

# ON THE NUMBER OF PAIRS OF BINARY FORMS WITH GIVEN DEGREE AND GIVEN RESULTANT

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## 1. INTRODUCTION

Denote by  $R(F, G)$  the resultant of two binary forms  $F, G$ . Let  $S = \{p_1, \dots, p_t\}$  be a finite, possibly empty set of primes. The ring of  $S$ -integers and group of  $S$ -units are defined by

$$\mathbb{Z}_S = \mathbb{Z}[(p_1 \cdots p_t)^{-1}], \quad \mathbb{Z}_S^* = \{\pm p_1^{w_1} \cdots p_t^{w_t} : w_1, \dots, w_t \in \mathbb{Z}\},$$

respectively, where  $\mathbb{Z}_S = \mathbb{Z}$ ,  $\mathbb{Z}_S^* = \{\pm 1\}$  if  $S = \emptyset$ . We deal with the so-called *resultant equation*

$$(1.1) \quad R(F, G) \in c\mathbb{Z}_S^*$$

to be solved in binary forms  $F, G \in \mathbb{Z}_S[X, Y]$ , where  $c$  is a positive integer. As it turns out, the set of pairs  $(F, G)$  satisfying this equation can be divided into equivalence classes, where two pairs of binary forms  $(F_1, G_1)$ ,  $(F_2, G_2)$  are said to be equivalent, if there are  $\varepsilon, \eta \in \mathbb{Z}_S^*$  and a matrix  $U = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2(\mathbb{Z}_S)$  such that  $F_2(X, Y) = \varepsilon F_1(aX + bY, cX + dY)$ ,  $G_2(X, Y) = \eta G_1(aX + bY, cX + dY)$ .

First GYÓRY [11], [12] for monic binary forms  $F, G$  (i.e., with  $F(1, 0) = G(1, 0) = 1$ ) and later EVERTSE and GYÓRY [8] for arbitrary binary forms  $F, G$ , proved results which imply that there are only finitely many equivalence classes of pairs of binary forms  $F, G \in \mathbb{Z}_S[X, Y]$  that satisfy (1.1) and certain additional conditions. In [12], Gyóry established his results on monic binary forms in a quantitative form, giving explicit upper bounds for the number of equivalence classes, while the results for arbitrary binary forms from [8] were established only in a qualitative form. In the present paper, we improve the quantitative results from [12], and prove quantitative versions of the finiteness results from [8].

In a simplified form, one of our results (Theorem 2.3 below) can be stated as follows. Let  $m \geq 3$ ,  $n \geq 3$  be integers and  $L$  a number field. Then the

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set of pairs of binary forms  $(F, G)$  in  $\mathbb{Z}_S[X, Y]$  satisfying (1.1) such that  $F$  has degree  $m$ ,  $G$  has degree  $n$ ,  $F, G$  do not have multiple factors and  $F, G$  split into linear factors in  $L[X, Y]$  is contained in the union of  $O(c^{\frac{1}{mn} + \delta})$  equivalence classes as  $c \rightarrow \infty$  for every  $\delta > 0$ . Here, the implied constant depends on  $L, m, n, S, \delta$  and cannot be computed explicitly from our method of proof. It is shown that the exponent on  $c$  cannot be improved to something smaller than  $\frac{1}{mn}$ .

On the other hand, if we restrict ourselves to monic binary forms  $F, G$ , we can derive an upper bound for the number of equivalence classes which is completely explicit in terms of  $m, n, t$  and  $c$  (see Theorem 2.1 below). We derive a similar such explicit bound for binary forms  $F, G$  that are not necessarily monic, but there we have to impose a suitable minimality condition on one of  $F, G$ . We explain that without this condition it probably becomes very difficult to obtain a fully explicit upper bound for the number of equivalence classes. As a corollary of our Theorem 2.2, we give a quantitative version of a result by EVERTSE and GYÓRY [7] on Thue-Mahler equations (Corollary 2.4 below).

In Section 2 we state Theorems 2.1, 2.2, 2.3 and Corollary 2.4. Theorem 2.1 will be proved in Sections 3, 4 and Theorem 2.2 in Sections 5–8. The main tools are explicit upper bounds from [5] and [10] for the number of solutions of linear equations with unknowns from a multiplicative group. The latter is a consequence of the Quantitative Subspace Theorem. In our arguments we use ideas from [9], [8] and [2]. Theorem 2.3 is proved in Section 9. Here the hard core is an inequality from [8] relating the resultant of two binary forms to the discriminants of these forms. This inequality is a consequence of the qualitative Subspace Theorem.

## 2. RESULTS

We introduce some terminology. The resultant of two binary forms

$$F = a_0X^m + a_1X^{m-1}Y + \cdots + a_mY^m = \prod_{k=1}^m (\alpha_k X - \beta_k Y),$$

$$G = b_0X^n + b_1X^{n-1}Y + \cdots + b_nY^n = \prod_{l=1}^n (\gamma_l X - \delta_l Y)$$

is given by

$$R(F, G) := \prod_{k=1}^m \prod_{l=1}^n (\alpha_k \delta_l - \beta_k \gamma_l).$$

From the well-known expression for  $R(F, G)$  as a determinant (see [15, §34]) we infer that  $R(F, G)$  is a polynomial in  $\mathbb{Z}[a_0, \dots, a_m; b_0, \dots, b_n]$  which is

homogeneous of degree  $n = \deg G$  in  $a_0, \dots, a_m$  and homogeneous of degree  $m = \deg F$  in  $b_0, \dots, b_n$ . Further, for any scalars  $\lambda, \mu$  and any matrix  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  we have

$$(2.1) \quad R(\lambda F_A, \mu G_A) = \lambda^n \mu^m (\det A)^{mn} R(F, G),$$

where for a binary form  $F$  we define  $F_A$  by

$$F_A(X, Y) := F(aX + bY, cX + dY).$$

For a domain  $\Omega$ , we denote by  $\text{NS}_2(\Omega)$  the set of  $2 \times 2$ -matrices with entries in  $\Omega$  and non-zero determinant, and by  $\text{GL}_2(\Omega)$  the group of  $2 \times 2$ -matrices with entries in  $\Omega$  and determinant in the unit group  $\Omega^*$ . Two binary forms  $F_1, F_2 \in \Omega[X, Y]$  are called  $\Omega$ -equivalent if there are  $\varepsilon \in \Omega^*$ ,  $U \in \text{GL}_2(\Omega)$  such that  $F_2 = \varepsilon(F_1)_U$ . Two pairs of binary forms  $(F_1, G_1), (F_2, G_2)$  are called  $\Omega$ -equivalent if there are  $\varepsilon, \eta \in \Omega^*$ ,  $U \in \text{GL}_2(\Omega)$  such that  $F_2 = \varepsilon(F_1)_U$ ,  $G_2 = \eta(G_1)_U$ . A binary form  $F$  with  $F(1, 0) = 1$  is called *monic*. Two pairs of monic binary forms  $(F_1, G_1), (F_2, G_2)$  in  $\Omega[X, Y]$  are called *strongly*  $\Omega$ -equivalent if  $F_2(X, Y) = F_1(X + bY, \varepsilon Y)$ ,  $G_2(X, Y) = G_1(X + bY, \varepsilon Y)$  for some  $b \in \Omega$ ,  $\varepsilon \in \Omega^*$ .

We return to the resultant equation (1.1). Let  $S = \{p_1, \dots, p_t\}$  be a finite, possibly empty set of primes. Without loss of generality we may assume that the number  $c$  in (1.1) is a positive integer which is coprime with  $p_1 \cdots p_t$  if  $S \neq \emptyset$ . Clearly, if  $(F, G)$  is a pair of binary forms with (1.1), then by (2.1) every pair  $\mathbb{Z}_S$ -equivalent to  $(F, G)$  also satisfies (1.1). Therefore, the set of solutions of (1.1) decomposes into  $\mathbb{Z}_S$ -equivalence classes. Likewise, the set of pairs of monic binary forms  $F, G \in \mathbb{Z}_S[X, Y]$  with (1.1) decomposes into strong  $\mathbb{Z}_S$ -equivalence classes.

There were some earlier finiteness results on (1.1) in which one of the binary forms  $F, G$  was kept fixed, but GYÖRY was the first to obtain results on (1.1) in which both  $F, G$  are allowed to vary. He proved [11, Theorem 7] the following result for monic binary forms. Let  $L$  be a given number field, and  $m, n$  integers with  $m \geq 2$ ,  $n \geq 2$ ,  $m + n \geq 5$ . Then there are only finitely many strong  $\mathbb{Z}_S$ -equivalence classes of pairs of monic binary forms  $F, G \in \mathbb{Z}_S[X, Y]$  satisfying (1.1) such that  $\deg F = m$ ,  $\deg G = n$ ,  $F, G$  have no multiple factors and  $F \cdot G$  has splitting field  $L$  (i.e.,  $L$  is the smallest number field over which  $F \cdot G$  splits into linear factors). Further, in [12], Györy obtained explicit upper bounds both for  $\deg F + \deg G$  and for the number of strong equivalence classes. In fact, by combining Györy's arguments from [12] with the explicit upper bound for the number of non-degenerate solutions of  $S$ -unit equations from [6, Theorem 3], one can show that the pairs of monic binary forms  $(F, G)$  with the properties given above

lie in at most

$$(2.2) \quad \{2(m+n+1)^4 2^{1050[L:\mathbb{Q}](t+\omega(c)+1)}\}^{m+n-2}$$

strong  $\mathbb{Z}_S$ -equivalence classes, where  $\omega(c)$  is the number of distinct primes dividing  $c$ . Note that  $1 \leq [L:\mathbb{Q}] \leq m!n!$ .<sup>1</sup>

EVERTSE and GYÓRY [8, Corollary 1] extended Gyóry's qualitative result to binary forms which are not necessarily monic. Under the slightly stronger hypothesis  $m \geq 3$ ,  $n \geq 3$ , they proved that there are only finitely many  $\mathbb{Z}_S$ -equivalence classes of pairs of binary forms  $F, G$  satisfying (1.1) such that  $\deg F = m$ ,  $\deg G = n$ ,  $F, G$  have no multiple factors and  $F \cdot G$  has splitting field  $L$ . Further, they showed that  $\deg F + \deg G$  is bounded above in terms of  $S, L$  and  $c$ . We mention that both Gyóry for monic binary forms and Evertse and Gyóry for not necessarily monic binary forms proved more general results for binary forms with coefficients in the ring of  $S$ -integers of a number field.<sup>2</sup>

Gyóry [12] and Evertse and Gyóry [8] showed also that their finiteness results do not remain valid if the conditions on  $m, n$  are relaxed, or if neither  $F$  nor  $G$  is required to split into linear factors over a prescribed number field. It is not known whether the finiteness results can be extended to the case that only one of  $F, G$  is required to split over a given number field, see [3] for a discussion on this. Probably the condition that  $F, G$  have no multiple factors can be removed if we assume that  $F, G$  have sufficiently many distinct factors in  $\mathbb{C}[X, Y]$  (see [12] in the monic case).

Below we give precise quantitative versions of our results mentioned above. In contrast to the above discussion, we do not deal with binary forms  $F, G$  such that  $F \cdot G$  has a given splitting field but instead with binary forms associated with certain given number fields. We say that a binary form  $F \in \mathbb{Q}[X, Y]$  is *associated* with a number field  $K$  if  $F$  is irreducible in  $\mathbb{Q}[X, Y]$  and if there is  $\theta$  such that  $F(\theta, 1) = 0$  and  $K = \mathbb{Q}(\theta)$ . We agree that the binary forms  $aY$  ( $a \in \mathbb{Q}^*$ ) are associated with  $\mathbb{Q}$ . A binary form  $F \in \mathbb{Q}[X, Y]$  is said to be *associated* with the sequence of number fields  $K_1, \dots, K_u$  if it can be factored as  $\prod_{i=1}^u F_i$  where  $F_i \in \mathbb{Q}[X, Y]$  is an irreducible binary form associated with  $K_i$ , for  $i = 1, \dots, u$ . It is easy to check that a binary form  $F$  associated with  $K_1, \dots, K_u$  has degree  $\sum_{i=1}^u [K_i:\mathbb{Q}]$ .

<sup>1</sup>The results in [11], [12] were formulated in terms of monic polynomials instead of monic binary forms. The formulation in terms of monic binary forms fits more conveniently into the present paper.

<sup>2</sup>In the monic case, the results of [11], [12] were established in the even more general situation when the ground ring is an integrally closed and finitely generated domain over  $\mathbb{Z}$ .

For a non-zero integer  $d$ , we denote by  $\omega(d)$  the number of distinct primes dividing  $d$ , and by  $\text{ord}_p(d)$  the exponent of the prime number  $p$  in the prime factorization of  $d$ .

Our first theorem gives a quantitative result on (1.1) for monic binary forms which is better than (2.2) if the degrees of the number fields with which  $F, G$  are associated are not too small.

**Theorem 2.1.** *Let  $m, n$  be integers with  $m \geq 2$ ,  $n \geq 2$ ,  $m + n \geq 5$  and  $K_1, \dots, K_u, L_1, \dots, L_v$  number fields with*

$$\sum_{i=1}^u [K_i : \mathbb{Q}] = m, \quad \sum_{i=1}^v [L_i : \mathbb{Q}] = n.$$

*Further, let  $S = \{p_1, \dots, p_t\}$  be a finite, possibly empty set of primes and  $c$  a positive integer, coprime with  $p_1 \cdots p_t$  if  $S \neq \emptyset$ . Then the set of pairs of monic binary forms  $F, G \in \mathbb{Z}_S[X, Y]$  with*

$$(1.1) \quad R(F, G) \in c\mathbb{Z}_S^*$$

*for which*

- $F$  is associated with  $K_1, \dots, K_u$ ,  $G$  is associated with  $L_1, \dots, L_v$ ,
- $F, G$  do not have multiple factors,

*is contained in the union of at most*

$$e^{17(m+n+10^{11})mn(t+\omega(c)+1)}$$

*strong  $\mathbb{Z}_S$ -equivalence classes.*

Clearly, our bound can be replaced by  $e^{18(m+n)mn(t+\omega(c)+1)}$  if  $m + n$  is sufficiently large. We note that from Theorem 2.2 below one can derive a result similar to 2.1 but with a larger bound.

In Theorem 2.2 below, we give an explicit upper bound for the number of equivalence classes for not necessarily monic binary forms, but instead we have to assume that one of the binary forms satisfies a certain minimality condition. More precisely, a binary form  $F \in \mathbb{Z}_S[X, Y]$  is called  $\mathbb{Z}_S$ -minimal if there are no binary form  $G \in \mathbb{Z}_S[X, Y]$  and matrix  $A \in \text{NS}_2(\mathbb{Z}_S) \setminus \text{GL}_2(\mathbb{Z}_S)$  such that  $F = G_A$ .

**Theorem 2.2.** *Let  $m, n$  be integers with  $m \geq 3$ ,  $n \geq 3$ . Further, let  $K_1, \dots, K_u, L_1, \dots, L_v, S$  and  $c$  be as in Theorem 2.1. Then the set of pairs of binary forms  $F, G \in \mathbb{Z}_S[X, Y]$  satisfying (1.1) for which*

- $F$  is associated with  $K_1, \dots, K_u$ ,  $G$  is associated with  $L_1, \dots, L_v$ ,
- $F, G$  do not have multiple factors,
- $F$  is  $\mathbb{Z}_S$ -minimal

is contained in the union of at most

$$e^{10^{24}(m+n)mn(t+1)}\psi(c)$$

$\mathbb{Z}_S$ -equivalence classes, where

$$\psi(c) := 2^{\omega(c)} \prod_{p|c} \binom{\text{ord}_p(c) + mn + 2}{mn + 2}.$$

Using the arguments of the proof of Theorem 2.2 we could also give an explicit upper bound for  $\deg F + \deg G$ . We will not work this out in our paper.

