$$\begin{aligned} S &= \sum_{N=2}^{\infty} \frac{P(N)}{\prod_{n=1}^N (n^k - n^{k-d} + \dots + (-1)^r n^{k-rd})} = \\ &= \sum_{N=2}^{\infty} \frac{A(N)(N^k - N^{k-d} + \dots + (-1)^r N^{k-rd}) + B(N)}{\prod_{n=1}^N (n^k - n^{k-d} + \dots + (-1)^r n^{k-rd})} = \\ &= q + \sum_{N=2}^{\infty} \frac{A(N+1) + B(N)}{\prod_{n=1}^N (n^k - n^{k-d} + \dots + (-1)^r n^{k-rd})} \end{aligned}$$

where  $q$  is the suitable rational number. By induction we repeat this procedure. Therefore we obtain

$$S = q^* + \sum_{N=2}^{\infty} \frac{P^*(N)}{\prod_{n=1}^N (n^k - n^{k-d} + \dots + (-1)^r n^{k-rd})}$$

where  $P(x) \in Z[x]$ ,  $P^* \not\equiv 0$ ,  $\deg(P) < k + d$  and all coefficient of  $P$  are non-negative. If  $k \leq i < k + d$  then we can write

$$N^i = N^{i-k}(N^k - N^{k-d} + N^{k-2d} - \dots - N^{k-rd}) + N^{i-d} - N^{i-2d} + N^{i-3d} + \dots + N^{i-kd}.$$

Thus

$$P^*(x) = A^*(x)(x^k - x^{k-d} + \dots + (-1)^r x^{k-rd}) + B^*(x)$$

where  $A^*(x), B^*(x) \in Z[x]$ ,  $\deg(B^*) < k$ ,  $\deg(A^*) \leq d$ ,  $A^*(x)B^*(x) \not\equiv 0$ , the polynomial  $A^*(x)$  has non-negative coefficients and the coefficient of the lowest power of polynomial  $B^*(x)$  is positive. This implies that  $A^*(x+1) + B^*(x) \not\equiv 0$ . Now we can write

$$\begin{aligned} S &= q^* + \sum_{N=2}^{\infty} \frac{P^*(N)}{\prod_{n=1}^N (n^k - n^{k-d} + \dots + (-1)^r n^{k-rd})} = \\ &= \sum_{N=2}^{\infty} \frac{A^*(N)(N^k - N^{k-d} + \dots + (-1)^r N^{k-rd}) + B^*(N)}{\prod_{n=1}^N (n^k - n^{k-d} + \dots + (-1)^r n^{k-rd})} = \\ &= q^{**} + \sum_{N=2}^{\infty} \frac{A^*(N+1) + B^*(N)}{\prod_{n=1}^N (n^k - n^{k-d} + \dots + (-1)^r n^{k-rd})} \end{aligned}$$

where  $q^{**}$  is the suitable rational number. Note that  $A^*(N+1) + B^*(N) \not\equiv 0$  and  $\deg(A^*(N+1) + B^*(N)) < k$ . Then we apply Oppenheim's Theorem 2.1 to conclude  $S \notin \mathbb{Q}$ .

1. Suppose that  $r$  is even.

□

## 4 Main criterion for the irrationality

**Theorem 4.1** *Let  $K \geq 0$  be an integer,  $Q(x) \in \mathbb{Q}[x]$ ,  $Q(x)$  is non-constant and  $Q(n) \neq 0$ ,  $Q(n) \in \mathbb{Z}$  for every  $n \in \mathbb{N}$ . Let  $F : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  be a function such that*

$$F(N+j) = \sum_{r=0}^K \frac{F^{(r)}(N)}{r!} j^r + O\left(\frac{F(N)}{N^{K+1}}\right) \quad \text{for } j = 0, 1, \dots, K \text{ as } N \rightarrow \infty, \quad (4)$$

$$F^{(r)}(N) = O\left(\frac{F(N)}{N^r}\right) \quad \text{for } r = 0, 1, \dots, K \text{ as } N \rightarrow \infty, \quad (5)$$

$$\lim_{N \rightarrow \infty} F^{(K)}(N) = 0, \quad \lim_{N \rightarrow \infty} \frac{N^{K+1} |F^{(K)}(N)|}{F(N)} = \infty \quad (6)$$

and

$$\limsup_{N \rightarrow \infty} N |F^{(K)}(N)| = \infty. \quad (7)$$

Let  $f : \mathbb{N} \rightarrow \mathbb{Z}$  be a sequence such that  $R^* := \sum_{N=1}^{\infty} \frac{f(N)}{\prod_{n=1}^N Q(n)}$  is absolutely convergent and  $f(N) = Q(N)F(N) + O\left(\frac{Q(N)}{N}\right)$  as  $N \rightarrow \infty$ . Then  $R^*$  is irrational.

*Proof.* Let  $N$  be sufficiently large. Suppose  $R^* = \frac{p}{q}$  where  $p$  and  $q > 0$  are coprime integers. Put

$$R_K^*(N) = \sum_{j=0}^K (-1)^j \binom{K}{j} \sum_{s=0}^{\infty} \frac{f(N+j+s)}{\prod_{n=N+j}^{N+j+s} Q(n)}.$$

By Lemma 6.1,  $qR_K^*(N)$  is an integer for every positive integer  $N$ . We have, by Lemma 6.4,

$$\begin{aligned} R_K^*(N) &= \sum_{j=0}^K (-1)^j \binom{K}{j} \sum_{s=0}^{\infty} \frac{F(N+j+s)}{\prod_{n=N+j}^{N+j+s-1} Q(n)} + O\left(\frac{1}{N}\right) = \\ &= (-1)^K F^{(K)}(N) + O\left(\frac{F(N)}{N^{K+1}} + \frac{1}{N}\right) = \\ &= (-1)^K F^{(K)}(N) \left(1 + O\left(\frac{F(N)}{N^{K+1} |F^{(K)}(N)|}\right)\right) + O\left(\frac{1}{N}\right). \end{aligned}$$

By (6) the right-hand side tends to 0 as  $N \rightarrow \infty$ . Since  $qR_K^*(N)$  is an integer, we infer that  $R_K^*(N) = 0$  for  $N \geq N_0$ . It follows that  $0 = (1 + o(1))NF^{(K)}(N) + O(1)$  for  $N \geq N_0$  which contradicts (7).  $\square$

**Corollary 4.1** *Let  $Q(x) \in \mathbb{Q}[x]$ ,  $Q(x)$  is non-constant,  $Q(n) \neq 0$  and  $Q(n) \in \mathbb{Z}$  for every  $n \in \mathbb{N}$ . Let  $\alpha \in \mathbb{R}_{\geq 0} \setminus \mathbb{Z}$ ,  $\gamma \in \mathbb{R}_{\geq 0}$ . If  $\sum_{N=1}^{\infty} \frac{[\gamma N^\alpha]}{\prod_{n=1}^N Q(n)} \in \mathbb{Q}$ , then  $\alpha = 0$  and  $[\gamma] = 0$ .*

*Proof.* Apply Theorem 4.1 with  $K = [\alpha]$ ,  $f(N) = [\gamma N^\alpha]$ ,  $F(N) = \gamma N^{\alpha-1}$ .  $\square$

**Remark** It is remarkable that Corollary 4.1 holds for all  $\alpha \geq 0$  and  $\gamma > 0$  so that  $\sum_{N=1}^{\infty} \frac{[\gamma N^\alpha]}{N!}$  is a strictly monotonic function of  $\alpha$  and  $\gamma$  missing all rational values.