If in Theorem 2.2 we drop the condition that  $F$  be  $\mathbb{Z}_S$ -minimal, the number of  $\mathbb{Z}_S$ -equivalence classes remains finite, but we are no longer able to give an explicit upper bound for it. In fact, we believe that to give an explicit upper bound for the number of equivalence classes without the minimality constraint is a difficult problem, and at the end of this section we give an example to illustrate this. We managed only to prove the following asymptotic result.

**Theorem 2.3.** *Let again  $m, n$  be integers with  $m \geq 3$ ,  $n \geq 3$ , and let  $K_1, \dots, K_u, L_1, \dots, L_v, S$  and  $c$  be as in Theorem 2.1. Then the number of  $\mathbb{Z}_S$ -equivalence classes of pairs of binary forms  $F, G \in \mathbb{Z}_S[X, Y]$  which satisfy (1.1) and for which*

- $F$  is associated with  $K_1, \dots, K_u$ ,  $G$  is associated with  $L_1, \dots, L_v$ ,
- $F, G$  do not have multiple factors,

is, for every  $\delta > 0$ , at most

$$O\left(c^{\frac{1}{mn} + \delta}\right) \text{ as } c \rightarrow \infty,$$

where the implied constant depends on  $K_1, \dots, K_u, L_1, \dots, L_v, m, n, S$  and  $\delta$ . This constant cannot be computed effectively from our method of proof.

The following example shows that the exponent of  $c$  cannot be replaced by something smaller than  $\frac{1}{mn}$ . Fix two binary forms  $F, G \in \mathbb{Z}[X, Y]$  of degrees  $m \geq 3$ ,  $n \geq 3$ , respectively, without multiple factors, and having resultant  $R(F, G) =: r \neq 0$ . Suppose that  $F$  is associated with the number fields  $K_1, \dots, K_u$  and  $G$  with the number fields  $L_1, \dots, L_v$ . Let  $p$  be any prime number. Then the pairs of binary forms  $(F_b, G_b)$  given by  $F_b(X, Y) = F(pX, bX + Y)$ ,  $G_b(X, Y) = G(pX, bX + Y)$  ( $b = 0, \dots, p - 1$ ) are pairwise  $\mathbb{Z}$ -inequivalent. Further,  $F_b$  is associated with  $K_1, \dots, K_u$  and  $G_b$  with  $L_1, \dots, L_v$  and  $F_b, G_b$  do not have multiple factors. By (2.1) we have  $R(F_b, G_b) = rp^{mn}$ . So if we take  $c := |r|p^{mn}$  and let  $p \rightarrow \infty$ , we obtain infinitely many integers  $c$  such that the set of pairs of binary forms

$(F, G)$  satisfying the conditions of Theorem 2.3 with  $S = \emptyset$  lie in  $\gg c^{\frac{1}{mn}}$   $\mathbb{Z}$ -equivalence classes.

We give a consequence for Thue-Mahler equations of the shape

$$(2.3) \quad F(x, y) \in c\mathbb{Z}_S^* \text{ in } (x, y) \in \mathbb{Z}_S \times \mathbb{Z}_S, \text{ with } \gcd(x, y) = 1,$$

where  $F$  is a binary form in  $\mathbb{Z}_S[X, Y]$  and  $c$  a positive integer coprime with the primes in  $S$ . Two solutions  $(x_1, y_1), (x_2, y_2)$  of (2.3) are called proportional if  $(x_2, y_2) = \lambda(x_1, y_1)$  for some  $\lambda \in \mathbb{Q}^*$ . EVERTSE AND GYÓRY [7] proved the following. Let  $m \geq 3$  and let  $L$  be a given number field. Then the binary forms  $F \in \mathbb{Z}_S[X, Y]$  of degree  $m$  such that  $F$  has no multiple factors,  $F$  splits into linear factors over  $L$  and such that (2.3) has at least three pairwise non-proportional solutions, lie in finitely many  $\mathbb{Z}_S$ -equivalence classes.

We prove the following quantitative result:

**Corollary 2.4.** *Let  $m$  be an integer with  $m \geq 3$ ,  $K_1, \dots, K_u$  number fields with  $\sum_{i=1}^u [K_i : \mathbb{Q}] = m$ ,  $S = \{p_1, \dots, p_t\}$  a finite, possibly empty set of primes, and  $c$  a positive integer coprime with  $p_1 \cdots p_t$  if  $S \neq \emptyset$ . Then the set of binary forms  $F \in \mathbb{Z}_S[X, Y]$  such that*

- (2.3) has three pairwise non-proportional solutions,
- $F$  is associated with  $(K_1, \dots, K_u)$ ,  $F$  has no multiple factors,
- $F$  is  $\mathbb{Z}_S$ -minimal

is contained in the union of at most

$$e^{3 \times 10^{24} m(m+3)(t+1)} \cdot 2^{\omega(c)} \prod_{p|c} \binom{3 \operatorname{ord}_p(c) + 3m + 2}{3m + 2}$$

$\mathbb{Z}_S$ -equivalence classes.

We derive Corollary 2.4 from Theorem 2.2. Let  $F \in \mathbb{Z}_S[X, Y]$  be a binary form satisfying the conditions of Corollary 2.4. Let  $(x_1, y_1), (x_2, y_2), (x_3, y_3)$  be pairwise non-proportional solutions of (2.3). Define the binary form  $G(X, Y) = \prod_{i=1}^3 (y_i X - x_i Y)$ . Then

$$R(F, G) = \prod_{i=1}^3 F(x_i, y_i) \in c^3 \mathbb{Z}_S^*.$$

Hence the pair  $(F, G)$  satisfies the conditions of Theorem 2.2 with  $n = 3$ ,  $(L_1, \dots, L_v) = (\mathbb{Q}, \mathbb{Q}, \mathbb{Q})$ , and with  $c^3$  instead of  $c$ . By applying Theorem 2.2 with these data, we see that the pairs  $(F, G)$  lie in at most  $N$   $\mathbb{Z}_S$ -equivalence classes, where  $N$  is the quantity obtained by substituting  $n = 3$  and  $c^3$  for  $c$  in the upper bound in Theorem 2.2. Hence the binary forms  $F$  lie in at most  $N$   $\mathbb{Z}_S$ -equivalence classes.  $\square$

We return to the problem, addressed to above, to give a fully explicit upper bound for the number of equivalence classes of pairs  $(F, G)$  satisfying the conditions of Theorem 2.3 without the constraint that  $F$  be  $\mathbb{Z}_S$ -minimal. In Lemma 9.3 in Section 9 we prove that for every pair of binary forms  $(F, G)$  in  $\mathbb{Z}_S[X, Y]$  with (1.1) there are a pair of binary forms  $(F_0, G_0)$  in  $\mathbb{Z}_S[X, Y]$  with (1.1) such that  $F_0$  is  $\mathbb{Z}_S$ -minimal, and a matrix  $A \in \text{NS}_2(\mathbb{Z}_S)$ , such that

$$(2.4) \quad F = (F_0)_A, \quad G = (G_0)_{(\det A)^{-1}A}.$$

Now Theorem 2.2 gives an explicit upper bound for the number of  $\mathbb{Z}_S$ -equivalence classes of pairs  $(F_0, G_0)$ , so what we would like is to give an explicit upper bound for the number of  $\mathbb{Z}_S$ -equivalence classes of pairs  $(F, G)$  corresponding to a given pair  $(F_0, G_0)$  as in (2.4). But for this we would need some “effective information” about the pair  $(F_0, G_0)$  that is not provided by our method of proof.

We illustrate more concretely the problems that arise by considering a special case. Let  $S = \{p_1, \dots, p_t\}$  be a finite set of primes. Consider binary forms

$$(2.5) \quad F = X(X - a_1Y)(X - a_2Y), \quad G = Y(b_1X - Y)(b_2X - Y),$$

where  $a_1, a_2, b_1, b_2 \in \mathbb{Z}$ ,  $a_1 > 0$ ,  $a_2, b_1, b_2 \neq 0$ ,  $a_1 \neq a_2$ ,  $b_1 \neq b_2$ . These constraints on  $a_1, a_2, b_1, b_2$  imply that any two distinct pairs of binary forms of type (2.5) are  $\mathbb{Z}_S$ -inequivalent. We have

$$(2.6) \quad R(F, G) = - \prod_{i=1}^2 \prod_{j=1}^2 (1 - a_i b_j).$$

We consider

$$(2.7) \quad R(F, G) \in \mathbb{Z}_S^* \text{ in binary forms of type (2.5).}$$

From (2.6), (2.7) it follows that

$$(2.8) \quad \varepsilon_{ij} := 1 - a_i b_j \in \mathbb{Z}_S^* \text{ for } i, j = 1, 2.$$

Further,

$$(2.9) \quad \begin{vmatrix} 1 & 1 & 1 \\ 1 & \varepsilon_{11} & \varepsilon_{12} \\ 1 & \varepsilon_{21} & \varepsilon_{22} \end{vmatrix} = 0.$$

Lemma 3.3 in Section 3 of the present paper gives an explicit upper bound for the number of solutions  $\varepsilon_{11}, \varepsilon_{12}, \varepsilon_{21}, \varepsilon_{22} \in \mathbb{Z}_S^*$  of (2.9) such that

$$(2.10) \quad \text{each } 2 \times 2\text{-subdeterminant of the left-hand side is } \neq 0.$$

Notice that this is satisfied by the numbers of the type (2.8).



Let  $\varepsilon_{11}, \varepsilon_{12}, \varepsilon_{21}, \varepsilon_{22} \in \mathbb{Z} \cap \mathbb{Z}_S^*$  be any solution of (2.9),(2.10). Define the quantities

$$b'_1 := \pm \gcd(1 - \varepsilon_{11}, 1 - \varepsilon_{21}), \quad a'_1 := \frac{1 - \varepsilon_{11}}{b'_1}, \quad a'_2 := \frac{1 - \varepsilon_{21}}{b'_1}, \quad b'_2 := \frac{1 - \varepsilon_{12}}{a'_1},$$

where we choose the sign of  $b'_1$  such that  $a'_1 > 0$ . Then  $a'_1, a'_2, b'_1 \in \mathbb{Z}$  and moreover  $b'_2 \in \mathbb{Z}$  since  $a'_1/a'_2 = (1 - \varepsilon_{11})/(1 - \varepsilon_{21}) = (1 - \varepsilon_{12})/(1 - \varepsilon_{22})$  and  $\gcd(a'_1, a'_2) = 1$ . Further,  $\varepsilon_{11} = 1 - a'_1 b'_1$ ,  $\varepsilon_{21} = 1 - a'_2 b'_1$ ,  $\varepsilon_{12} = 1 - a'_1 b'_2$ ,  $\varepsilon_{22} = 1 - a'_2 b'_2$ .

If we require that  $F$  be  $\mathbb{Z}_S$ -minimal then  $\gcd(a_1, a_2) = 1$ . In that case we have  $a_1 = a'_1$ ,  $a_2 = a'_2$ ,  $b_1 = b'_1$ ,  $b_2 = b'_2$  and so  $a_1, a_2, b_1, b_2$  are uniquely determined by  $\varepsilon_{11}, \varepsilon_{12}, \varepsilon_{21}, \varepsilon_{22}$ . Thus, we obtain an explicit upper bound for the number of solutions  $(F, G)$  of (2.7) for which  $F$  is  $\mathbb{Z}_S$ -minimal.

If we do not require that  $F$  be  $\mathbb{Z}_S$ -minimal, we obtain for every solution  $\varepsilon_{11}, \varepsilon_{21}, \varepsilon_{12}, \varepsilon_{22} \in \mathbb{Z} \cap \mathbb{Z}_S^*$  of (2.9), (2.10) and every positive divisor  $d$  of

$$\gcd(b'_1, b'_2) = \gcd(1 - \varepsilon_{11}, 1 - \varepsilon_{21}, 1 - \varepsilon_{12}, 1 - \varepsilon_{22})$$

a solution  $(F, G)$  of (2.7), given by

$$a_1 = da'_1, \quad a_2 = da'_2, \quad b_1 = b'_1/d, \quad b_2 = b'_2/d.$$

Thus, to obtain an explicit upper bound for the total number of solutions  $(F, G)$  of (2.7), we need for every solution  $\varepsilon_{11}, \varepsilon_{12}, \varepsilon_{21}, \varepsilon_{22} \in \mathbb{Z}_S^* \cap \mathbb{Z}$  of (2.9) an explicit upper bound for the number of divisors of the quantity  $\gcd(1 - \varepsilon_{11}, 1 - \varepsilon_{21}, 1 - \varepsilon_{12}, 1 - \varepsilon_{22})$ . We have no clue how to determine such a bound.

### 3. AUXILIARY RESULTS

Let  $(\mathbb{C}^*)^N$  be the  $N$ -fold direct product of  $\mathbb{C}^*$  with coordinatewise multiplication  $(x_1, \dots, x_N)(y_1, \dots, y_N) = (x_1 y_1, \dots, x_N y_N)$ . We say that a subgroup  $\Gamma$  of  $(\mathbb{C}^*)^N$  has rank  $r$  if  $\Gamma$  has a free subgroup  $\Gamma_0$  of rank  $r$  such that for every  $\mathbf{u} \in \Gamma$  there is  $s \in \mathbb{Z}_{>0}$  with  $\mathbf{u}^s \in \Gamma_0$ .

**Lemma 3.1.** *Let  $\Gamma$  be a subgroup of  $(\mathbb{C}^*)^N$  of rank  $r$  and  $a_1, \dots, a_N \in \mathbb{C}^*$ . Then the equation*

$$(3.1) \quad a_1 x_1 + \dots + a_N x_N = 1 \text{ in } \mathbf{x} = (x_1, \dots, x_N) \in \Gamma$$

has at most  $e^{(6N)^{3N}(r+1)}$  solutions with

$$(3.2) \quad \sum_{i \in I} a_i x_i \neq 0 \text{ for each non-empty subset } I \text{ of } \{1, \dots, N\}.$$

*Proof.* See EVERTSE, SCHLICKWEI, and SCHMIDT [10, Theorem 1.1].  $\square$

For  $N = 2$ , the following lemma gives a sharper result.

**Lemma 3.2.** *Let  $N = 2$  and let  $\Gamma$ ,  $a_1, a_2$  be as in Lemma 3.1. Then the equation (3.1) has at most*

$$2^{8(r+2)}$$

*solutions.*

*Proof.* This is an immediate consequence of Theorem 1.1 of BEUKERS and SCHLICKWEI [5].  $\square$

**Lemma 3.3.** *For  $i, j = 1, 2$ , let  $\Gamma_{ij}$  be a subgroup of  $\mathbb{C}^*$  of rank  $r$ . Then the equation*

$$(3.3) \quad \begin{vmatrix} 1 & 1 & 1 \\ 1 & x_{11} & x_{12} \\ 1 & x_{21} & x_{22} \end{vmatrix} = 0 \text{ in } x_{ij} \in \Gamma_{ij} \text{ for } i, j = 1, 2$$

*has at most  $e^{30^{15}(4r+2)}$  solutions such that*

$$(3.4) \quad \text{each } 2 \times 2\text{-subdeterminant of the left-hand side of (3.3) is } \neq 0.$$

*Proof.* This can be proved by going through the proof of EVERTSE, GYÓRY, STEWART, TIJDEMAN [9, Theorem 1], see also BÉRCZES [1]. By expanding (3.3) we obtain

$$(3.5) \quad x_{11}x_{22} - x_{12}x_{21} + x_{21} - x_{22} + x_{12} - x_{11} = 0.$$

Notice that the summands of (3.5) lie in the group generated by  $-1, \Gamma_{11}, \Gamma_{12}, \Gamma_{21}, \Gamma_{22}$ , which has rank at most  $4r$ . We have to consider all partitions of the left-hand side of (3.5) into minimal vanishing subsums and apply Lemma 3.1 to each subsum. We consider only two cases; the other cases can be dealt with in a similar way following [9].