**Corollary 4.2** *Let  $Q(x) \in \mathbb{Q}[x]$ ,  $Q(x)$  is non-constant,  $Q(n) \neq 0$  and  $Q(n) \in \mathbb{Z}$  for every  $n \in \mathbb{N}$ . Let  $\alpha \in \mathbb{R}_{\geq 0} \setminus \mathbb{Z}$ ,  $\gamma \in \mathbb{R}_+$ . Then  $\sum_{N=1}^{\infty} \frac{[\gamma N^\alpha \log N]}{\prod_{n=1}^N Q(n)} \notin \mathbb{Q}$ .*

*Proof.* Apply Theorem 4.1 with  $K = [\alpha]$ ,  $f(N) = [\gamma N^\alpha \log N]$ ,  $F(N) = \gamma N^{\alpha-1} \log N$ .  $\square$

**Theorem 4.2** *Let  $K \geq 0$  be an integer,  $Q(x) \in \mathbb{Q}[x]$ ,  $Q(x)$  is non-constant,  $Q(n) \neq 0$  and  $Q(n) \in \mathbb{Z}$  for every  $n \in \mathbb{N}$ . Let  $F : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  be a function such that*

$$F(N+x) = \sum_{r=0}^{\infty} \frac{F^{(r)}(N)}{r!} x^r \quad \text{for } x = o(N) \text{ as } N \rightarrow \infty, \quad (8)$$

$$F^{(r)}(N) = O\left(r! \frac{F(N)}{N^r}\right) \quad \text{uniformly for } r = 0, 1, \dots \text{ as } N \rightarrow \infty, \quad (9)$$

$$\lim_{x \rightarrow \infty} F^{(K)}(x) = 0, \quad \lim_{x \rightarrow \infty} \frac{x^{K+1} |F^{(K)}(x)|}{F(x)} = \infty \quad (10)$$

and

$$\lim_{x \rightarrow \infty} x^2 |F^{(K)}(x)| = \infty. \quad (11)$$

Let  $f : \mathbb{N} \rightarrow \mathbb{Z}$  be a sequence such that  $R^* := \sum_{N=1}^{\infty} \frac{f(N)}{\prod_{n=1}^N Q(n)}$  is absolutely convergent and  $f(N) = Q(N)F(N) + O\left(\frac{Q(N)}{N}\right)$  as  $N \rightarrow \infty$ . Then  $R^*$  is irrational.

*Proof.* Let  $N$  be sufficiently large. Suppose  $R^* = \frac{p}{q}$  where  $p$  and  $q > 0$  are coprime integers. Conditions (8) and (9) imply conditions (4) and (5). Hence, by Theorem 4.1, we may assume without loss of generality that

$$NF^{(K)}(N) = O(1). \quad (12)$$

Observe that by (9) and (8), for  $x = o(N)$ ,

$$F(N+x) \leq \sum_{r=0}^{\infty} |F^{(r)}(N)| \frac{x^r}{r!} = O\left(F(N) \sum_{r=0}^{\infty} \left(\frac{x}{N}\right)^r\right) = O(F(N)). \quad (13)$$

Put

$$R_{K-1}^*(N) = \sum_{j=0}^{K-1} (-1)^j \binom{K-1}{j} \sum_{s=0}^{\infty} \frac{f(N+j+s)}{\prod_{n=N+j}^{N+j+s} Q(n)}.$$

By Lemma 6.1,  $qR_{K-1}^*(N)$  is an integer for every positive integer  $N$ . We have, by Lemma 6.4,

$$\begin{aligned} R_{K-1}^*(N) &= \sum_{j=0}^{K-1} (-1)^j \binom{K-1}{j} \sum_{s=0}^{\infty} \frac{F(N+j+s)}{\prod_{n=N+j}^{N+j+s-1} Q(n)} + O\left(\frac{1}{N}\right) = \\ &(-1)^{K-1} F^{(K-1)}(N) + O\left(\frac{F(N)}{N^K} + \frac{1}{N}\right). \end{aligned} \quad (14)$$

Put

$$t = 1 + \left[ \frac{N}{\min_{x \in [N, 2N]} (x^2 |F^{(K)}(x)|)^{\frac{1}{2}}} + \frac{N}{\min_{x \in [N, 2N]} \left(\frac{x^{K+1} |F^{(K)}(x)|}{F(x)}\right)^{\frac{1}{2}}} \right]. \quad (15)$$

It follows from (11) and (10) that  $t = o(N)$  as  $N \rightarrow \infty$ . Hence, by (13), similar to (14),

$$qR_{K-1}^*(N+t) = (-1)^{K-1} qF^{(K-1)}(N+t) + O\left(\frac{F(N)}{N^K} + \frac{1}{N}\right) \quad (16)$$

is an integer. We apply the Mean Value Theorem to (16) and (14). Hence there exists a real number  $\tau$  with  $0 < \tau < t$  such that

$$M(N) := qR_{K-1}^*(N+t) - qR_{K-1}^*(N) = (-1)^{K-1} qtF^{(K)}(N+\tau) + O\left(\frac{F(N)}{N^K} + \frac{1}{N}\right)$$

is an integer. It follows from (15), (13) and (10) that, for some positive constant  $c$ ,

$$\begin{aligned} \frac{tN^K |F^{(K)}(N+\tau)|}{F(N)} &\geq \frac{N^{K+1} |F^{(K)}(N+\tau)|}{F(N) \min_{x \in [N, 2N]} \left(\frac{x^{K+1} |F^{(K)}(x)|}{F(x)}\right)^{\frac{1}{2}}} \\ &\geq c \left( \frac{(N+\tau)^{K+1} |F^{(K)}(N+\tau)|}{F(N+\tau)} \right)^{\frac{1}{2}} \rightarrow \infty \text{ as } N \rightarrow \infty. \end{aligned}$$

Hence, by (12) and  $t = o(N)$ ,

$$M(N) = (-1)^{K-1} (1 + o(1)) qtF^{(K)}(N+\tau) + O\left(\frac{1}{N}\right) \rightarrow 0. \quad (17)$$

Thus, since  $M(N)$  represents an integer,  $M(N) = 0$  for  $N \geq N_1$ . It follows from (17), (15) and (13) that

$$\begin{aligned} 0 &= Nt |F^{(K)}(N+\tau)| + O(1) \geq \\ &\frac{N^2 |F^{(K)}(N+\tau)|}{\min_{x \in [N, 2N]} (x^2 |F^{(K)}(x)|)^{\frac{1}{2}}} + O(1) \geq ((N+\tau)^2 |F^{(K)}(N+\tau)|)^{\frac{1}{2}} + O(1) \end{aligned}$$

which contradicts (11). □



**Corollary 4.3** Let  $Q(x) \in \mathbb{Q}[x]$ ,  $Q(x)$  is non-constant,  $Q(n) \neq 0$  and  $Q(n) \in \mathbb{N}$  for every  $n \in \mathbb{N}$ . Let  $\alpha \in \mathbb{R}_{\geq 0}, \beta \in \mathbb{R}, \beta \neq 0, \gamma \in \mathbb{R}_+$ . Suppose  $\beta > 0$  whenever  $\alpha = 0$ . Then  $\sum_{N=1}^{\infty} \frac{[\gamma N^{\alpha} \log^{\beta} N]}{\prod_{n=1}^N Q(n)} \notin \mathbb{Q}$ .

*Proof.* Apply Theorem 4.2 with  $K = [\alpha]$  if  $\alpha \notin \mathbb{Z}$  or  $\beta > 0$ , and  $K = \alpha - 1$  otherwise,  $f(N) = [\gamma N^{\alpha} \log^{\beta} N], F(N) = \gamma N^{\alpha-1} \log^{\beta} N$ .  $\square$

**Corollary 4.4** Let  $Q(x) \in \mathbb{Q}[x]$ ,  $Q(x)$  is non-constant,  $Q(n) \neq 0$  and  $Q(n) \in \mathbb{N}$  for every  $n \in \mathbb{N}$ . Let  $\alpha \in \mathbb{R}_{\geq 0}, 0 < \beta < 1, \gamma \in \mathbb{R}_+$ . Then  $\sum_{N=1}^{\infty} \frac{[\gamma N^{\alpha} \exp(\log^{\beta} N)]}{\prod_{n=1}^N Q(n)} \notin \mathbb{Q}$ .

*Proof.* Apply Theorem 4.2 with  $K = [\alpha], f(N) = [\gamma N^{\alpha} \exp(\log^{\beta} N)], F(N) = \gamma N^{\alpha-1} \exp(\log^{\beta} N)$ .  $\square$

## 5 Linear independence

**Theorem 5.1** Let  $P(x) \in \mathbb{Z}[x], P(x) = \sum_{i=0}^T a_i x^i$  and let  $Q(x) \in \mathbb{Q}[x], Q(x)$  is non-constant such that  $Q(n) \neq 0$  and  $Q(n) \in \mathbb{N}$  for every  $n \in \mathbb{N}$ . Suppose that and that  $\sum_{N=1}^{\infty} \frac{P(N)}{\prod_{n=1}^N Q(n)}$  is irrational. Let  $W$  be a set of functions  $F : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  which satisfy the following properties.

$$(i) \quad F(N+x) = \sum_{r=0}^{\infty} \frac{F^{(r)}(N)}{r!} x^r \quad \text{for } x = o(N) \text{ as } N \rightarrow \infty, \quad (18)$$

$$(ii) \quad F^{(r)}(N) = O\left(\frac{r! F(N)}{N^r}\right) \quad \text{uniformly for } r = 0, 1, \dots \text{ as } n \rightarrow \infty, \quad (19)$$

(iii) either there exists a positive integer  $K$  such that

$$F^{(K)}(x) = o(1), \quad \frac{F(x)}{x^{K+1}} = o(|F^{(K)}(x)|), \quad \lim_{x \rightarrow \infty} x^2 |F^{(K)}(x)| = \infty \quad (20)$$

or

$$K = 0, \quad \lim_{x \rightarrow \infty} F(x) = 0, \quad \lim_{x \rightarrow \infty} xF(x) = \infty, \quad (21)$$

(iv) for every pair of functions  $F, G \in W$  with corresponding integers  $K > L$  one has  $\lim_{x \rightarrow \infty} \frac{G^{(k)}(x)}{F^{(k)}(x)} = 0$  for  $k = 0, 1, \dots, K$ ; for every pair of functions  $F, G \in W$  with  $F \neq G$  and corresponding integers  $K = L$  one has either  $\lim_{x \rightarrow \infty} \frac{G^{(k)}(x)}{F^{(k)}(x)} = 0$  for  $k = 0, 1, \dots, K$  or  $\lim_{x \rightarrow \infty} \frac{F^{(k)}(x)}{G^{(k)}(x)} = 0$  for  $k = 0, 1, \dots, K$ .

Suppose that for every function  $F \in W$  there exists a function  $f : \mathbb{N} \rightarrow \mathbb{Z}$  such that  $\sum_{N=1}^{\infty} \frac{f(N)}{\prod_{n=1}^N Q(n)}$  is absolutely convergent and  $f(N) = Q(N)F(N) + O\left(\frac{Q(N)}{N}\right)$  as  $N \rightarrow \infty$ . Then the numbers  $\sum_{N=1}^{\infty} \frac{f(N)}{\prod_{n=1}^N Q(n)} (F \in W), \sum_{N=1}^{\infty} \frac{P(N)}{\prod_{n=1}^N Q(n)}$  and 1 are linearly independent over the rationals.