First, we consider the solutions of (3.3), (3.4) such that no proper subsum of the left-hand side of (3.5) vanishes. On dividing (3.5) by  $x_{11}$  we obtain

$$x_{22} - \frac{x_{12}x_{21}}{x_{11}} + \frac{x_{21}}{x_{11}} - \frac{x_{22}}{x_{11}} + \frac{x_{12}}{x_{11}} = 1.$$

By Lemma 3.1 with  $N = 5$ , we have at most  $e^{30^{15}(4r+1)}$  possibilities for the tuple  $\left(x_{22}, \frac{x_{12}x_{21}}{x_{11}}, \frac{x_{21}}{x_{11}}, \frac{x_{22}}{x_{11}}, \frac{x_{12}}{x_{11}}\right)$ . Each such tuple determines uniquely the tuple  $(x_{11}, x_{12}, x_{21}, x_{22})$ . Hence (3.3), (3.4) have at most  $e^{30^{15}(4r+1)}$  solutions such that no proper subsum of the left-hand side of (3.5) vanishes.

Next, we consider those solutions of (3.3), (3.4) for which

$$(3.6) \quad x_{11}x_{22} - x_{12}x_{21} + x_{21} = 0, \quad -x_{22} + x_{12} - x_{11} = 0$$

and no proper subsum of any of these sums vanishes. By dividing the first sum by  $x_{21}$  and the second sum by  $x_{11}$  we obtain

$$x_{12} - \frac{x_{11}x_{22}}{x_{21}} = 1, \quad \frac{x_{12}}{x_{11}} - \frac{x_{22}}{x_{11}} = 1.$$

By Lemma 3.1 we have at most

$$(e^{126(4r+1)})^2 < \frac{1}{200} e^{30^{15}(4r+1)}$$

possibilities for the tuple  $(x_{12}, \frac{x_{11}x_{22}}{x_{21}}, \frac{x_{12}}{x_{11}}, \frac{x_{22}}{x_{11}})$ . This tuple determines uniquely the tuple  $(x_{11}, x_{12}, x_{21}, x_{22})$ . Hence (3.3), (3.4) have at most  $\frac{1}{200} e^{30^{15}(4r+1)}$  solutions such that (3.6) holds, and no proper subsum of the sums in (3.6) vanishes.

Following [9] one can show that each other partition of (3.5) into minimal vanishing subsums also gives rise to at most  $\frac{1}{200} e^{30^{15}(4r+1)}$  solutions of (3.3), (3.4). The total number of partitions of (3.5) into minimal vanishing subsums is at most  $\binom{6}{2} + \binom{6}{3} + \binom{6}{2} \binom{4}{2} = 125$  (we are very generous here). Hence the total number of solutions of (3.3), (3.4) is at most

$$\left(1 + \frac{125}{200}\right) e^{30^{15}(4r+1)} < e^{30^{15}(4r+2)}.$$

□

#### 4. PROOF OF THEOREM 2.1

We shall deduce Theorem 2.1 from the following.

**Lemma 4.1.** *Let  $m, n$  be integers with  $m \geq 2$ ,  $n \geq 2$  and  $m + n \geq 5$ . For  $i = 1, \dots, m$ ,  $j = 1, \dots, n$ , let  $\Gamma_{ij}$  be subgroups of  $\mathbb{C}^*$  of rank at most  $r$ . If  $(x_1, \dots, x_m, y_1, \dots, y_n)$  runs through the tuples in  $\mathbb{C}^{m+n}$  for which*

$$(4.1) \quad \begin{cases} x_i - y_j \in \Gamma_{ij} & \text{for } 1 \leq i \leq m, 1 \leq j \leq n, \\ x_1, \dots, x_m, y_1, \dots, y_n & \text{are pairwise distinct,} \end{cases}$$

*then the  $mn$ -tuple  $\left(\frac{x_i - y_j}{x_1 - y_1}\right)_{\substack{i=1, \dots, m \\ j=1, \dots, n}}$  runs through a set of cardinality at most*

$$(4.2) \quad 3 \cdot 2^{24(r+1)(m+n-4)} e^{18^9(4r+1)}.$$

*Proof.* We proceed by induction on  $m + n$ . First suppose that  $m = 2, n = 3$ . Let  $(x_1, x_2, y_1, y_2, y_3) \in \mathbb{C}^5$  be a tuple with (4.1). For  $1 \leq j < k \leq 3$ , consider the identity

$$(4.3) \quad (x_1 - y_j) + (y_j - x_2) + (x_2 - y_k) + (y_k - x_1) = 0.$$

It is easily seen that the 4-terms sum on the left-hand side of (4.3) can have a vanishing subsum for at most one pair  $(j, k)$ . We may assume that for  $(j, k) = (1, 2)$  and  $(1, 3)$  there is no vanishing subsum on the left-hand side. For  $(j, k) = (1, 2)$ , identity (4.3) gives

$$(4.4) \quad \frac{x_2 - y_1}{x_1 - y_1} - \frac{x_2 - y_2}{x_1 - y_1} + \frac{x_1 - y_2}{x_1 - y_1} = 1.$$

Notice that the summands of (4.4) belong to the group generated by  $-1, \Gamma_{11}, \Gamma_{12}, \Gamma_{21}, \Gamma_{22}$  which has rank at most  $4r$ . Hence, by Lemma 3.1, there are

at most  $C_1 = e^{18^9(4r+1)}$  possibilities for the tuple  $\left(\frac{x_2-y_1}{x_1-y_1}, \frac{x_2-y_2}{x_1-y_1}, \frac{x_1-y_2}{x_1-y_1}\right)$ . If we fix  $\frac{x_2-y_1}{x_1-y_1}$  and set  $a_1 = \frac{x_1-y_1}{x_1-x_2}$ ,  $a_2 = -a_1$ , then we infer from (4.3) with  $(j, k) = (1, 3)$  that

$$(4.5) \quad a_1 \frac{x_1 - y_3}{x_1 - y_1} + a_2 \frac{x_2 - y_3}{x_1 - y_1} = 1.$$

By Lemma 3.2 there are at most  $C_2 = 2^{8(3r+3)}$  possibilities for the tuple  $\left(\frac{x_1-y_3}{x_1-y_1}, \frac{x_2-y_3}{x_1-y_1}\right)$ . This proves the assertion for  $m+n=5$  with the bound  $3C_1C_2$ .

Consider now the case  $m+n > 5$ . We may assume without loss of generality that  $n \geq 3$ . Suppose that Lemma 4.1 has already been proved for  $m+n-1$ . This means that if  $(x_1, \dots, x_m, y_1, \dots, y_{n-1})$  runs through the tuples in  $\mathbb{C}^{m+n-1}$  with (4.1), then the tuple  $\left(\frac{x_i-y_j}{x_1-y_1}\right)_{\substack{i=1, \dots, m \\ j=1, \dots, n}}$  runs through a set of cardinality at most  $3C_1C_2^{m+n-5}$ . Fix such a tuple  $\left(\frac{x_i-y_j}{x_1-y_1}\right)$  with  $1 \leq i \leq m$ ,  $1 \leq j \leq n-1$ . Then  $\frac{x_1-x_2}{x_1-y_1}$  is uniquely determined. Then we get again equation (4.5), but with  $y_n$  instead of  $y_3$ , and we infer as above that there are at most  $C_2$  possibilities for the tuple  $\left(\frac{x_1-y_n}{x_1-y_1}, \frac{x_2-y_n}{x_1-y_1}\right)$ . If such a tuple is fixed, then  $\frac{x_i-y_n}{x_1-y_1}$  is uniquely determined for each  $i > 2$ . Hence the set of tuples under consideration  $\left(\frac{x_i-y_j}{x_1-y_1}\right)$  with  $1 \leq i \leq m$ ,  $1 \leq j \leq n$  is of cardinality at most  $3C_1C_2^{m+n-4}$  which proves our assertion.  $\square$

*Proof of Theorem 2.1.* We view  $K_1, \dots, K_u, L_1, \dots, L_v$  as subfields of  $\mathbb{C}$ . For  $i = 1, \dots, u$ , let  $\sigma_{ij}$  ( $j = 1, \dots, [K_i : \mathbb{Q}]$ ) be the embeddings of  $K_i$  in  $\mathbb{C}$ , and let  $K_1, \dots, K_m$  be the sequence of fields consisting of  $\sigma_{ij}(K_i)$  ( $i = 1, \dots, u$ ,  $j = 1, \dots, [K_i : \mathbb{Q}]$ ). Likewise, we augment  $L_1, \dots, L_v$  to a sequence of fields  $L_1, \dots, L_n$ . Denote by  $T$  the set of primes consisting of  $p_1, \dots, p_t$  and the distinct prime factors of  $c$ . For  $i = 1, \dots, m$ ,  $j = 1, \dots, n$ , let  $\Gamma_{ij}$  be the unit group of the integral closure of  $\mathbb{Z}_T$  in the compositum  $K_iL_j$  of  $K_i$  and  $L_j$ . Then  $\Gamma_{ij}$  is a subgroup of  $\mathbb{C}^*$  of rank at most  $mn(t + \omega(c) + 1) - 1$ .

Let  $F, G$  be any pair of binary forms with coefficients in  $\mathbb{Z}_S$  satisfying (1.1) and the other conditions of Theorem 2.1. Then

$$F(X, Y) = \prod_{i=1}^m (X - \alpha_i Y), \quad G(X, Y) = \prod_{j=1}^n (X - \beta_j Y)$$

where  $\alpha_i \in K_i$  for  $i = 1, \dots, m$ ,  $\beta_j \in L_j$  for  $j = 1, \dots, n$ , the numbers  $\alpha_1, \dots, \alpha_m, \beta_1, \dots, \beta_n$  are pairwise distinct, and

$$R(F, G) = \prod_{i=1}^m \prod_{j=1}^n (\beta_j - \alpha_i) \in \mathbb{Z}_T^*.$$

This implies that  $\alpha_i - \beta_j \in \Gamma_{ij}$  for  $i = 1, \dots, m, j = 1, \dots, n$ . So by Lemma 4.1 and the fact that each group  $\Gamma_{ij}$  has rank at most  $mn(t + \omega(c) + 1) - 1$ , the  $mn$ -tuple  $\left(\frac{\alpha_i - \beta_j}{\alpha_1 - \beta_1} : 1 \leq i \leq m, 1 \leq j \leq n\right)$  belongs to a set independent of  $F, G$  of cardinality at most  $C$ , where  $C$  denotes the quantity obtained by substituting  $mn(t + \omega(c) + 1) - 1$  for  $r$  in the bound in (4.2).

It follows from (1.1) that

$$(4.6) \quad R(F, G) = \rho_1^{mn} \rho_0 c$$

where  $\rho_1, \rho_0 \in \mathbb{Z}_S^*$  and where  $\rho_0$  may assume at most  $2(mn)^t$  distinct values. Any choice of  $\rho_0$  and a tuple  $\left(\frac{\alpha_i - \beta_j}{\alpha_1 - \beta_1} : 1 \leq i \leq m, 1 \leq j \leq n\right)$  determines uniquely the tuple  $\left(\frac{\alpha_i/\rho_1 - \beta_j/\rho_1}{\alpha_1/\rho_1 - \beta_1/\rho_1}\right)$  with  $1 \leq i \leq m, 1 \leq j \leq n$  and, by (4.6), also the number  $(\alpha_1/\rho_1 - \beta_1/\rho_1)^{mn}$ . This leaves at most  $mn$  possibilities for  $\alpha_1/\rho_1 - \beta_1/\rho_1$ . Then any choice of  $\alpha_1/\rho_1 - \beta_1/\rho_1$  determines uniquely the numbers  $\alpha_i/\rho_1 - \beta_j/\rho_1$  and  $\beta_j/\rho_1 - \beta_1/\rho_1$  ( $i = 1, \dots, m, j = 1, \dots, n$ ).

By combining the above we obtain that there is a set  $V$  of cardinality at most  $2(mn)^{t+1}C$  with the following property: if  $(F, G)$  is any pair of binary forms satisfying (1.1) and the conditions of Theorem 2.1, then there are  $\rho_1 \in \mathbb{Z}_S^*$  and an ordering of the zeros  $\alpha_1, \dots, \alpha_m, \beta_1, \dots, \beta_n$  of  $F, G$ , such that  $(\alpha_i/\rho_1 - \beta_1/\rho_1, \beta_j/\rho_1 - \beta_1/\rho_1 : 1 \leq i \leq m, 1 \leq j \leq n) \in V$ .

If now  $F', G'$  is another pair of binary forms in  $\mathbb{Z}_S[X, Y]$  with (1.1) whose zeros, say  $\alpha'_1, \dots, \alpha'_m, \beta'_1, \dots, \beta'_n$  yield for some  $\rho'_1 \in \mathbb{Z}_S^*$  the same tuple  $(\alpha'_i/\rho'_1 - \beta'_1/\rho'_1, \beta'_j/\rho'_1 - \beta'_1/\rho'_1 : 1 \leq i \leq m, 1 \leq j \leq n)$ , then

$$\alpha'_i = \rho \alpha_i + b \quad \text{and} \quad \beta'_j = \rho \beta_j + b$$

hold for  $i = 1, \dots, m$  and  $j = 1, \dots, n$  where  $\rho \in \mathbb{Z}_S^*$  and where  $b$  is integral over  $\mathbb{Z}_S$ . Using  $\alpha_1 + \dots + \alpha_m \in \mathbb{Q}, \beta_1 + \dots + \beta_n \in \mathbb{Q}$  we infer that  $b \in \mathbb{Q}$ . Consequently,  $b \in \mathbb{Z}_S$ . This means that the pairs  $(F', G')$  and  $(F, G)$  are strongly  $\mathbb{Z}_S$ -equivalent.

It follows that the pairs of binary forms  $(F, G)$  satisfying (1.1) and the conditions of Theorem 2.1 lie in the union of at most

$$\begin{aligned} 2(mn)^{t+1}C &= 2(mn)^{t+1} \cdot 3 \cdot 2^{24mn(t+\omega(c)+1)(m+n-4)} e^{18^9(4mn(t+\omega(c)+1)-3)} \\ &\leq e^{17(m+n+10^{11})mn(t+\omega(c)+1)} \end{aligned}$$

strong  $\mathbb{Z}_S$ -equivalence classes. This completes the proof of Theorem 2.1.  $\square$

## 5. AUGMENTED FORMS

In the proof of Theorem 2.2 it will be more convenient to work with so-called augmented forms  $F^*$ , which are tuples consisting of a binary form  $F$  and the zeros of  $F$  on the projective line.

Let  $K$  be a field and  $\mathbb{P}^1(K) := \{(\xi : \eta) : \xi, \eta \in K, (\xi, \eta) \neq (0, 0)\}$  the projective line over  $K$  where  $(\xi : \eta) = (\xi' : \eta')$  if and only if  $(\xi', \eta') = \lambda(\xi, \eta)$  for some  $\lambda \in K^*$ . The projective transformation of  $\mathbb{P}^1(K)$  defined by a matrix  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2(K)$  is given by  $\langle A \rangle : (\xi : \eta) \mapsto (a\xi + b\eta : c\xi + d\eta)$ . Clearly, two matrices define the same projective transformation if and only if they are proportional.