*Proof.* Suppose that there exist functions  $f_0 := P, f_1, f_2, \dots, f_M \in W$  such that the numbers  $\sum_{N=1}^{\infty} \frac{f(N)}{\prod_{n=1}^N Q(n)}$  ( $i = 0, 1, \dots, M$ ) and the number 1 are linearly dependent over the rationals. Then there exist integers  $A_0, A_1, A_2, \dots, A_M, p$  and  $q > 0$ , not all zero, such that

$$\frac{p}{q} = \sum_{m=0}^M A_m \sum_{N=1}^{\infty} \frac{f_m(N)}{\prod_{n=1}^N Q(n)}. \quad (22)$$

It is excluded that  $A_1 = \dots = A_M = 0$ . Without loss of generality we may assume that  $A_1, \dots, A_M$  are all nonzero and  $M > 0$ . Lemma 6.5 and equation (22) imply

$$\begin{aligned} \frac{p}{q} &= A_0 \sum_{N=1}^{\infty} \frac{P(N)}{\prod_{n=1}^N Q(n)} + \sum_{m=1}^M A_m \sum_{N=1}^{\infty} \frac{f_m(N)}{\prod_{n=1}^N Q(n)} = \\ &= \frac{p_1}{q_1} + \frac{p_2}{q_2} \sum_{N=1}^{\infty} \frac{Q_1(N)}{\prod_{n=1}^N Q(n)} + \sum_{N=1}^{\infty} \frac{\sum_{m=1}^M A_m f_m(N)}{\prod_{n=1}^N Q(n)} \end{aligned}$$

where  $p_1, p_2, q_1 > 0$  and  $q_2 > 0$  are suitable integers which do not depend on  $N$ ,  $Q_1(x) \in \mathbb{Z}[x]$ ,  $Q_1(x) \neq 0$ ,  $\deg(Q_1) < \deg(Q)$  and the coefficients of  $Q_1(x)$  also do not depend on  $N$ . Thus

$$A := q_1 q_2 q \left( \frac{p}{q} - \frac{p_1}{q_1} \right) = q_1 q \sum_{N=1}^{\infty} \frac{Q_1(N) + q_2 \sum_{m=1}^M A_m f_m(N)}{\prod_{n=1}^N Q(n)}$$

is an integer. By Lemma 6.1 we obtain that for every positive integer  $N$  the number

$$S_N := q_1 q \sum_{s=0}^{\infty} \frac{Q_1(N+s) + q_2 \sum_{m=1}^M A_m f_m(N+s)}{\prod_{n=N}^{N+s} Q(n)}$$

is an integer. Hence

$$S_N = q_1 q_2 q \sum_{s=0}^{\infty} \frac{\sum_{m=1}^M A_m F_m(N+s)}{\prod_{n=N}^{N+s-1} Q(n)} + O\left(\frac{1}{N}\right). \quad (23)$$

Without loss of generality we may assume by (iv) and (iii) that if  $K$  is the integer corresponding to  $F_M$ , then

$$\lim_{x \rightarrow \infty} \frac{F_m^{(k)}(x)}{F_M^{(k)}(x)} = 0 \quad \text{for } k = 0, 1, \dots, K \text{ and } m = 0, 1, \dots, M-1. \quad (24)$$

Let  $L$  be a nonnegative integer with  $L \leq K$ . We obtain from (23) that

$$R_L^*(N) := \sum_{j=0}^L (-1)^j \binom{L}{j} S_{N+j} =$$

$$q_1 q_2 q \sum_{j=0}^L (-1)^j \binom{L}{j} \sum_{s=0}^{\infty} \left( \frac{\sum_{m=1}^M A_m F_m(N+s+j)}{\prod_{n=N}^{N+s+j-1} Q(n)} \right) + O\left(\frac{1}{N}\right)$$

is an integer too. From Lemma 6.4, (18), and (19) we deduce that

$$R_L^*(N) = (-1)^L q_1 q_2 q \sum_{m=1}^M A_m F_m^{(L)}(N) + \sum_{m=1}^M O(F_m(N) N^{-L-1}) + O\left(\frac{1}{N}\right).$$

Hence, by (24),

$$R_L^*(N) = (-1)^L q_1 q_2 q \sum_{m=1}^M A_m F_m^{(L)}(N) + O(F_M(N) N^{-L-1}) + O\left(\frac{1}{N}\right). \quad (25)$$

We distinguish between two cases.

(a) Suppose  $\limsup_{N \rightarrow \infty} N |F_M^{(K)}(N)| = \infty$ . Then we put  $L = K$  and find by (24) that

$$R_K^*(N) = (-1)^K q_1 q_2 q A_M F_M^{(K)}(N) (1 + o(1)) + O(F_M(N) N^{-K-1}) + O\left(\frac{1}{N}\right).$$

Hence we derive a contradiction in the same way as we did in the last lines of the proof of Theorem 4.1.

(b) Suppose  $\limsup_{N \rightarrow \infty} N |F_M^{(K)}(N)| < \infty$ , i.e.  $F_M^{(K)}(N) = O\left(\frac{1}{N}\right)$  as  $N \rightarrow \infty$ . Note that  $K \geq 1$  in view of (21). By (13) and (24) we have, for  $m = 1, \dots, M$  and  $x = o(N)$ , that  $F_m(N+x) = O(F_m(N)) = O(F_M(N))$ . Put  $L = K - 1$  in (25). Hence

$$R_{K-1}^*(N) = (-1)^{K-1} q_1 q_2 q \sum_{m=1}^M A_m F_m^{(K-1)}(N) + O(F_M(N) N^{-K}) + O\left(\frac{1}{N}\right).$$

Define  $t$  as in (15). We apply the Mean Value Theorem to the integer  $M(N) := R_{K-1}^*(N+t) - R_K^*(N)$ . We obtain that

$$M(N) = (-1)^{K-1} q_1 q_2 q t \sum_{m=1}^M A_m F_m^{(K)}(N+\tau) + O(F_M(N) N^{-K}) + O\left(\frac{1}{N}\right)$$

for some  $\tau$  with  $0 < \tau < t$ . By (24) we have

$$M(N) = (-1)^{K-1} q_1 q_2 q t (1 + o(1)) A_M F_M^{(K)}(N+\tau) + O\left(\frac{F_M(N)}{N^K} + \frac{1}{N}\right)$$

The further proof proceeds as the proof of Theorem 4.2 from the introduction of  $M(N)$  on.  $\square$

**Remark.** It follows from a repeated use of l'Hôpital's rule that condition (iv) can be relaxed. If  $\lim_{x \rightarrow \infty} \frac{F^{(K)}(x)}{G^{(K)}(x)} = 0$  and  $\lim_{x \rightarrow \infty} G^{(K-1)}(x) = \infty$ , then  $\lim_{x \rightarrow \infty} \frac{F^{(k)}(x)}{G^{(k)}(x)} = 0$  for  $k = 0, 1, \dots, K$ . (See [14], Theorem 5.13 with  $A = 0$  and  $a = \infty$ .)