Let  $\Omega$  be a domain with quotient field  $K$  of characteristic 0. Choose an algebraic closure  $\overline{K}$  of  $K$ . By an *augmented binary form of degree  $m$  over  $\Omega$*  we mean a tuple

$$F^* = (F, (\beta_1 : \alpha_1), \dots, (\beta_m : \alpha_m)),$$

where  $F$  is a binary form in  $\Omega[X, Y]$ , and  $(\beta_1 : \alpha_1), \dots, (\beta_m : \alpha_m)$  are *distinct* points in  $\mathbb{P}^1(\overline{K})$ , such that  $F = \lambda \prod_{i=1}^m (\alpha_i X - \beta_i Y)$  for some  $\lambda \in \overline{K}^*$ . So it is part of the definition that  $F$  does not have multiple factors. We define  $\deg F^* := \deg F = m$ . We denote by  $\mathcal{A}(\Omega, m)$  the collection of augmented forms of degree  $m$  over  $\Omega$ . We write  $F^* = (F, \dots)$  if  $F$  is the binary form corresponding to  $F^*$ .

Given  $F^* = (F, (\beta_1 : \alpha_1), \dots, (\beta_m : \alpha_m)) \in \mathcal{A}(\Omega, m)$ ,  $\varepsilon \in \Omega^*$ ,  $U = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2(\Omega)$ , we define

$$\varepsilon F_U^* = (\varepsilon F_U, \langle U^{-1} \rangle(\beta_1 : \alpha_1), \dots, \langle U^{-1} \rangle(\beta_m : \alpha_m)).$$

Then again,  $\varepsilon F_U^* \in \mathcal{A}(\Omega, m)$ . Two augmented forms  $F_1^*, F_2^* \in \mathcal{A}(\Omega, m)$  are called  $\Omega$ -equivalent if  $F_2^* = \varepsilon(F_1^*)_U$  for some  $\varepsilon \in \Omega^*$  and  $U \in \mathrm{GL}_2(\Omega)$ . Two pairs  $(F_1^*, G_1^*), (F_2^*, G_2^*) \in \mathcal{A}(\Omega, m) \times \mathcal{A}(\Omega, n)$  are called  $\Omega$ -equivalent if  $F_2^* = \varepsilon(F_1^*)_U$ ,  $G_2^* = \eta(G_1^*)_U$  for some  $\varepsilon, \eta \in \Omega^*$  and  $U \in \mathrm{GL}_2(\Omega)$ .

Denote by  $G_K$  the Galois group of  $\overline{K}$  over  $K$  and for  $\sigma \in G_K$ ,  $(\xi : \eta) \in \mathbb{P}^1(\overline{K})$  define  $\sigma((\xi : \eta)) := (\sigma(\xi) : \sigma(\eta))$ . If  $F^* = (F, (\beta_1 : \alpha_1), \dots, (\beta_m : \alpha_m)) \in \mathcal{A}(\Omega, m)$ , then every  $\sigma \in G_K$  permutes  $(\beta_1 : \alpha_1), \dots, (\beta_m : \alpha_m)$ . By a  $G_K$ -action on  $\{1, \dots, m\}$  we mean a group homomorphism from  $G_K$  to the permutation group of  $\{1, \dots, m\}$ . Given a  $G_K$ -action  $\varphi$  of  $\{1, \dots, m\}$ , we denote by  $\mathcal{A}(\Omega, \varphi)$  the collection of augmented forms of degree  $m$  over  $\Omega$ ,

$$F^* = (F, (\beta_1 : \alpha_1), \dots, (\beta_m : \alpha_m)),$$

such that

$$\sigma(\beta_i : \alpha_i) = (\beta_{\varphi(\sigma)(i)} : \alpha_{\varphi(\sigma)(i)}) \quad \text{for } \sigma \in G_K, \quad i = 1, \dots, m.$$

It is easy to check that  $\mathcal{A}(\Omega, \varphi)$  is closed under  $\Omega$ -equivalence, and that for any two actions  $\varphi$  on  $\{1, \dots, m\}$ ,  $\psi$  on  $\{1, \dots, n\}$ ,  $\mathcal{A}(\Omega, \varphi) \times \mathcal{A}(\Omega, \psi)$  is closed under  $\Omega$ -equivalence.

A binary form  $F \in \Omega[X, Y]$  is called  $\Omega$ -*primitive* if the ideal generated by its coefficients is equal to  $\Omega$ . We call  $F$   $\Omega$ -*minimal* if there are no binary form  $G \in \Omega[X, Y]$  and matrix  $A \in \text{NS}_2(\Omega) \setminus \text{GL}_2(\Omega)$  such that  $F = G_A$ . (These notions are meaningless if  $\Omega$  is a field.)

We start with a useful lemma.

**Lemma 5.1.** *Let  $K$  be a field of characteristic 0,  $\overline{K}$  an algebraic closure of  $K$  and  $L$  an extension of  $\overline{K}$ . Further let  $m \geq 3$  and let  $\varphi$  be a  $G_K$ -action on  $\{1, \dots, m\}$ . Lastly, let  $F_1^*, F_2^* \in \mathcal{A}(K, \varphi)$ , and suppose that there are  $A \in \text{GL}_2(L)$ ,  $\lambda \in L^*$  such that*

$$F_2^* = \lambda(F_1^*)_A.$$

(i). *Let  $A' \in \text{GL}_2(L)$ ,  $\lambda' \in L^*$  be any other pair with  $F_2^* = \lambda'(F_1^*)_{A'}$ . Then  $A' = \mu A$  for some  $\mu \in L^*$ .*

(ii). *There are  $B \in \text{GL}_2(K)$ ,  $\nu \in L^*$  such that  $A = \nu B$ .*

*Proof.* (i) Write  $F_i^* = (F_i, (\beta_{i1} : \alpha_{i1}), \dots, (\beta_{im} : \alpha_{im}))$  for  $i = 1, 2$ . By assumption,  $m \geq 3$  and  $\langle A^{-1} \rangle(\beta_{1j} : \alpha_{1j}) = (\beta_{2j} : \alpha_{2j})$ ,  $\langle A'^{-1} \rangle(\beta_{1j} : \alpha_{1j}) = (\beta_{2j} : \alpha_{2j})$  for  $j = 1, \dots, m$ . Since a projective transformation of the projective line is uniquely determined by its action on three points, this implies  $\langle A^{-1} \rangle = \langle A'^{-1} \rangle$ , hence  $A' = \mu A$  for some  $\mu \in L^*$ .

(ii) Since  $(\beta_{ij} : \alpha_{ij}) \in \mathbb{P}^1(\overline{K})$  for  $i = 1, 2$ ,  $j = 1, \dots, m$ , the projective transformation  $\langle A^{-1} \rangle$  is defined over  $\overline{K}$ . This implies that there are  $\nu \in L^*$ ,  $B \in \text{GL}_2(\overline{K})$  such that  $A = \nu B$ . Without loss of generality we assume that one of the entries of  $B$  is equal to 1. For  $\sigma \in G_K$ , denote by  $\sigma(B)$  the matrix obtained by applying  $\sigma$  to the entries of  $B$ . Then for  $\sigma \in G_K$  we have  $\langle \sigma(B)^{-1} \rangle \sigma(\beta_{i1} : \alpha_{i1}) = \sigma(\beta_{i2} : \alpha_{i2})$  for  $i = 1, \dots, m$  and this implies  $\langle \sigma(B)^{-1} \rangle(\beta_{i1} : \alpha_{i1}) = (\beta_{i2} : \alpha_{i2})$  for  $i = 1, \dots, m$  since  $\sigma(\beta_{ij} : \alpha_{ij}) = (\beta_{i, \varphi(\sigma)(j)} : \alpha_{i, \varphi(\sigma)(j)})$  for  $i = 1, 2$ ,  $j = 1, \dots, m$ ,  $\sigma \in G_K$ . Hence for each  $\sigma \in G_K$  there is  $\kappa_\sigma \in \overline{K}^*$  such that  $\sigma(B) = \kappa_\sigma B$ . But one of the entries of  $B$  is equal to 1, so  $\sigma(B) = B$  for  $\sigma \in G_K$ . Therefore,  $B \in \text{GL}_2(K)$ .  $\square$

We now formulate a proposition for augmented forms over  $\mathbb{Z}_S$  and then deduce Theorem 2.2 from this. As before,  $S = \{p_1, \dots, p_t\}$  is a finite, possibly empty set of primes, and  $c$  a positive integer coprime with the primes in  $S$ . The condition (5.2) below has been inserted for technical convenience.

**Proposition 5.2.** *Let  $m \geq 3$ ,  $n \geq 3$ . Let  $\varphi$  be a  $G_{\overline{\mathbb{Q}}}$ -action on  $\{1, \dots, m\}$  and  $\psi$  a  $G_{\overline{\mathbb{Q}}}$ -action on  $\{1, \dots, n\}$ . Then the set of pairs of augmented forms*

$F^* = (F, \dots)$ ,  $G^* = (G, \dots)$  such that

$$(5.1) \quad F^* \in \mathcal{A}(\mathbb{Z}_S, \varphi), \quad G^* \in \mathcal{A}(\mathbb{Z}_S, \psi),$$

$$(5.2) \quad F, G \text{ are } \mathbb{Z}_S\text{-primitive},$$

$$(5.3) \quad F \text{ is } \mathbb{Z}_S\text{-minimal},$$

$$(5.4) \quad R(F, G) \in c\mathbb{Z}_S^*$$

is contained in the union of at most

$$e^{10^{24}(m+n)mn(t+1)} 2^{\omega(c)} \cdot \prod_{p|c} \binom{\text{ord}_p(c) + mn}{mn}$$

$\mathbb{Z}_S$ -equivalence classes.

Proposition 5.2 will be proved in Sections 6 to 8.

*Proof of Theorem 2.2.* Let  $K_1, \dots, K_u$  be one of the sequences of fields from Theorem 2.2. By assumption,  $\sum_{i=1}^u [K_i : \mathbb{Q}] = m$ . For  $i = 1, \dots, u$  denote by  $\sigma_{ij}$  ( $j = 1, \dots, m_i := [K_i : \mathbb{Q}]$ ) the isomorphisms of  $K_i$  into  $\overline{\mathbb{Q}}$ . Pick  $\xi_i$  with  $\mathbb{Q}(\xi_i) = K_i$  for  $i = 1, \dots, u$ , such that the elements of the sequence

$$(\eta_1, \dots, \eta_m) := (\sigma_{11}(\xi_1), \dots, \sigma_{1,m_1}(\xi_1), \sigma_{21}(\xi_2), \dots, \sigma_{2,m_2}(\xi_2), \dots, \\ \sigma_{u1}(\xi_u), \dots, \sigma_{u,m_u}(\xi_u))$$

are distinct. Then every  $\sigma \in G_{\mathbb{Q}}$  permutes  $(\eta_1, \dots, \eta_m)$ . We define an action  $\varphi$  on  $\{1, \dots, m\}$  by requiring that

$$\sigma(\eta_k) = \eta_{\varphi(\sigma)(k)} \text{ for } \sigma \in G_{\mathbb{Q}}, \quad k = 1, \dots, m.$$

Now let  $F \in \mathbb{Z}_S[X, Y]$  be a binary form without multiple factors associated with  $K_1, \dots, K_u$ . Then  $F$  can be expressed as

$$F(X, Y) = \lambda \prod_{i=1}^u \prod_{j=1}^{m_i} (\sigma_{ij}(\theta_i)X - \sigma_{ij}(\zeta_i)Y)$$

where  $\theta_i, \zeta_i \in K_i$  for  $i = 1, \dots, u$  and  $\lambda \in \mathbb{Q}^*$ . Define the augmented form

$$F^* := (F, (\beta_1 : \alpha_1), \dots, (\beta_m : \alpha_m)),$$

where  $(\beta_1 : \alpha_1), \dots, (\beta_m : \alpha_m)$  is the sequence of points in  $\mathbb{P}^1(\overline{\mathbb{Q}})$ ,

$$(\sigma_{11}(\zeta_1) : \sigma_{11}(\theta_1)), \dots, (\sigma_{1,m_1}(\zeta_1) : \sigma_{1,m_1}(\theta_1)), \dots, \\ (\sigma_{u1}(\zeta_u) : \sigma_{u1}(\theta_u)), \dots, (\sigma_{u,m_u}(\zeta_u) : \sigma_{u,m_u}(\theta_u)).$$

Clearly,  $\sigma(\beta_i : \alpha_i) = (\beta_{\varphi(\sigma)(i)} : \alpha_{\varphi(\sigma)(i)})$  for  $\sigma \in G_{\mathbb{Q}}$ ,  $i = 1, \dots, m$ . Thus, we have defined an action  $\varphi$  on  $\{1, \dots, m\}$  depending only on  $K_1, \dots, K_u$ , and every binary form  $F \in \mathbb{Z}_S[X, Y]$  without multiple factors associated with  $K_1, \dots, K_u$  can be extended to an augmented form  $F^* \in \mathcal{A}(\mathbb{Z}_S, \varphi)$ . Completely similarly, we can construct an action  $\psi$  on  $\{1, \dots, n\}$  from the sequence of fields  $L_1, \dots, L_v$ , and extend every binary form  $G \in \mathbb{Z}_S[X, Y]$



without multiple factors associated with  $L_1, \dots, L_v$  to an augmented form  $G^* \in \mathcal{A}(\mathbb{Z}_S, \psi)$ .

For the moment we consider pairs of binary forms  $(F, G)$  in  $\mathbb{Z}_S[X, Y]$  which satisfy the conditions of Theorem 2.2 and in addition are  $\mathbb{Z}_S$ -primitive. From the definitions it is clear that the corresponding pairs  $(F^*, G^*)$  constructed above satisfy (5.1)–(5.4). Further, if two pairs of augmented forms are  $\mathbb{Z}_S$ -equivalent, then so are the corresponding pairs of binary forms. With these observations, it follows at once that the pairs of binary forms  $(F, G)$  which satisfy the conditions of Theorem 2.2 and which are  $\mathbb{Z}_S$ -primitive lie in the union of at most  $N(c)$   $\mathbb{Z}_S$ -equivalence classes, where  $N(c)$  is the upper bound from Proposition 5.2.

Now let  $(F, G)$  be a pair of binary forms in  $\mathbb{Z}_S[X, Y]$  satisfying the conditions of Theorem 2.2 which are not both  $\mathbb{Z}_S$ -primitive. Write  $F = d_1 F'$ ,  $G = d_2 G'$  where  $d_1, d_2$  are positive integers coprime with the primes in  $S$  and where both  $F', G'$  are  $\mathbb{Z}_S$ -primitive. Then by (2.1),  $d_1^n d_2^m$  divides  $c$  and the pair  $(F', G')$  satisfies all conditions of Theorem 2.2 but with  $c/d_1^n d_2^m$  instead of  $c$ . It follows that the set of pairs of binary forms  $(F, G)$  in  $\mathbb{Z}_S[X, Y]$  satisfying the conditions of Theorem 2.2 is contained in the union of at most

$$\begin{aligned} & \sum_{d_1, d_2: d_1^n d_2^m | c} N(c/d_1^n d_2^m) \\ & \leq e^{10^{24}(m+n)mn(t+1)2^{\omega(c)}} \prod_{p|c} \sum_{u,v} \binom{\text{ord}_p(c) - nu - mv + mn}{mn} \\ & \leq e^{10^{24}(m+n)mn(t+1)2^{\omega(c)}} \prod_{p|c} \binom{\text{ord}_p(c) + mn + 2}{mn + 2} \end{aligned}$$

$\mathbb{Z}_S$ -equivalence classes, where the summation is over all pairs of non-negative integers  $u, v$  such that  $nu + mv \leq \text{ord}_p(c)$ . This completes the proof of Theorem 2.2.  $\square$

## 6. LOCAL-TO-GLOBAL ARGUMENTS

For a prime number  $p$ , let  $\mathbb{Q}_p$  denote the completion of  $\mathbb{Q}$  at  $p$ ,  $\overline{\mathbb{Q}_p}$  an algebraic closure of  $\mathbb{Q}_p$ ,  $\mathbb{Z}_p \subset \mathbb{Q}_p$  the ring of  $p$ -adic integers, and  $\overline{\mathbb{Z}_p}$  the integral closure of  $\mathbb{Z}_p$  in  $\overline{\mathbb{Q}_p}$ . By  $|\cdot|_p$  we denote the standard  $p$ -adic absolute value with  $|p|_p = \frac{1}{p}$ , extended to  $\overline{\mathbb{Q}_p}$ . As before,  $S = \{p_1, \dots, p_t\}$  is a finite, possibly empty set of set of primes.

**Lemma 6.1.** *Let  $m \geq 3$ ,  $n \geq 3$ ,  $\varphi$  a  $G_K$ -action on  $\{1, \dots, m\}$ ,  $\psi$  a  $G_K$ -action on  $\{1, \dots, n\}$ ,  $F_1^*, F_2^* \in \mathcal{A}(\mathbb{Z}_S, \varphi)$ ,  $G_1^*, G_2^* \in \mathcal{A}(\mathbb{Z}_S, \psi)$ . Then  $(F_1^*, G_1^*)$  is  $\mathbb{Z}_S$ -equivalent to  $(F_2^*, G_2^*)$  if and only if  $(F_1^*, G_1^*)$  is  $\mathbb{Z}_p$ -equivalent to  $(F_2^*, G_2^*)$  for every prime  $p \notin S$ .*

*Proof.* The only-if part is obvious. To prove the if-part, assume that  $(F_1^*, G_1^*)$  is  $\mathbb{Z}_p$ -equivalent to  $(F_2^*, G_2^*)$  for every prime  $p \notin S$ . This means that for every prime  $p \notin S$ , there are  $U_p \in \mathrm{GL}_2(\mathbb{Z}_p)$ ,  $\varepsilon_p, \eta_p \in \mathbb{Z}_p^*$  such that

$$(6.1) \quad F_2^* = \varepsilon_p(F_1^*)_{U_p}, \quad G_2^* = \eta_p(G_1^*)_{U_p}.$$

We may assume that we have inclusions  $\mathbb{Q} \subset \overline{\mathbb{Q}}_p \subset \overline{\mathbb{Q}}_p$  and  $\mathbb{Q} \subset \overline{\mathbb{Q}} \subset \overline{\mathbb{Q}}_p$ . Apply Lemma 5.1, (ii) with  $K = \mathbb{Q}$ ,  $L = \overline{\mathbb{Q}}_p$ . Thus, there are  $\lambda_p \in \overline{\mathbb{Q}}_p^*$  and  $\tilde{U}_p \in \mathrm{GL}_2(\mathbb{Q})$  such that  $U_p = \lambda_p \tilde{U}_p$ . Without loss of generality, we may assume that the entries of  $\tilde{U}_p$  are integers in  $\mathbb{Z}$  with gcd 1. Since  $U_p \in \mathrm{GL}_2(\mathbb{Z}_p)$ , this implies that  $\lambda_p \in \mathbb{Z}_p^*$ . Together with (6.1) this gives

$$(6.2) \quad \begin{aligned} F_2^* &= \tilde{\varepsilon}_p(F_1^*)_{\tilde{U}_p}, \quad G_2^* = \tilde{\eta}_p(G_1^*)_{\tilde{U}_p} \\ &\text{with } \tilde{\varepsilon}_p, \tilde{\eta}_p \in \mathbb{Q} \cap \mathbb{Z}_p^*, \quad \tilde{U}_p \in \mathrm{GL}_2(\mathbb{Q}) \cap \mathrm{GL}_2(\mathbb{Z}_p). \end{aligned}$$

By Lemma 5.1, (i), the matrices  $\tilde{U}_p$  ( $p \notin S$ ) are proportional. Since we assumed that the entries of  $\tilde{U}_p$  have gcd 1, the matrices  $\tilde{U}_p$  ( $p \notin S$ ) are equal up to sign. Hence there are  $\tilde{U} \in \mathrm{NS}_2(\mathbb{Z})$  and  $\tilde{\varepsilon}, \tilde{\eta} \in \mathbb{Q}^*$  such that

$$F_2^* = \tilde{\varepsilon}(F_1^*)_{\tilde{U}}, \quad G_2^* = \tilde{\eta}(G_1^*)_{\tilde{U}},$$

and  $\tilde{U} = \pm \tilde{U}_p$ ,  $\tilde{\varepsilon} = \pm \tilde{\varepsilon}_p$ ,  $\tilde{\eta} = \pm \tilde{\eta}_p$  for every prime  $p \notin S$ . But then,  $\det \tilde{U} = \det \tilde{U}_p \in \mathbb{Z}_p^*$  for every prime  $p \notin S$ , and therefore  $\det \tilde{U} \in \mathbb{Z}_S^*$  and  $\tilde{U} \in \mathrm{GL}_2(\mathbb{Z}_S)$ . Likewise,  $\tilde{\varepsilon}, \tilde{\eta} \in \mathbb{Z}_p^*$  for every prime  $p \notin S$  which implies  $\tilde{\varepsilon}, \tilde{\eta} \in \mathbb{Z}_S^*$ . This proves Lemma 6.1.  $\square$

**Lemma 6.2.** *Let  $F \in \mathbb{Z}_S[X, Y]$  be a binary form. Then  $F$  is  $\mathbb{Z}_S$ -minimal if and only if  $F$  is  $\mathbb{Z}_p$ -minimal for every prime  $p \notin S$ .*

*Proof.* If  $F$  is not  $\mathbb{Z}_S$ -minimal, then there is a matrix  $A \in \mathrm{NS}_2(\mathbb{Z}_S)$  with  $A \notin \mathrm{GL}_2(\mathbb{Z}_S)$  such that  $F_{A^{-1}} \in \mathbb{Z}_S[X, Y]$ . There is a prime  $p \notin S$  such that  $A \notin \mathrm{GL}_2(\mathbb{Z}_p)$ , while  $F_{A^{-1}} \in \mathbb{Z}_p[X, Y]$ . Hence  $F$  is not  $\mathbb{Z}_p$ -minimal.

Now assume that  $F$  is not  $\mathbb{Z}_p$ -minimal for some prime  $p \notin S$ . We have to prove that  $F$  is not  $\mathbb{Z}_S$ -minimal. By assumption, there are a binary form  $G \in \mathbb{Z}_p[X, Y]$  and a matrix  $A \in \mathrm{NS}_2(\mathbb{Z}_p) \setminus \mathrm{GL}_2(\mathbb{Z}_p)$  such that  $F = G_A$ . We have  $A = UB$ , where  $U \in \mathrm{GL}_2(\mathbb{Z}_p)$  and  $B = \begin{pmatrix} p^{\theta_1} & b \\ 0 & p^{\theta_2} \end{pmatrix}$  with  $\theta_1, \theta_2 \in \mathbb{Z}_{\geq 0}$  and  $b \in \mathbb{Z}$ . Let  $H := G_U$ . Then  $F = H_B$ . The binary form  $H$  belongs to  $\mathbb{Q}[X, Y]$  since  $B \in \mathrm{GL}_2(\mathbb{Q})$ . Further,  $H \in \mathbb{Z}_p[X, Y]$  since  $H$  is  $\mathbb{Z}_p$ -equivalent to  $G$ , and for every prime  $q \notin S \cup \{p\}$  we have  $H \in \mathbb{Z}_q[X, Y]$  since  $B \in \mathrm{GL}_2(\mathbb{Z}_q)$ . Hence  $H \in \mathbb{Z}_S[X, Y]$ . This shows that indeed  $F$  is not  $\mathbb{Z}_S$ -minimal.  $\square$

## 7. EQUIVALENCE OVER THE ALGEBRAIC CLOSURE

Let  $S = \{p_1, \dots, p_t\}$  be a finite set of primes,  $\overline{\mathbb{Q}}$  an algebraic closure of  $\mathbb{Q}$  and  $\overline{\mathbb{Z}}_S$  the integral closure of  $\mathbb{Z}_S$  in  $\overline{\mathbb{Q}}$ . By a finitely generated  $\overline{\mathbb{Z}}_S$ -fractional ideal we mean a finitely generated  $\overline{\mathbb{Z}}_S$ -submodule of  $\overline{\mathbb{Q}}$ . The non-zero finitely

generated  $\overline{\mathbb{Z}}_S$ -fractional ideals form a group under multiplication. Those  $\overline{\mathbb{Z}}_S$ -fractional ideals generated by finitely many elements from a number field  $K$  form a subgroup. Every finitely generated  $\overline{\mathbb{Z}}_S$ -fractional ideal is principal. We denote by  $[a_1, \dots, a_r]$  the fractional  $\overline{\mathbb{Z}}_S$ -ideal generated by  $a_1, \dots, a_r$ . For a polynomial  $P$  with coefficients in  $\overline{\mathbb{Q}}$  we denote by  $[P]$  the  $\overline{\mathbb{Z}}_S$ -fractional ideal generated by the coefficients of  $P$ .

In this section we estimate the number of  $\overline{\mathbb{Q}}$ -equivalence classes containing the pairs of augmented forms with (5.1)–(5.4). In fact, we prove slightly more and we use this in Section 8 to complete the proof of Proposition 5.2.

We introduce some notation. Let  $m \geq 3$ ,  $n \geq 3$ , let  $\varphi$  be a  $G_{\mathbb{Q}}$ -action on  $\{1, \dots, m\}$  and  $\psi$  a  $G_{\mathbb{Q}}$ -action on  $\{1, \dots, n\}$ . Let

$$(7.1) \quad F^* = (F, (\beta_1 : \alpha_1), \dots, (\beta_m : \alpha_m)), \quad G^* = (G, (\delta_1 : \gamma_1), \dots, (\delta_n : \gamma_n))$$

be a pair of augmented forms with (5.1)–(5.4). Thus,

$$(7.2) \quad F = \lambda \prod_{i=1}^m (\alpha_i X - \beta_i Y), \quad G = \mu \prod_{j=1}^n (\gamma_j X - \delta_j Y)$$

where  $\lambda, \mu, \alpha_i, \beta_i, \gamma_j, \delta_j \in \overline{\mathbb{Q}}^*$ .

We define the  $\overline{\mathbb{Z}}_S$ -fractional ideals

$$(7.3) \quad \mathfrak{d}_{ij}(F^*, G^*) := \frac{[\alpha_i \delta_j - \beta_i \gamma_j]}{[\alpha_i, \beta_i] \cdot [\gamma_j, \delta_j]} \quad (i = 1, \dots, m, \quad j = 1, \dots, n)$$

and the numbers

$$(7.4) \quad \theta_{i_1, i_2; j_1, j_2}(F^*, G^*) := \frac{(\alpha_{i_1} \delta_{j_1} - \beta_{i_1} \gamma_{j_1})(\alpha_{i_2} \delta_{j_2} - \beta_{i_2} \gamma_{j_2})}{(\alpha_{i_1} \delta_{j_2} - \beta_{i_1} \gamma_{j_2})(\alpha_{i_2} \delta_{j_1} - \beta_{i_2} \gamma_{j_1})} \\ (i_1, i_2 \in \{1, \dots, m\}, \quad j_1, j_2 \in \{1, \dots, n\}).$$

Both these fractional ideals and these numbers are independent of the choice of  $\lambda, \mu$  and the  $\alpha_i, \beta_i, \gamma_j, \delta_j$ .

Since  $[\alpha_i \delta_j - \beta_i \gamma_j] \subseteq [\alpha_i, \beta_i] \cdot [\gamma_j, \delta_j]$  we have  $\mathfrak{d}_{ij}(F^*, G^*) \subseteq \overline{\mathbb{Z}}_S$ , i.e.,  $\mathfrak{d}_{ij}(F^*, G^*)$  is a finitely generated ideal of  $\overline{\mathbb{Z}}_S$ .

By applying Gauss' Lemma to (7.2) and using our assumption (5.2) we obtain

$$(7.5) \quad [\lambda] \prod_{i=1}^m [\alpha_i, \beta_i] = [F] = [1], \quad [\mu] \prod_{j=1}^n [\gamma_j, \delta_j] = [G] = [1]$$

while by (2.1), (5.4) we have

$$[R(F, G)] = [\lambda]^n [\mu]^m \prod_{i=1}^m \prod_{j=1}^n [\alpha_i \delta_j - \beta_i \gamma_j] = [c].$$

Hence

$$(7.6) \quad \prod_{i=1}^m \prod_{j=1}^n \mathfrak{d}_{ij}(F^*, G^*) = [c].$$

We have some freedom to choose  $\lambda, \mu$  and the  $\alpha_i, \beta_i, \gamma_j, \delta_j$  in (7.2). By our assumption (5.1) we can choose these numbers such that

$$(7.7) \quad \begin{cases} \lambda, \mu \in \mathbb{Q}^*, \\ \sigma(\alpha_i) = \alpha_{\varphi(\sigma)(i)}, \sigma(\beta_i) = \beta_{\varphi(\sigma)(i)}, \text{ for } \sigma \in G_{\mathbb{Q}}, i = 1, \dots, m, \\ \sigma(\gamma_j) = \gamma_{\psi(\sigma)(j)}, \sigma(\delta_j) = \delta_{\psi(\sigma)(j)}, \text{ for } \sigma \in G_{\mathbb{Q}}, j = 1, \dots, n. \end{cases}$$

For the moment we keep this choice; later we will make another choice.

We prove the following lemma:

**Lemma 7.1.** *If  $(F^*, G^*)$  runs through the pairs of augmented forms with (5.1)–(5.4), then the tuple  $(\mathfrak{d}_{ij}(F^*, G^*) : i = 1, \dots, m, j = 1, \dots, n)$  runs through a collection of cardinality at most*

$$\prod_{p|c} \binom{\text{ord}_p(c) + mn}{mn}.$$

*Proof.*  $G_{\mathbb{Q}}$  acts on  $\{1, \dots, m\} \times \{1, \dots, n\}$  by means of  $\varphi \times \psi$  which is given by  $(\varphi \times \psi)(\sigma)(i, j) = (\varphi(\sigma)(i), \psi(\sigma)(j))$  for  $\sigma \in G_{\mathbb{Q}}, i = 1, \dots, m, j = 1, \dots, n$ . Let  $\mathcal{C}_1, \dots, \mathcal{C}_u$  be the orbits of  $\{1, \dots, m\} \times \{1, \dots, n\}$  under this action and choose a representative  $(i_w, j_w) \in \mathcal{C}_w$  for  $w = 1, \dots, u$ . Further, define the field  $M_w$  by

$$(7.8) \quad \text{Gal}(\overline{\mathbb{Q}}/M_w) = \{\sigma \in G_{\mathbb{Q}} : \varphi(\sigma)(i_w) = i_w, \psi(\sigma)(j_w) = j_w\}.$$

Let  $(F^*, G^*)$  be a pair with (5.1)–(5.4). Then by (7.7),

$$\sigma(\mathfrak{d}_{ij}(F^*, G^*)) = \mathfrak{d}_{\varphi(\sigma)(i), \psi(\sigma)(j)}(F^*, G^*)$$

for  $\sigma \in G_{\mathbb{Q}}, i = 1, \dots, m, j = 1, \dots, n$ . Hence the tuple  $(\mathfrak{d}_{ij}(F^*, G^*) : i = 1, \dots, m, j = 1, \dots, n)$  is determined by the tuple  $(\mathfrak{d}_{i_w j_w}(F^*, G^*) : w = 1, \dots, u)$ . Further, by (7.7)  $\mathfrak{d}_{i_w j_w}(F^*, G^*)$  is generated by elements from  $M_w$ , and the conjugates of  $\mathfrak{d}_{i_w j_w}(F^*, G^*)$  over  $\mathbb{Q}$  are precisely the ideals  $\mathfrak{d}_{ij}(F^*, G^*)$  with  $(i, j) \in \mathcal{C}_w$ . Thus, we can rewrite (7.6) as

$$(7.9) \quad \prod_{w=1}^u N_{M_w/\mathbb{Q}}(\mathfrak{d}_w) = [c],$$

where  $\mathfrak{d}_w$  is the ideal in the integral closure of  $\mathbb{Z}_S$  in  $M_w$ , determined by  $\mathfrak{d}_{i_w j_w}(F^*, G^*) = \mathfrak{d}_w \overline{\mathbb{Z}}_S$  for  $w = 1, \dots, u$ .