**Corollary 5.1** *Let  $Q(x) \in \mathbb{Q}[x]$ ,  $Q(x)$  is non-constant,  $Q(n) \neq 0$  and  $Q(n) \in \mathbb{Z}$  for every  $n \in \mathbb{N}$ . Then the numbers  $1, e$  and  $\sum_{n=1}^{\infty} \frac{[\gamma n^\alpha]}{\prod_{i=1}^n Q(i)}$  ( $\alpha \in \mathbb{R}_+, \alpha \notin \mathbb{Z}, \gamma \in \mathbb{R}_{>0}$ ) are linearly independent over the rationals.*

**Remark** Conditions (i), (ii) and (iii) of Theorem 5.1 are satisfied by a large class of functions comprising

$$\begin{aligned} & \gamma x^\alpha \quad (\alpha > -1, \alpha \notin \mathbb{Z}, \gamma \in \mathbb{R}_+) \quad \text{with } K = [\alpha] + 1 \\ & \gamma e^{\beta(\log x)^\alpha} \quad (0 < \alpha < 1, \beta \in \mathbb{R}_+, \gamma \in \mathbb{R}_+) \quad \text{with } K = 1 \\ & \gamma(\log x)^\alpha \quad (\alpha \neq 0, \gamma \in \mathbb{R}_+) \quad \text{with } K = 1 \text{ if } \alpha > 0, K = 0 \text{ if } \alpha < 0 \\ & \gamma(\log \log x)^\alpha \quad (\alpha \neq 0, \gamma \in \mathbb{R}_+) \quad \text{with } K = 1 \text{ if } \alpha > 0, K = 0 \text{ if } \alpha < 0. \end{aligned}$$

It is therefore possible to apply Theorem 5.1 to sums and products of such functions and polynomials provided that condition (iv) is satisfied.

**Example 5.1** *Let  $Q(x) \in \mathbb{Q}[x]$ ,  $Q(x)$  is non-constant,  $Q(n) \neq 0$  and  $Q(n) \in \mathbb{Z}$  for every  $n \in \mathbb{N}$ . Then the numbers  $1, \sum_{n=1}^{\infty} \frac{[(\log n)^{\frac{1}{2}}]}{\prod_{i=1}^n Q(i)}$  and  $\sum_{n=1}^{\infty} \frac{[e^{(\log n)^{\frac{1}{2}}]}]}{\prod_{i=1}^n Q(i)}$  are linearly independent over the rationals.*

## 6 General case

**Theorem 6.1** (Oppenheim [13], Theorem 8). *Let  $\{a_n\}_{n=1}^{\infty}$  and  $\{b_n\}_{n=1}^{\infty}$  be two sequences of integers such that  $a_n \geq 2$ ,  $|b_n| < a_n$  and  $\liminf_{n \rightarrow \infty} \frac{|b_n|}{a_n} = 0$ . Then there is an  $n_0$  such that*

$$S^* = \sum_{n=1}^{\infty} \frac{b_N}{\prod_{n=1}^N a_n} \in \mathbb{Q}$$

*if and only if  $b_n = 0$  for all  $n > n_0$ .*

Suppose there is  $k$  such that

$$b_n = c_{0,n} + c_{1,n}a_n + c_{2,n}a_n a_{n-1} + \dots + c_{k,n}a_n a_{n-1} \dots a_{n-k}$$

for every  $n$ . Then there exist integers  $a, b$  with  $a \neq 0$  such that

$$\sum_{n=1}^{\infty} \frac{b_N}{\prod_{n=1}^N a_n} = \sum_{n=1}^{\infty} \left( \frac{c_{0,n}}{a_1 \dots a_n} + \frac{c_{1,n}}{a_1 \dots a_{n-1}} + \dots + \frac{c_{k,n}}{a_1 \dots a_{n-k}} \right) =$$

$$\frac{b}{a} + \sum_{n=1}^{\infty} \frac{c_{0,n} + c_{1,n+1} + \dots + c_{k,n+k}}{\prod_{n=1}^N a_n}.$$

From Theorem 6.1 we obtain that if

$$\lim_{n \rightarrow \infty} \frac{c_{0,n} + c_{1,n+1} + \dots + c_{k,n+k}}{a_n} = 0,$$

and  $|c_{0,n} + c_{1,n+1} + \dots + c_{k,n+k}| < a_n$  for all large  $n$  then there is an  $n_0$  such that  $S^* \in \mathbb{Q}$  if and only if  $c_{0,n} + c_{1,n+1} + \dots + c_{k,n+k} = 0$  for every  $n > n_0$ .

**Example 6.1** *By Oppenheim's theorem we obtain*

$$\begin{aligned} \sum_{n=2}^{\infty} \frac{n^n + 1}{\prod_{j=2}^n (j^j - 1)} &= \sum_{n=2}^{\infty} \frac{1}{\prod_{j=2}^{n-1} (j^j - 1)} + \frac{2}{\prod_{j=2}^n (j^j - 1)} = \\ &= -\frac{1}{3} + \sum_{n=2}^{\infty} \frac{2}{\prod_{j=2}^n (j^j - 1)} \notin \mathbb{Q}. \end{aligned}$$

Let  $Q(x) \in \mathbb{Q}[x]$ . Assume that  $Q(n) \neq 0$  and  $Q(n) \in \mathbb{Z}$  for every positive integer  $n$ . Let  $\{b_n\}_{n=1}^{\infty}$  be a sequence of integers. We investigate under which conditions

$$R^* = \sum_{N=1}^{\infty} \frac{b_N}{\prod_{n=1}^N Q(n)} \quad (26)$$

is irrational.

The following lemma dealing with the sum

$$R_N^* := \sum_{m=N}^{\infty} \frac{b_m}{\prod_{n=N}^m Q(n)} \quad (27)$$

is crucial.

**Lemma 6.1** *If  $R^* = \frac{p}{q}$  for some  $p \in \mathbb{Z}$ ,  $q \in \mathbb{N}$ , then  $qR_N^* \in \mathbb{Z}$  for all  $N$ .*

*Proof.* We have

$$p \prod_{n=1}^{N-1} Q(n) = q \sum_{m=1}^{N-1} b_m \prod_{n=m+1}^{N-1} Q(n) + q \sum_{m=N}^{\infty} \frac{b_m}{\prod_{n=N}^m Q(n)}$$

and the first two terms are integers.  $\square$

**Remark.** If  $q$  divides  $\prod_{n=1}^{N-1} Q(n)$ , then we need not multiply by  $q$  to obtain integers and can conclude that  $R_N^*$  itself is an integer.