Let  $p$  be a prime with  $p | c$ . Let  $\mathfrak{p}_{w1}, \dots, \mathfrak{p}_{w,g(w)}$  be the prime ideals of  $M_w$  above  $p$  and  $f_{w1}, \dots, f_{w,g(w)}$  their respective residue class degrees. Let  $x_{wj}$  ( $j = 1, \dots, g(w)$ ) be the exponent of  $\mathfrak{p}_{wj}$  in the prime ideal factorization of

$\mathfrak{d}_w$ . Then the exponent of  $p$  in the prime number factorization of  $N_{M_w/\mathbb{Q}}(\mathfrak{d}_w)$  is  $\sum_{j=1}^{g(w)} f_{wj}x_{wj}$ . So by (7.9)

$$\sum_{w=1}^u \sum_{j=1}^{g(w)} f_{wj}x_{wj} = \text{ord}_p(c).$$

Let  $\mathbf{x}(p) := (x_{wj} : w = 1, \dots, u, j = 1, \dots, g(w))$ . Then  $\mathbf{x}(p)$  consists of  $\sum_{w=1}^u g(w) \leq \sum_{w=1}^u [M_w : \mathbb{Q}] = \sum_{w=1}^u \#\mathcal{C}_w = mn$  non-negative integers and moreover,

$$\sum_{w=1}^u \sum_{j=1}^{g(w)} x_{wj} \leq \text{ord}_p(c).$$

Hence for  $\mathbf{x}(p)$  there are at most

$$\binom{\text{ord}_p(c) + \sum_{w=1}^u g(w)}{\sum_{w=1}^u g(w)} \leq \binom{\text{ord}_p(c) + mn}{mn} =: N_p$$

possibilities.

The tuples  $\mathbf{x}(p)$  ( $p \mid c$ ) determine the ideals  $\mathfrak{d}_w$ , hence also  $\mathfrak{d}_{i_w j_w}(F^*, G^*)$  ( $w = 1, \dots, u$ ). So by what was explained above, they determine the ideals  $\mathfrak{d}_{ij}(F^*, G^*)$  ( $i = 1, \dots, m, j = 1, \dots, n$ ) as well. This implies that if  $(F^*, G^*)$  runs through all pairs with (5.1)–(5.4), then the tuple  $(\mathfrak{d}_{ij}(F^*, G^*) : i = 1, \dots, m, j = 1, \dots, n)$  runs through a collection of cardinality at most  $\prod_{p \mid c} N_p$ .  $\square$

We fix a tuple  $(\mathfrak{d}_{ij} : i = 1, \dots, m, j = 1, \dots, n)$  of ideals of  $\overline{\mathbb{Z}}_S$ , and consider the set

$$\mathcal{I}(\{\mathfrak{d}_{ij}\})$$

consisting of the pairs  $(F^*, G^*)$  that satisfy (5.1)–(5.4) and for which

$$(7.10) \quad \mathfrak{d}_{ij}(F^*, G^*) = \mathfrak{d}_{ij} \quad \text{for } i = 1, \dots, m, j = 1, \dots, n.$$

**Lemma 7.2.** *For each  $i_1, i_2 \in \{1, \dots, m\}$  with  $i_1 \neq i_2$  and  $j_1, j_2 \in \{1, \dots, n\}$  with  $j_1 \neq j_2$ , there is a subgroup  $\Gamma_{i_1, i_2; j_1, j_2}$  of rank at most*

$$(7.11) \quad 4mn(t+1) - 3$$

such that for every pair  $(F^*, G^*) \in \mathcal{I}(\{\mathfrak{d}_{ij}\})$  we have

$$(7.12) \quad \theta_{i_1, i_2; j_1, j_2}(F^*, G^*) \in \Gamma_{i_1, i_2; j_1, j_2}.$$

*Proof.* For  $i = 1, \dots, m, j = 1, \dots, n$  define the number fields  $K_i, L_j, M_{ij}$  by

$$\text{Gal}(\overline{\mathbb{Q}}/K_i) = \{\sigma \in G_{\mathbb{Q}} : \varphi(\sigma)(i) = i\},$$

$$\text{Gal}(\overline{\mathbb{Q}}/L_j) = \{\sigma \in G_{\mathbb{Q}} : \psi(\sigma)(j) = j\},$$

$$\text{Gal}(\overline{\mathbb{Q}}/M_{ij}) = \{\sigma \in G_{\mathbb{Q}} : \varphi(\sigma)(i) = i, \psi(\sigma)(j) = j\}.$$

Let  $H$  be a positive common multiple of the class numbers of these fields.

Assume that the set  $\mathcal{I}(\{\mathfrak{d}_{ij}\})$  is non-empty and pick a pair  $(F^*, G^*)$  from this set. Let  $\alpha_i, \beta_i, \gamma_j, \delta_j$  be as in (7.1), (7.2), (7.7). Then there are  $\lambda_i \in K_i$ ,  $\mu_i \in L_j$  such that

$$(7.13) \quad [\alpha_i, \beta_i]^H = [\lambda_i], \quad [\gamma_j, \delta_j]^H = [\mu_j] \quad \text{for } i = 1, \dots, m, j = 1, \dots, n.$$

By (7.7), the ideal  $\mathfrak{d}_{ij}$  is generated by elements from  $M_{ij}$ . Hence there are  $\nu_{ij} \in M_{ij}$  such that

$$(7.14) \quad \mathfrak{d}_{ij}^H = [\nu_{ij}], \quad \text{for } i = 1, \dots, m, j = 1, \dots, n.$$

Let  $\Gamma_{ij}$  be the unit group of the integral closure of  $\mathbb{Z}_S$  in  $M_{ij}$ . Then

$$(7.15) \quad \text{rank } \Gamma_{ij} \leq [M_{ij} : \mathbb{Q}](t+1) - 1 \leq mn(t+1) - 1.$$

By (7.13), (7.14), (7.10) we have  $[(\alpha_i \delta_j - \beta_i \gamma_j)^H / \lambda_i \mu_j] = \mathfrak{d}_{ij}^H = [\nu_{ij}]$ , hence

$$(7.16) \quad \frac{(\alpha_i \delta_j - \beta_i \gamma_j)^H}{\lambda_i \mu_j} = \nu_{ij} \varepsilon_{ij} \quad \text{with } \varepsilon_{ij} \in \Gamma_{ij}$$

for  $i = 1, \dots, m, j = 1, \dots, n.$

Then for  $i_1, i_2 \in \{1, \dots, m\}$ ,  $j_1, j_2 \in \{1, \dots, n\}$  with  $i_1 \neq i_2$ ,  $j_1 \neq j_2$  we have

$$(7.17) \quad \theta_{i_1, i_2; j_1, j_2}(F^*, G^*)^H = \left( \frac{\nu_{i_1, j_1} \nu_{i_2, j_2}}{\nu_{i_1, j_2} \nu_{i_2, j_1}} \right) \left( \frac{\varepsilon_{i_1, j_1} \varepsilon_{i_2, j_2}}{\varepsilon_{i_1, j_2} \varepsilon_{i_2, j_1}} \right)$$

(note that the terms  $\lambda_i, \mu_j$  cancel). Hence  $\theta_{i_1, i_2; j_1, j_2}(F^*, G^*)^H$  belongs to the group generated by  $\nu_{i_1, j_1} \nu_{i_2, j_2} / \nu_{i_1, j_2} \nu_{i_2, j_1}$  and by  $\Gamma_{i_p, j_q}$  ( $p, q = 1, 2$ ), which has rank at most  $4\{mn(t+1)-1\}+1 \leq 4mn(t+1)-3$ . But then  $\theta_{i_1, i_2; j_1, j_2}(F^*, G^*)$  belongs to the set of  $H$ -th roots of the elements of this group, which is also a group of rank at most  $4mn(t+1)-3$ . This proves Lemma 7.2.  $\square$

**Lemma 7.3.** *Let  $i_1, i_2 \in \{1, \dots, m\}$ ,  $j_1, j_2 \in \{1, \dots, n\}$  with  $i_1 \neq i_2$ ,  $j_1 \neq j_2$ . Then if  $(F^*, G^*)$  runs through  $\mathcal{I}(\{\mathfrak{d}_{ij}\})$ , the quantity  $\theta_{i_1, i_2; j_1, j_2}(F^*, G^*)$  runs through a set of cardinality at most*

$$e^{30^{15}\{16mn(t+1)-11\}}.$$

*Proof.* Pick  $(F^*, G^*) \in \mathcal{I}(\{\mathfrak{d}_{ij}\})$ , let  $\alpha_i, \beta_i, \gamma_j, \delta_j$  be as in (7.1), (7.2), (7.7), write  $\theta_{i_1, i_2; j_1, j_2}$  for  $\theta_{i_1, i_2; j_1, j_2}(F^*, G^*)$  and define  $\Delta_{ij} := \alpha_i \delta_j - \beta_i \gamma_j$ . Then

$$\theta_{i_1, i_2; j_1, j_2} = \frac{\Delta_{i_1, j_1} \Delta_{i_2, j_2}}{\Delta_{i_1, j_2} \Delta_{i_2, j_1}}.$$

Choose  $i_3 \in \{1, \dots, m\} \setminus \{i_1, i_2\}$ ,  $j_3 \in \{1, \dots, n\} \setminus \{j_1, j_2\}$ . Then

$$\begin{vmatrix} \Delta_{i_1 j_1} & \Delta_{i_1 j_2} & \Delta_{i_1 j_3} \\ \Delta_{i_2 j_1} & \Delta_{i_2 j_2} & \Delta_{i_2 j_3} \\ \Delta_{i_3 j_1} & \Delta_{i_3 j_2} & \Delta_{i_3 j_3} \end{vmatrix} = 0$$

hence

$$(7.18) \quad \begin{vmatrix} 1 & 1 & 1 \\ 1 & \theta_{i_1, i_2; j_1, j_2} & \theta_{i_1, i_2; j_1, j_3} \\ 1 & \theta_{i_1, i_3; j_1, j_2} & \theta_{i_1, i_3; j_1, j_3} \end{vmatrix} = 0.$$

From the fact that  $(\beta_i : \alpha_i)$  ( $i = i_1, i_2, i_3$ ),  $(\delta_j : \gamma_j)$  ( $j = j_1, j_2, j_3$ ) are distinct, it follows that each  $2 \times 2$ -subdeterminant is non-zero. Now by applying Lemma 3.3 to (7.18), invoking Lemma 7.2, it follows immediately, that if  $(F^*, G^*)$  runs through  $\mathcal{I}(\{\mathfrak{d}_{ij}\})$ , then  $\theta_{i_1, i_2; j_1, j_2}(F^*, G^*)$  runs through a set of cardinality at most

$$e^{30^{15}\{4\{4mn(t+1)-3\}+1\}} = e^{30^{15}\{16mn(t+1)-11\}}.$$

□

We now come to the main result of this section.

**Lemma 7.4.** *There is a collection  $\mathcal{I} \subset \mathcal{A}(\overline{\mathbb{Z}}_S, m) \times \mathcal{A}(\overline{\mathbb{Z}}_S, n)$  of cardinality at most*

$$(7.19) \quad e^{10^{24}(m+n)mn(t+1)} \cdot \prod_{p|c} \binom{\text{ord}_p(c) + mn}{mn}$$

with the following property: for every pair  $(F^*, G^*)$  with (5.1)–(5.4), there are  $(F_0^*, G_0^*) \in \mathcal{I}$ ,  $A \in \text{NS}_2(\overline{\mathbb{Z}}_S)$ , and  $\varepsilon, \eta \in \overline{\mathbb{Z}}_S^*$ , such that

$$(7.20) \quad F^* = \varepsilon(F_0^*)_A, \quad G^* = \eta(G_0^*)_{(\det A)^{-1}A}.$$

*Proof.* Our pair  $(F_0^*, G_0^*)$  will depend only on the data

$$(7.21) \quad \begin{cases} \mathfrak{d}_{ij}(F^*, G^*) & i = 1, \dots, m, \quad j = 1, \dots, n; \\ \theta_{i,1;2,1}(F^*, G^*) & i = 2, \dots, m; \\ \theta_{1,2;1,j}(F^*, G^*) & j = 2, \dots, n. \end{cases}$$

where the ideals  $\mathfrak{d}_{ij}(F^*, G^*)$  are given by (7.3) and the numbers  $\theta_{i_1, j_1; i_2, j_2}(F^*, G^*)$  by (7.4). By Lemmata 7.1, 7.3, if  $(F^*, G^*)$  runs through all pairs with (5.1)–(5.4), the tuple given by (7.21) runs through a set of cardinality at most

$$\begin{aligned} & \prod_{p|c} \binom{\text{ord}_p(c) + mn}{mn} \cdot e^{30^{15}\{16mn(t+1)-11\}(m-1+n-1)} \\ & < e^{10^{24}(m+n)mn(t+1)} \cdot \prod_{p|c} \binom{\text{ord}_p(c) + mn}{mn}. \end{aligned}$$

Hence the number of possibilities for  $(F_0^*, G_0^*)$  is bounded above by (7.19).

Let  $(F^*, G^*)$  be a pair with (5.1)–(5.4). Put

$$\theta_{i_1, i_2; j_1, j_2} := \theta_{i_1, i_2; j_1, j_2}(F^*, G^*), \quad \mathfrak{d}_{ij} := \mathfrak{d}_{ij}(F^*, G^*).$$

Further, choose  $\delta_{ij} \in \overline{\mathbb{Z}}_S$  such that  $\mathfrak{d}_{ij} = [\delta_{ij}]$ . Thus,  $\delta_{ij}$  depends only on (7.21).