**Theorem 6.2** Let  $P_n(x), Q_n(x) \in \mathbb{Z}[x]$  and  $P_n(x)$  is non-constant for every  $n \in \mathbb{N}$ . Assume that there exists a  $V$  such that  $P_n$  can be written as

$$P_n(x) = \sum_{i=1}^V B_{n,i}(x-i) \prod_{j=1}^i Q_{n-j+1}(x+1-j)$$

with  $\deg(B_{n,i}) < \deg(Q_{n-i})$  for every  $i$ . Then

$$S = \sum_{N=1}^{\infty} \frac{P_N(N)}{\prod_{n=1}^N Q_n(n)} \in \mathbb{Q}$$

if and only if

$$\sum_{i=0}^V B_{n,i} = 0$$

for all large  $n$ .

The following consequence of a theorem of Oppenheim implies that  $R^*$  is irrational if  $b_n = o(Q(n))$ , but not ultimately constant 0.

**Lemma 6.2** (Oppenheim [13], Theorem 8). *If  $|b_n| < Q(n)$  for all  $n > n_0$  and  $\liminf_{n \rightarrow \infty} \frac{|b_n|}{Q(n)} = 0$ , then  $R^*$  is rational if and only if  $b_n = 0$  for all  $n > n_0$ .*

The next lemma displays some well known properties of Stirling numbers of the second kind.

**Lemma 6.3** *Let  $K$  be a nonnegative integer. Put*

$$S(r, K) = \frac{1}{K!} \sum_{j=0}^K (-1)^{K-j} \binom{K}{j} j^r.$$

*Then  $S(r, K) = 0$  if  $r < K$ ,  $S(r, K) = 1$  if  $r = K$  and  $S(r, K) \in \mathbb{N}$  if  $r > K > 0$ .*

For a proof see [1] Section III.2.

The last lemma of the section will be used for all theorems except for Theorem 6.4.

**Lemma 6.4** *Let  $K \geq 0$  be given integer and  $Q(x) \in \mathbb{Q}[x]$  such that  $Q(n) \neq 0$  and  $Q(n) \in \mathbb{Z}$  for every  $n \in \mathbb{N}$ . Let  $H : \mathbb{R} \rightarrow \mathbb{R}_+$  be a  $K$  times continuously differentiable function such that  $H(x) \neq 0$  for  $x > x_0$ . Suppose we have*

$$H(N+j) = \sum_{r=0}^K \frac{H^{(r)}(N)}{r!} j^r + O\left(\frac{H(N)}{N^{K+1}}\right) \quad \text{for } j = 0, 1, \dots, K \text{ as } N \rightarrow \infty \quad (28)$$

and

$$H^{(r)}(N) = O\left(\frac{H(N)}{N^r}\right) \quad \text{for } r = 0, 1, \dots, K \text{ as } N \rightarrow \infty. \quad (29)$$

Put

$$R_K^*(N) = \sum_{j=0}^K (-1)^j \binom{K}{j} \sum_{s=0}^{\infty} \frac{H(N+j+s)}{\prod_{n=N+j}^{N+j+s-1} Q(n)}.$$

Then

$$R_K^*(N) = (-1)^K H^{(K)}(N) + O(H(N)N^{-K-1}) \text{ as } N \rightarrow \infty. \quad (30)$$

*Proof.* Put

$$Q_s(N) = \frac{1}{\prod_{i=0}^{s-1} Q(N+i)}.$$

Let  $N$  be sufficiently large. Note that for  $j = 0, 1, \dots, K$ , by (28) and (29),

$$H(N+j) = O\left(H(N) \sum_{r=0}^{K+1} \frac{1}{r!} \left(\frac{j}{N}\right)^r\right) = O(H(N)e^{j/N}) = O(H(N)) \text{ as } N \rightarrow \infty. \quad (31)$$

Write

$$R_K^*(N) = R_{K,1}^*(N) + R_{K,2}^*(N) + R_{K,3}^*(N) \quad (32)$$

where

$$\begin{aligned} R_{K,1}^*(N) &= \sum_{j=0}^K (-1)^j \binom{K}{j} H(N+j), \\ R_{K,2}^*(N) &= \sum_{j=0}^K (-1)^j \binom{K}{j} \sum_{s=1}^K \frac{H(N+j+s)}{\prod_{n=N+j}^{N+j+s-1} Q(n)}, \\ R_{K,3}^*(N) &= \sum_{j=0}^K (-1)^j \binom{K}{j} \sum_{s=K+1}^{\infty} \frac{H(N+j+s)}{\prod_{n=N+j}^{N+j+s-1} Q(n)}. \end{aligned}$$

By Lemma 6.3 and (28) we have

$$\begin{aligned} R_{K,1}^*(N) &= \sum_{j=0}^K (-1)^j \binom{K}{j} \sum_{r=0}^K \frac{H^{(r)}(N)}{r!} j^r + O(H(N)N^{-K-1}) = \\ &= \sum_{r=0}^K \frac{H^{(r)}(N)}{r!} \sum_{j=0}^K (-1)^j \binom{K}{j} j^r + O(H(N)N^{-K-1}) = \\ &= (-1)^K H^{(K)}(N) + O(H(N)N^{-K-1}) \text{ as } N \rightarrow \infty. \end{aligned} \quad (33)$$

We now turn to  $R_{K,2}^*(N)$ . From the facts  $0 \leq j \leq K$ ,  $1 \leq s \leq K$ , equations (28), (29) and the definition of  $Q_s(N)$  we obtain that  $H(N+s+j) = O(H(N))$ ,

$Q_s(N+j) = \sum_{m=0}^K \frac{Q_s^{(m)}(N)j^m}{m!} + O(N^{-(K+1)})$  and  $Q_s(N+j) = O(N^{-s})$ . This, (28) and (29) imply

$$\begin{aligned} R_{K,2}^*(N) &= \sum_{j=0}^K (-1)^j \binom{K}{j} \sum_{s=1}^K H(N+s+j) Q_s(N+j) = \\ &= \sum_{j=0}^K (-1)^j \binom{K}{j} \sum_{s=1}^K \sum_{r=0}^K \frac{H^{(r)}(N+s)j^r}{r!} \sum_{m=0}^K \frac{Q_s^{(m)}(N)j^m}{m!} + O\left(\frac{H(N)}{N^{K+1}}\right) = \\ &= \sum_{s=1}^K \sum_{r=0}^K \frac{H^{(r)}(N+s)}{r!} \sum_{m=0}^K \frac{Q_s^{(m)}(N)}{m!} \sum_{j=0}^K (-1)^j j^{r+m} \binom{K}{j} + O\left(\frac{H(N)}{N^{K+1}}\right). \end{aligned}$$

From this and Lemma 6.3 we obtain that

$$R_{K,2}^*(N) = \sum_{s=1}^K \sum_{r=0}^K \frac{H^{(r)}(N+s) Q_s^{(K-r)}(N)}{r!(K-r)!} (-1)^K K! + O\left(\frac{H(N)}{N^{K+1}}\right)$$

This and the facts that  $H^{(r)}(N+s) = O(H(N)N^{-r})$  and  $Q_s^{(K-r)}(N) = O(N^{-(K-r+s)})$  hold for all  $r = 0, 1, \dots, K$  and  $s = 1, 2, \dots, K$  imply that

$$R_{K,2}^*(N) = O\left(\frac{H(N)}{N^{K+1}}\right). \quad (34)$$

Finally we estimate  $R_{K,3}^*(N)$ . By (31) there exists a constant  $c > 1$  such that  $H(n+1) < cH(n)$  for all sufficiently large  $n$ . Hence  $H(N+s) < c^s H(N)$  for every positive integer  $s$ . It follows that

$$R_{K,3}^*(N) = O\left(\sum_{s=K+1}^{\infty} \frac{H(N+s)}{\prod_{n=N}^{N+s-1} Q(n)}\right) = O\left(\sum_{s=K+1}^{\infty} \frac{H(N)c^s}{N^s}\right).$$

Hence

$$R_{K,3}^*(N) = O\left(\frac{H(N)}{N^{K+1}}\right) \quad \text{as } N \rightarrow \infty. \quad (35)$$

The combination of (32), (33), (34) and (35) yields (30).  $\square$

**Remark** In applications of Lemma 6.4 the integer  $K$  is usually chosen as the smallest nonnegative integer such that  $H^{(K)}(N) \rightarrow 0$  as  $N \rightarrow \infty$ .

By the previous result we obtain

**Consequence 6.1** *If  $\sum_{N=1}^{\infty} \frac{Q(N+a)}{\prod_{n=1}^N Q(n)} \in \mathbb{Q}$  for some integer  $a$ , then there exists an integer  $s$  such that  $Q(x) = x + s$ ,  $a = -1$  or  $Q(x) = x - s$ ,  $a = 1$ .*



*Proof.* We apply the above result with  $P(x) = Q(x + a)$ . Hence  $c = 1$  and  $P^*(N) = -1$  for all  $N$ . Since  $Q(x + a) - Q(x) = P^*(x)$  is a non-zero constant we obtain that  $Q(x)$  is a linear polynomial  $rx + s$ . Since  $Q(x)$  attains integer values at integer points, we have  $r, s \in \mathbb{Z}$ . From  $-1 = Q(x + a) - Q(x) = ra$ , we conclude that  $(a, r) = (1, -1)$  or  $(a, r) = (-1, 1)$ . Thus  $a \in \{-1, 1\}$  and  $Q(x) = x + s$  if  $a = -1$  and  $Q(x) = -x + s$  if  $a = 1$ .  $\square$

**Lemma 6.5** *Let  $P(x), Q(x) \in \mathbb{Q}[x]$ . Suppose that  $Q$  is not constant. Assume that  $Q(n) \in \mathbb{Z}$  and  $Q(n) \neq 0$  for all  $n \in \mathbb{N}$ . Then there exist  $Q_1, Q_2 \in \mathbb{Q}$  and  $Q_3(x) \in \mathbb{Z}[x]$  with  $\deg(Q_3) < \deg(Q)$  such that*

$$\sum_{N=1}^{\infty} \frac{P(N)}{\prod_{n=1}^N Q(n)} = Q_1 + Q_2 \sum_{N=1}^{\infty} \frac{Q_3(N)}{\prod_{n=1}^N Q(n)}. \quad (36)$$

*Proof.* Put  $D_0(x) = P(x)$  and  $d = \lfloor \frac{\deg(P)}{\deg(Q)} \rfloor$ . Then for each  $i = 0, 1, \dots, d-1$  there exist unique polynomials  $B_i(x), D_i(x) \in \mathbb{Q}[x]$  with  $\deg(B_i) < \deg(Q)$  and such that

$$D_i(x - i) = B_i(x - i) + D_{i+1}(x - i - 1)Q(x - i).$$

Put

$$B_d(x - d) = D_d(x - d).$$

Then we have

$$P(x) = \sum_{i=0}^d B_i(x - i) \prod_{j=1}^i Q(x + 1 - j).$$

Thus

$$\begin{aligned} & \sum_{N=1}^{\infty} \frac{P(N)}{\prod_{n=1}^N Q(n)} = \\ & \sum_{N=1}^d \frac{P(N)}{\prod_{n=1}^N Q(n)} + \sum_{N=d+1}^{\infty} \frac{\sum_{i=0}^d B_i(N - i) \prod_{j=1}^i Q(N + 1 - j)}{\prod_{n=1}^N Q(n)} = \\ & \sum_{N=1}^d \frac{P(N)}{\prod_{n=1}^N Q(n)} + \sum_{N=d+1}^{\infty} \sum_{i=0}^d B_i(N - i) \frac{1}{\prod_{n=1}^{N-i} Q(n)} = \\ & \sum_{N=1}^d \frac{P(N)}{\prod_{n=1}^N Q(n)} + \sum_{N=1}^{\infty} \frac{\sum_{i=0}^d B_i(N)}{\prod_{n=1}^N Q(n)} - \sum_{N=1}^d \frac{\sum_{i=0}^{d-N} B_i(N)}{\prod_{n=1}^N Q(n)}. \end{aligned}$$

The latter expression is of the form  $Q_1 + Q_2 \sum_{N=1}^{\infty} \frac{Q_3(N)}{\prod_{n=1}^N Q(n)}$  with  $Q_1$  the rational number which is the sum of the first and third term,  $Q_2$  the inverse of the denominator of  $\sum_{i=0}^d B_i(N)$  and  $Q_3(x) \in \mathbb{Z}[x]$ .  $\square$

**Theorem 6.3** Let  $V \in \mathbb{R}$ . Let  $P_n(x), Q_n(x) \in \mathbb{Z}[x]$ ,  $P_n(x) = \sum_{i=0}^{T_n} a_{n,i}x^i$ ,  $\deg P_n < V$ ,  $Q_n(x) = \prod_{m=1}^{M_n} C_{n,m}(x)$  and  $C_{n,m}(x) = \sum_{k=1}^{M_{n,m}} c_{n,m,k}x^k \in \mathbb{R}[x]$  for all  $n \in \mathbb{N}$ . Suppose that  $a_{T_n} > 0$  and  $a_{n,i} \geq 0$  for every  $i = 0, 1, \dots, T_n - 1$ . Let  $c_{n,m,k} \leq 0$  and  $V > c_{n,m,M_{n,m}} > 0$  for all  $m = 1, 2, \dots, M_n$ ,  $k = 0, 1, \dots, M_{n,m} - 1$ . Assume that  $Q_n(x)$  is not constant,  $Q_n(n) \neq 0$  and

$$\left( \max_{\sum_{s=0}^S \deg Q_{N-s} \leq \deg P_N, m=1,2,\dots,M_{N-s}, k=0,1,\dots,M_{n,m}, S \in \mathbb{N}} c_{N-s,m,k} \right)^{\deg P_N} \max_{j=0,1,\dots,P_N} a_{j,N} = o(N) \quad (37)$$

for all  $n \in \mathbb{N}$ . Then  $S = \sum_{N=1}^{\infty} \frac{P_N(N)}{\prod_{n=1}^N Q_n(n)} \notin \mathbb{Q}$ .