By assumption (5.2), Gauss' Lemma and the fact that every finitely generated ideal of  $\overline{\mathbb{Z}}_S$  is principal, we can express  $F^*$  and  $G^*$  as

$$F^* = (F, (\beta_1 : \alpha_1), \dots, (\beta_m : \alpha_m)), \quad G^* = (G, (\delta_1 : \gamma_1), \dots, (\delta_n : \gamma_n)),$$

where

$$(7.22) \quad \begin{cases} F = \prod_{i=1}^m (\alpha_i X - \beta_i Y), & [\alpha_i, \beta_i] = [1] \text{ for } i = 1, \dots, m \\ G = \prod_{j=1}^n (\gamma_j X - \delta_j Y), & [\gamma_j, \delta_j] = [1] \text{ for } j = 1, \dots, n. \end{cases}$$

Put

$$\Delta_{ij} := \alpha_i \delta_j - \beta_i \gamma_j \quad (i = 1, \dots, m, j = 1, \dots, n).$$

Then with the decomposition of  $F^*, G^*$  in (7.22), definition (7.3) becomes

$$\mathfrak{d}_{ij} = [\Delta_{ij}].$$

Hence

$$(7.23) \quad \Delta_{ij} = \delta_{ij} \varepsilon_{ij} \quad \text{with } \varepsilon_{ij} \in \overline{\mathbb{Z}}_S^* \text{ for } i = 1, \dots, m, j = 1, \dots, n.$$

Further, (7.4) can be rewritten as

$$(7.24) \quad \theta_{i_1, i_2; j_1, j_2} = \frac{\Delta_{i_1, j_1} \Delta_{i_2, j_2}}{\Delta_{i_1, j_2} \Delta_{i_2, j_1}} \text{ for } i_1, i_2 \in \{1, \dots, m\}, j_1, j_2 \in \{1, \dots, n\}.$$

Define the  $\overline{\mathbb{Q}}$ -linear subspace of  $\overline{\mathbb{Q}}^m$ :

$$(7.25) \quad V = \left\{ \left( \frac{\alpha_1 x - \beta_1 y}{\Delta_{11}}, \dots, \frac{\alpha_m x - \beta_m y}{\Delta_{m1}} \right) : x, y \in \overline{\mathbb{Q}} \right\}.$$

By substituting  $(x, y) = (\delta_1, \gamma_1)$ ,  $(x, y) = \frac{\Delta_{11}}{\Delta_{12}} (\delta_2, \gamma_2)$ , respectively we obtain a basis of  $V$ , that is

$$(1, \dots, 1),$$

$$\left( 1, \frac{\Delta_{11} \Delta_{22}}{\Delta_{21} \Delta_{12}}, \frac{\Delta_{11} \Delta_{32}}{\Delta_{31} \Delta_{12}}, \dots, \frac{\Delta_{11} \Delta_{m2}}{\Delta_{m1} \Delta_{12}} \right) = (1, \theta_{1,2;1,2}, \theta_{1,3;1,2}, \dots, \theta_{1,m;1,2})$$

where the last identity follows from (7.24). This basis of  $V$ , hence  $V$  itself, depends only on (7.21).

Consider the  $\overline{\mathbb{Z}}_S$ -module

$$(7.26) \quad \mathcal{M} = \{(\xi_1, \dots, \xi_m) \in V : \delta_{11} \xi_1 \in \overline{\mathbb{Z}}_S, \dots, \delta_{m1} \xi_m \in \overline{\mathbb{Z}}_S\}.$$

Since every finitely generated ideal of  $\overline{\mathbb{Z}}_S$  is principal,  $\mathcal{M}$  is a free  $\overline{\mathbb{Z}}_S$ -module of rank 2. Choose a basis  $\{(a_1, \dots, a_m), (b_1, \dots, b_m)\}$  of  $\mathcal{M}$ . The module  $\mathcal{M}$ , hence this basis, depends only on (7.21). Now define:

$$F_0 := \prod_{i=1}^m \delta_{i1} \cdot \prod_{i=1}^m (a_i X - b_i Y), \quad F_0^* := (F_0, (b_1 : a_1), \dots, (b_m : a_m)).$$

Then  $F_0^*$  depends only on (7.21). Further,  $F_0 \in \overline{\mathbb{Z}}_S[X, Y]$ , which implies  $F_0^* \in \mathcal{A}(\overline{\mathbb{Z}}_S, m)$ .

By (7.23),  $\left( \frac{\alpha_1}{\Delta_{11}}, \dots, \frac{\alpha_m}{\Delta_{m1}} \right) \in \mathcal{M}$ . Hence there are  $u_{11}, u_{12}, u_{21}, u_{22} \in \overline{\mathbb{Z}}_S$  such that

$$(7.27) \quad \begin{cases} \left( \frac{\alpha_1}{\Delta_{11}}, \dots, \frac{\alpha_m}{\Delta_{m1}} \right) = u_{11}(a_1, \dots, a_m) - u_{21}(b_1, \dots, b_m) \\ \left( \frac{\beta_1}{\Delta_{11}}, \dots, \frac{\beta_m}{\Delta_{m1}} \right) = -u_{12}(a_1, \dots, a_m) + u_{22}(b_1, \dots, b_m). \end{cases}$$



Set  $A := \begin{pmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{pmatrix}$ . Thus, by (7.23),

$$\begin{aligned} (F_0)_A &= \left( \prod_{i=1}^m \delta_{i1} \right) \cdot \prod_{i=1}^m (a_i(u_{11}X + u_{12}Y) - b_i(u_{21}X + u_{22}Y)) \\ &= \prod_{i=1}^m \frac{\delta_{i1}}{\Delta_{i1}} \cdot \prod_{i=1}^m (\alpha_i X - \beta_i Y) = \left( \prod_{i=1}^m \varepsilon_{i1}^{-1} \right) \cdot F. \end{aligned}$$

Further,  $(b_i : a_i) = \langle A^{-1} \rangle (\beta_i : \alpha_i)$  for  $i = 1, \dots, m$ . So

$$(7.28) \quad F^* = \varepsilon (F_0^*)_A \quad \text{with} \quad \varepsilon := \prod_{i=1}^m \varepsilon_{i1} \in \overline{\mathbb{Z}}_S^*.$$

We now construct  $G_0^*$ . Solve  $c_j, d_j$  ( $j = 1, \dots, n$ ) from

$$(7.29) \quad a_1 d_j - b_1 c_j = 1, \quad a_2 d_j - b_2 c_j = \theta_{2,1;j,1} \quad (j = 1, \dots, n)$$

and define

$$G_0 := \prod_{j=1}^n \frac{\delta_{1j}}{\delta_{11}} \cdot \prod_{j=1}^n (c_j X - d_j Y), \quad G_0^* := (G_0, (d_1 : c_1), \dots, (d_n : c_n)).$$

Then  $G_0^*$  is determined by (7.21). We have

$$\begin{pmatrix} a_1 & -b_1 \\ a_2 & -b_2 \end{pmatrix} \begin{pmatrix} d_j \\ c_j \end{pmatrix} = \begin{pmatrix} 1 \\ \theta_{2,1;j,1} \end{pmatrix} \quad \text{for } j = 1, \dots, n$$

by (7.29) and

$$\begin{pmatrix} a_1 & -b_1 \\ a_2 & -b_2 \end{pmatrix} A = \begin{pmatrix} \frac{\alpha_1}{\Delta_{11}} & -\frac{\beta_1}{\Delta_{11}} \\ \frac{\alpha_2}{\Delta_{21}} & -\frac{\beta_2}{\Delta_{21}} \end{pmatrix}$$

by (7.27). Hence

$$\begin{pmatrix} \frac{\alpha_1}{\Delta_{11}} & -\frac{\beta_1}{\Delta_{11}} \\ \frac{\alpha_2}{\Delta_{21}} & -\frac{\beta_2}{\Delta_{21}} \end{pmatrix} \cdot A^{-1} \begin{pmatrix} d_j \\ c_j \end{pmatrix} = \begin{pmatrix} 1 \\ \frac{\Delta_{2j} \Delta_{11}}{\Delta_{21} \Delta_{1j}} \end{pmatrix} \quad \text{for } j = 1, \dots, n.$$

On the other hand,

$$\begin{pmatrix} \frac{\alpha_1}{\Delta_{11}} & -\frac{\beta_1}{\Delta_{11}} \\ \frac{\alpha_2}{\Delta_{21}} & -\frac{\beta_2}{\Delta_{21}} \end{pmatrix} \cdot \begin{pmatrix} \delta_j \\ \gamma_j \end{pmatrix} = \begin{pmatrix} \frac{\Delta_{1j}}{\Delta_{11}} \\ \frac{\Delta_{2j}}{\Delta_{11}} \end{pmatrix} \quad \text{for } j = 1, \dots, n.$$

Hence  $A^{-1} \begin{pmatrix} d_j \\ c_j \end{pmatrix} = \frac{\Delta_{11}}{\Delta_{1j}} \begin{pmatrix} \delta_j \\ \gamma_j \end{pmatrix}$  for  $j = 1, \dots, n$ . Now by (7.23),

$$\begin{aligned} (G_0)_A &= \prod_{j=1}^n \frac{\delta_{1j}}{\delta_{11}} \cdot \prod_{j=1}^n (c_j(u_{11}X + u_{12}Y) - d_j(u_{21}X + u_{22}Y)) \\ &= \prod_{j=1}^n \frac{\delta_{1j}}{\delta_{11}} \cdot \prod_{j=1}^n \{(u_{11}c_j - u_{21}d_j)X - (-u_{12}c_j + u_{22}d_j)Y\} \\ &= (\det A)^n \cdot \prod_{j=1}^n \frac{\delta_{1j}}{\delta_{11}} \cdot \prod_{j=1}^n \left( \frac{\Delta_{11}}{\Delta_{1j}} (\gamma_j X - \delta_j Y) \right) \\ &= (\det A)^n \cdot \left( \prod_{j=1}^n \frac{\varepsilon_{11}}{\varepsilon_{1j}} \right) \cdot G. \end{aligned}$$

Thus,  $G = \eta(G_0)_{(\det A)^{-1}A}$  with  $\eta := \prod_{j=1}^n (\varepsilon_{11}/\varepsilon_{1j}) \in \overline{\mathbb{Z}}_S^*$ . Now  $G_0 = \eta^{-1}G_{(\det A)A^{-1}} \in \overline{\mathbb{Z}}_S[X, Y]$ , hence  $G_0^* \in \mathcal{A}(\overline{\mathbb{Z}}_S, n)$ . Further,  $(\delta_j : \gamma_j) = \langle A^{-1} \rangle (d_j : c_j)$  for  $j = 1, \dots, n$ . Hence

$$G^* = \eta(G_0^*)_{(\det A)^{-1}A} \quad \text{with } \eta \in \overline{\mathbb{Z}}_S^*.$$

Together with (7.28) this gives (7.20). This proves Lemma 7.4.  $\square$

## 8. PROOF OF PROPOSITION 5.2

Proposition 5.2 is deduced from Lemma 7.4 above and the following local lemma.

**Lemma 8.1.** *Let  $p$  be a prime,  $c \in \mathbb{Z}_p$  with  $c \neq 0$ , and  $\varphi_p, \psi_p$   $G_{\mathbb{Q}_p}$ -actions of  $\{1, \dots, m\}, \{1, \dots, n\}$ , respectively. Further, let  $F_0^* \in \mathcal{A}(\overline{\mathbb{Z}}_p, m)$ ,  $G_0^* \in \mathcal{A}(\overline{\mathbb{Z}}_p, n)$ . Then the collection of pairs of augmented forms  $F^* = (F, \dots)$ ,  $G^* = (G, \dots)$  such that*

$$(8.1) \quad F^* = \varepsilon(F_0^*)_A, G^* = \eta(G_0^*)_{(\det A)^{-1}A} \text{ for some } A \in \text{NS}_2(\overline{\mathbb{Z}}_p), \varepsilon, \eta \in \overline{\mathbb{Z}}_p^*,$$

$$(8.2) \quad F^* \in \mathcal{A}(\mathbb{Z}_p, \varphi_p), G^* \in \mathcal{A}(\mathbb{Z}_p, \psi_p),$$

$$(8.3) \quad F, G \text{ are } \mathbb{Z}_p\text{-primitive},$$

$$(8.4) \quad F \text{ is } \mathbb{Z}_p\text{-minimal},$$

$$(8.5) \quad R(F, G) \in c\mathbb{Z}_p^*$$

*is contained in at most one  $\mathbb{Z}_p$ -equivalence class if  $p \nmid c$ , and in the union of at most two  $\mathbb{Z}_p$ -equivalence classes if  $p \mid c$ .*

We first deduce Proposition 5.2.

*Proof of Proposition 5.2.* Let  $S = \{p_1, \dots, p_t\}$ ,  $c, m, n, \varphi, \psi$  be as in the statement of Proposition 5.2.

Let  $(F_0^*, G_0^*)$  be a pair of augmented forms from the set  $\mathcal{I}$  from Lemma 7.4. Denote by  $\mathcal{V}(F_0^*, G_0^*)$  the set of pairs of augmented forms  $(F^*, G^*)$  that satisfy (5.1)–(5.4) and for which there are  $\varepsilon, \eta \in \overline{\mathbb{Z}}_S^*$ ,  $A \in \text{NS}_2(\overline{\mathbb{Z}}_S^*)$  such that (7.20) holds. Pick  $(F^*, G^*) \in \mathcal{V}(F_0^*, G_0^*)$ . Let  $p$  be a prime outside  $S$ . We view  $\overline{\mathbb{Q}}$  as a subfield of  $\overline{\mathbb{Q}}_p$ . Clearly,  $(F^*, G^*)$  satisfies (8.1), (8.3), (8.5). Further, this pair satisfies (8.2) where  $\varphi_p, \psi_p$  are the  $G_{\mathbb{Q}_p}$ -actions of  $\{1, \dots, m\}, \{1, \dots, n\}$  induced by  $\varphi, \psi$ . Lastly by Lemma 6.2 it satisfies also (8.4). So the pairs  $(F^*, G^*) \in \mathcal{V}(F_0^*, G_0^*)$  satisfy (8.1)–(8.5) for every prime  $p \notin S$ .

Now Lemmata 8.1 and 6.1 imply that  $\mathcal{V}(F_0^*, G_0^*)$  is contained in the union of at most  $2^{\omega(c)}$   $\mathbb{Z}_S$ -equivalence classes. So the total number of  $\mathbb{Z}_S$ -equivalence classes of pairs  $(F^*, G^*)$  with (5.1)–(5.4) is bounded above by

$2^{\omega(c)}$  multiplied with the bound from Lemma 7.4. The resulting bound is precisely that of Proposition 5.2.  $\square$

Now we prove Lemma 8.1. Let  $p$  be a prime. Given  $a_1, \dots, a_r \in \overline{\mathbb{Q}}_p$ , we denote by  $[a_1, \dots, a_r]$  the  $\overline{\mathbb{Z}}_p$ -fractional ideal generated by  $a_1, \dots, a_r$ . Every finitely generated  $\overline{\mathbb{Z}}_p$ -fractional ideal is principal. For a polynomial  $P$  with coefficients in  $\overline{\mathbb{Z}}_p$ , denote by  $[P]$  the  $\overline{\mathbb{Z}}_p$ -fractional ideal generated by the coefficients of  $P$ . By Gauss' Lemma, we may express  $F_0^*, G_0^*$  as

$$\begin{aligned} F_0^* &= (F_0, (\beta_{10} : \alpha_{10}), \dots, (\beta_{m0} : \alpha_{m0})), \\ G_0^* &= (G_0, (\delta_{10} : \gamma_{10}), \dots, (\delta_{n0} : \gamma_{n0})) \end{aligned}$$

where

$$(8.6) \quad \begin{cases} F_0 = \prod_{i=1}^m (\alpha_{i0}X - \beta_{i0}Y), & [\alpha_{i0}, \beta_{i0}] \subseteq [1], \\ G_0 = \prod_{j=1}^n (\gamma_{j0}X - \delta_{j0}Y), & [\gamma_{j0}, \delta_{j0}] \subseteq [1] \end{cases}$$

for  $i = 1, \dots, m, j = 1, \dots, n$ .