*Proof.* Follow the proof of Theorem 3.2. Let  $N$  be sufficiently large such that  $P_N \neq 0$ . Then we have

$$P_N(x) = \sum_{i=0}^V B_{N,i}(x-i) \prod_{j=1}^i Q_{N-j+1}(x+1-j).$$

Note that every polynomial  $B_{N,i}(x-i) = B_{N,i}(y)$  ( $N = 1, 2, \dots, i = 0, 1, \dots, T_N$ ) has nonnegative coefficient of the term with the highest power and every his coefficient consists of the sum of elements. The number of the elements in such a sum is bounded (in fact less then  $(\deg P_N)^{\deg P_N} < V^V$ ). Each such an element has in the numerator product which consists of one coefficient of the polynomial  $P_N$  and no more than  $\deg P_N$  coefficients  $c_{N-s,m,k}$  with  $\sum_{s=0}^S \deg Q_{N-s} \leq \deg P_N$ ,  $m = 1, 2, \dots, M_{N-s}$ ,  $k = 0, 1, \dots, M_{N,m}$ ,  $S \in \mathbb{N}$  and has in denominator product no more than  $\deg P_N$  of the coefficients  $c_{N,m,M_{N,m}}$  with  $m = 1, 2, \dots, M_N$ ,  $k = 0, 1, \dots, M_{N,m} - 1$ . This fact and (37) imply that each an element in the sum has bounded denominator and numerator is  $o(N)$ . Hence every polynomial  $B_{N,i}(x-i) = B_{N,i}(y)$  ( $N = 1, 2, \dots, i = 0, 1, \dots, T_N$ ) has all coefficients with numerator of  $o(N)$  and with the bounded denominator. From the fact that  $P_N \neq 0$  we obtain that there exists  $I \in \{0, 1, \dots, T_N\}$  such that  $B_{N,I} \neq 0$ . Without loss of generality put  $I = 0$ . Now following the proof of Lemma 6.5 we obtain that

$$\sum_{n=1}^{\infty} \frac{P_n(n)}{\prod_{j=1}^n Q_j(j)} = Q_1^* + Q_2^* \sum_{n=1}^{\infty} \frac{Q_{3,n}^*(n)}{\prod_{j=1}^n Q_j(j)}$$

where  $Q_1^*, Q_2^* \in \mathbb{Q}$ ,  $\frac{1}{Q_2^*} \in \mathbb{N}$  and  $Q_{3,n}^*(x) \in \mathbb{Z}[x]$ ,  $\deg Q_{3,n}^* < \deg Q_n$ , all the coefficients of  $Q_{3,n}^*(x)$  are  $o(n)$  and the coefficient of the highest power of the polynomial  $Q_{3,n}^*(x)$  is non-negative for all  $n \in \mathbb{N}$ . From this, Lemma 6.2 and the fact that  $Q_{3,N}^*(x) \neq 0$  since  $B_{N,0} \neq 0$  we obtain that  $S \notin \mathbb{Q}$ .  $\square$

**Example 6.2** Let  $k \in \mathbb{N}$  and  $d(n)$  be the number of divisors of  $n$ . Then

$$\sum_{N=2}^{\infty} \frac{d(N)N^k}{\prod_{n=2}^N (n-d(n))} \notin \mathbb{Q}.$$

**Theorem 6.4** Let  $P(x), Q(x) \in \mathbb{Q}[x]$  and  $P(x) = \sum_{i=0}^T a_i x^i$ . Suppose that  $Q$  is not constant. Assume that  $Q(n) \in \mathbb{Z}$  and  $Q(n) \neq 0$  for all  $n \in \mathbb{N}$ . Let  $f : \mathbb{N} \rightarrow \mathbb{Z}$  be a sequence such that  $f(N) = P(N) + o(Q(N))$  as  $N \rightarrow \infty$ . Suppose  $\sum_{N=1}^{\infty} \frac{f(N)}{\prod_{n=1}^N Q(n)} \in \mathbb{Q}$ . Then there exists  $N_0$  such that

$$f(N) = P(N) - Q_2 Q_3(N) \quad \text{for } N > N_0$$

where  $Q_2$  and  $Q_3$  are given by (36).

*Proof.* We have, by Lemma 6.5,

$$\begin{aligned} \sum_{N=1}^{\infty} \frac{f(N)}{\prod_{n=1}^N Q(n)} &= \sum_{N=1}^{\infty} \frac{P(N)}{\prod_{n=1}^N Q(n)} + \sum_{N=1}^{\infty} \frac{f(N) - P(N)}{\prod_{n=1}^N Q(n)} \\ &= Q_1 + Q_2 \sum_{N=1}^{\infty} \frac{Q_3(N)}{\prod_{n=1}^N Q(n)} + \sum_{N=1}^{\infty} \frac{f(N) - P(N)}{\prod_{n=1}^N Q(n)} \\ &= Q_1 + \sum_{N=1}^{\infty} \frac{Q_2 Q_3(N) + f(N) - P(N)}{\prod_{n=1}^N Q(n)}. \end{aligned}$$

The numerator of the fraction is a rational number which is  $o(Q(N))$  as  $N \rightarrow \infty$  and has a denominator which is independent of  $N$ . Hence, by Lemma 6.2,  $Q_2 Q_3(N) + f(N) - P(N) = 0$  for  $N > N_0$ .  $\square$

**Corollary 6.1** Under the conditions of Theorem 6.4 we have that for all  $N$ ,  $P(N) \equiv Q_2 Q_3(N) \pmod{1}$  and therefore  $P$  has denominator  $\frac{1}{Q_2}$ . Hence the value of  $Q_2$  is determined by  $P$ .

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