The remainder of the proof of Lemma 8.1 is divided into a few lemmata. For the moment, we work with two pairs of augmented forms  $(F_1^*, G_1^*), (F_2^*, G_2^*)$  satisfying (8.1)–(8.5) which are not  $\mathbb{Z}_p$ -equivalent. Similarly as  $F_0^*, G_0^*$ , we may express  $F_1^*, F_2^*, G_1^*, G_2^*$  as

$$(8.7) \quad \begin{cases} F_k^* = (F_k, (\beta_{1k} : \alpha_{1k}), \dots, (\beta_{mk} : \alpha_{mk})), \\ G_k^* = (G_k, (\delta_{1k} : \gamma_{1k}), \dots, (\delta_{nk} : \gamma_{nk})) \end{cases}$$

for  $k = 1, 2$ , with

$$(8.8) \quad \begin{cases} F_k = \prod_{i=1}^m (\alpha_{ik}X - \beta_{ik}Y), & [\alpha_{ik}, \beta_{ik}] = [1], \\ G_k = \prod_{j=1}^n (\gamma_{jk}X - \delta_{jk}Y), & [\gamma_{jk}, \delta_{jk}] = [1] \end{cases}$$

for  $i = 1, \dots, m, j = 1, \dots, n, k = 1, 2$ , where the stronger assertions  $[\alpha_{ik}, \beta_{ik}] = [1], [\gamma_{jk}, \delta_{jk}] = [1]$  follow from Gauss' Lemma and our assumption (8.3) that  $F_k^*, G_k^*$  ( $k = 1, 2$ ) are  $\mathbb{Z}_p$ -primitive.

**Lemma 8.2.** *Let  $(F_1^*, G_1^*), (F_2^*, G_2^*)$  be two pairs of augmented forms satisfying (8.1)–(8.5) which are not  $\mathbb{Z}_p$ -equivalent and suppose that they are represented as in (8.7), (8.8).*

*Then there are a matrix  $B \in \text{NS}_2(\mathbb{Z}_p)$  with  $|\det B|_p = p^{-1}$ , a number  $\zeta \in \mathbb{Q}$  with  $0 < \zeta < 1$ , and numbers  $\lambda, \mu \in \mathbb{Q}_p^*$ ,  $\lambda_i \in \overline{\mathbb{Q}}_p^*$  ( $i = 1, \dots, m$ ) such that*

$$(8.9) \quad F_2^* = \lambda(F_1^*)_B, \quad G_2^* = \mu(G_1^*)_{(\det B)^{-1}B} \quad \text{with } |\lambda|_p = p^{m\zeta}, \quad |\mu|_p = p^{-n\zeta},$$

$$(8.10) \quad (\det B)B^{-1} \begin{pmatrix} \beta_{i1} \\ \alpha_{i1} \end{pmatrix} = \lambda_i \begin{pmatrix} \beta_{i2} \\ \alpha_{i2} \end{pmatrix} \quad \text{with } |\lambda_i|_p = p^{-\zeta} \quad \text{for } i = 1, \dots, m.$$

If moreover  $p \nmid c$  then there are  $\mu_j \in \overline{\mathbb{Q}}_p^*$  ( $j = 1, \dots, n$ ) such that

$$(8.11) \quad (\det B)B^{-1} \begin{pmatrix} \delta_{j1} \\ \gamma_{j1} \end{pmatrix} = \mu_j \begin{pmatrix} \delta_{j2} \\ \gamma_{j2} \end{pmatrix} \quad \text{with } |\mu_j|_p = p^{\zeta-1} \text{ for } j = 1, \dots, n.$$

*Proof.* By (8.1), there are matrices  $A_1, A_2 \in \text{NS}_2(\overline{\mathbb{Z}}_p)$  and numbers  $\varepsilon_1, \varepsilon_2, \eta_1, \eta_2 \in \overline{\mathbb{Z}}_p^*$  such that

$$(8.12) \quad F_k^* = \varepsilon_k (F_0^*)_{A_k}, \quad G_k^* = \eta_k (G_0^*)_{(\det A_k)^{-1} A_k} \quad \text{for } k = 1, 2.$$

This implies  $F_2^* = \varepsilon_2 \varepsilon_1^{-1} (F_1^*)_{A_1^{-1} A_2}$ ,  $G_2^* = \eta_2 \eta_1^{-1} (G_1^*)_{\det(A_1^{-1} A_2)^{-1} A_1^{-1} A_2}$ . Then by (8.2) and Lemma 5.1, there are  $B \in \text{GL}_2(\mathbb{Q}_p)$ ,  $\kappa \in \overline{\mathbb{Q}}_p^*$  such that  $A_1^{-1} A_2 = \kappa B$ . Without loss of generality we may assume that  $B \in \text{NS}_2(\mathbb{Z}_p)$  and that the entries of  $B$  have gcd 1 in  $\mathbb{Z}_p$ . Define  $\zeta, \theta$  by

$$(8.13) \quad |\kappa|_p = p^\zeta, \quad |\det B|_p = p^{-\theta}.$$

Then, on putting  $\lambda := \varepsilon_2 \varepsilon_1^{-1} \kappa^m$ ,  $\mu := \eta_2 \eta_1^{-1} \kappa^{-n}$ ,

$$(8.14) \quad F_2^* = \lambda (F_1^*)_B, \quad G_2^* = \mu (G_1^*)_{(\det B)^{-1} B} \quad \text{with } |\lambda|_p = p^{m\zeta}, \quad |\mu|_p = p^{-n\zeta}.$$

It is clear that  $\lambda, \mu \in \mathbb{Q}_p^*$ . If  $\theta = 0$  then  $B \in \text{GL}_2(\mathbb{Z}_p)$ , and also  $\lambda, \mu \in \mathbb{Z}_p^*$  since by (8.3) the binary forms  $F_k, G_k$  ( $k = 1, 2$ ) are  $\mathbb{Z}_p$ -primitive. So if  $\theta = 0$  then  $(F_1^*, G_1^*), (F_2^*, G_2^*)$  are  $\mathbb{Z}_p$ -equivalent, contrary to our assumption. The number  $\theta$  is clearly a non-negative integer. Hence

$$(8.15) \quad \theta \geq 1.$$

By (8.12), (8.6), (8.8) we have

$$(\det A_k) A_k^{-1} \begin{pmatrix} \beta_{i0} \\ \alpha_{i0} \end{pmatrix} = \nu_{ik} \begin{pmatrix} \beta_{ik} \\ \alpha_{ik} \end{pmatrix} \quad \text{for } k = 1, 2, \quad i = 1, \dots, m,$$

where  $\nu_{ik} \in \overline{\mathbb{Q}}_p^*$ . Since  $(\det A_k) A_k^{-1} \in \text{NS}_2(\overline{\mathbb{Z}}_p)$  and  $[\alpha_{ik}, \beta_{ik}] = [1]$  for  $i = 1, \dots, m, k = 1, 2$  we have  $\nu_{ik} \in \overline{\mathbb{Z}}_p$  for  $i = 1, \dots, m, k = 1, 2$ . Further,

$$(F_0)_{A_k}(X, Y) = \prod_{i=1}^m (\nu_{ik}(\alpha_{ik}X - \beta_{ik}Y)) \quad \text{for } k = 1, 2,$$

hence  $\prod_{i=1}^m \nu_{ik} = \varepsilon_k^{-1} \in \overline{\mathbb{Z}}_p^*$ . Therefore

$$(8.16) \quad (\det A_k) A_k^{-1} \begin{pmatrix} \beta_{i0} \\ \alpha_{i0} \end{pmatrix} = \nu_{ik} \begin{pmatrix} \beta_{ik} \\ \alpha_{ik} \end{pmatrix} \quad \text{with } \nu_{ik} \in \overline{\mathbb{Z}}_p^* \\ \text{for } k = 1, 2, \quad i = 1, \dots, m.$$

On putting  $\lambda_i = \nu_{i2} \nu_{i1}^{-1} \kappa^{-1}$  and inserting  $A_1^{-1} A_2 = \kappa B$  and (8.13) we obtain

$$(8.17) \quad (\det B) B^{-1} \begin{pmatrix} \beta_{i1} \\ \alpha_{i1} \end{pmatrix} = \lambda_i \begin{pmatrix} \beta_{i2} \\ \alpha_{i2} \end{pmatrix} \quad \text{with } |\lambda_i|_p = p^{-\zeta} \quad \text{for } i = 1, \dots, m.$$

Since  $(\det B) B^{-1} \in \text{NS}_2(\mathbb{Z}_p)$  and since  $[\alpha_{i2}, \beta_{i2}] = [1]$  for  $i = 1, \dots, m$  in view of (8.8), we have  $\zeta \geq 0$ . We now show that  $\theta = 1$  and  $0 < \zeta < 1$ . Here we use that  $F_1, F_2$  satisfy (8.4), i.e., that  $F_1, F_2$  are  $\mathbb{Z}_p$ -minimal.

Since  $\mathbb{Z}_p$  is a principal ideal domain and the entries of  $B$  have gcd 1, there are  $U_1, U_2 \in \mathrm{GL}_2(\mathbb{Z}_p)$  such that

$$B = U_1 \begin{pmatrix} 1 & 0 \\ 0 & p^\theta \end{pmatrix} U_2.$$

By inserting this into (8.17) we obtain

$$(8.18) \quad \begin{pmatrix} 1 & 0 \\ 0 & p^\theta \end{pmatrix} \begin{pmatrix} \beta'_{i1} \\ \alpha'_{i1} \end{pmatrix} = \lambda_i \begin{pmatrix} \beta'_{i2} \\ \alpha'_{i2} \end{pmatrix} \text{ with } |\lambda_i|_p = p^{-\zeta} \text{ for } i = 1, \dots, m,$$

where

$$(8.19) \quad \begin{pmatrix} \beta'_{i1} \\ \alpha'_{i1} \end{pmatrix} = (\det U_1) U_1^{-1} \begin{pmatrix} \beta_{i1} \\ \alpha_{i1} \end{pmatrix}, \quad \begin{pmatrix} \beta'_{i2} \\ \alpha'_{i2} \end{pmatrix} = (\det U_2)^{-1} U_2 \begin{pmatrix} \beta_{i2} \\ \alpha_{i2} \end{pmatrix}$$

for  $i = 1, \dots, m$ .

By (8.18) we have  $|\beta'_{i1}|_p = |\lambda_i \beta'_{i2}|_p \leq p^{-\zeta}$  for  $i = 1, \dots, m$ . Suppose  $\zeta \geq 1$ . Then  $\beta'_{i1}/p \in \overline{\mathbb{Z}_p}$  for  $i = 1, \dots, m$ . Hence, with  $C := \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} U_1^{-1}$ ,

$$(\det C)^{-1} C \begin{pmatrix} \beta_{i1} \\ \alpha_{i1} \end{pmatrix} = \begin{pmatrix} \beta'_{i1}/p \\ \alpha'_{i1} \end{pmatrix} \in \overline{\mathbb{Z}_p}^2.$$

Consequently,  $(F_1)_{C^{-1}} \in \overline{\mathbb{Z}_p}[X, Y]$ . But then  $(F_1)_{C^{-1}} \in \mathbb{Z}_p[X, Y]$ , since  $(F_1)_{C^{-1}} \in \mathbb{Q}_p[X, Y]$ . Hence  $F_1$  is not  $\mathbb{Z}_p$ -minimal, contrary to our assumption. Thus we conclude that  $\zeta < 1$ . From (8.18) we infer also that

$$|\alpha'_{i2}|_p = |p^\theta \lambda_i^{-1} \alpha'_{i1}|_p \leq p^{\zeta - \theta} \text{ for } i = 1, \dots, m.$$

By the same argument as above, using that  $F_2$  is  $\mathbb{Z}_p$ -minimal, we infer that  $0 \leq \theta - \zeta < 1$ . Combining this with (8.15) and  $\theta \in \mathbb{Z}$ , it follows that  $\theta = 1$  and  $0 < \zeta < 1$ .

We have proved that (8.9), (8.10) hold for a suitable  $\zeta$  with  $0 < \zeta < 1$ . Further, in view of (8.13) we have  $|\det B|_p = p^{-1}$ . It remains to prove (8.11). Assume that  $p \nmid c$ . By (8.14) we have

$$(8.20) \quad (\det B) B^{-1} \begin{pmatrix} \delta_{j1} \\ \gamma_{j1} \end{pmatrix} = \mu_j \begin{pmatrix} \delta_{j2} \\ \gamma_{j2} \end{pmatrix} \text{ with } \mu_j \in \overline{\mathbb{Q}_p}^* \text{ for } j = 1, \dots, n.$$

By (8.8), (8.5),

$$\prod_{i=1}^m \prod_{j=1}^n |\alpha_{ik} \delta_{jk} - \beta_{ik} \gamma_{jk}|_p = |R(F_k, G_k)|_p = |c|_p = 1 \text{ for } k = 1, 2.$$

Further,  $\alpha_{ik} \delta_{jk} - \beta_{ik} \gamma_{jk} \in \overline{\mathbb{Z}_p}$ . Hence

$$|\alpha_{ik} \delta_{jk} - \beta_{ik} \gamma_{jk}|_p = 1 \text{ for } i = 1, \dots, m, j = 1, \dots, n, k = 1, 2.$$

Now by (8.10), (8.20),

$$|\det B|_p^{-1} \cdot |\alpha_{i1} \delta_{j1} - \beta_{i1} \gamma_{j1}|_p = |\lambda_i \mu_j (\alpha_{i2} \delta_{j2} - \beta_{i2} \gamma_{j2})|_p,$$

so

$$|\lambda_i \mu_j|_p = |\det B|_p$$

which together with the already established identities  $|\det B|_p = p^{-1}$ ,  $|\lambda_i|_p = p^{-\zeta}$ , implies  $|\mu_j|_p = p^{\zeta-1}$  for  $j = 1, \dots, n$ . This proves (8.11), and completes the proof of Lemma 8.2.  $\square$

**Lemma 8.3.** *Assume that  $p \nmid c$ . Then the pairs of augmented forms  $(F^*, G^*)$  with (8.1)–(8.5) lie in at most one  $\mathbb{Z}_p$ -equivalence class.*

*Proof.* Assume there are two  $\mathbb{Z}_p$ -inequivalent pairs  $(F_1^*, G_1^*)$ ,  $(F_2^*, G_2^*)$  with (8.1)–(8.5). Let  $B$  be the matrix and  $\zeta \in \mathbb{Q}$  the number from Lemma 8.2. There are  $U_1, U_2 \in \mathrm{GL}_2(\mathbb{Z}_p)$  such that  $B = U_1 \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} U_2$ . Together with (8.10), (8.11) this implies

$$\begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \begin{pmatrix} \beta'_{i1} \\ \alpha'_{i1} \end{pmatrix} = \lambda_i \begin{pmatrix} \beta'_{i2} \\ \alpha'_{i2} \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} \begin{pmatrix} \delta'_{j1} \\ \gamma'_{j1} \end{pmatrix} = \mu_j \begin{pmatrix} \delta'_{j2} \\ \gamma'_{j2} \end{pmatrix}$$

with

$$\begin{pmatrix} \beta'_{i1} \\ \alpha'_{i1} \end{pmatrix} = (\det U_1) U_1^{-1} \begin{pmatrix} \beta_{i1} \\ \alpha_{i1} \end{pmatrix}, \quad \begin{pmatrix} \beta'_{i2} \\ \alpha'_{i2} \end{pmatrix} = (\det U_2) U_2^{-1} \begin{pmatrix} \beta_{i2} \\ \alpha_{i2} \end{pmatrix},$$

$$\begin{pmatrix} \delta'_{j1} \\ \gamma'_{j1} \end{pmatrix} = (\det U_1) U_1^{-1} \begin{pmatrix} \delta_{j1} \\ \gamma_{j1} \end{pmatrix}, \quad \begin{pmatrix} \delta'_{j2} \\ \gamma'_{j2} \end{pmatrix} = (\det U_2) U_2^{-1} \begin{pmatrix} \delta_{j2} \\ \gamma_{j2} \end{pmatrix},$$

for  $i = 1, \dots, m$ ,  $j = 1, \dots, n$ . Thus  $|\beta'_{i1}|_p = |\lambda_i \beta'_{i2}|_p \leq p^{-\zeta}$  for  $i = 1, \dots, m$ ,  $|\delta'_{j1}|_p = |\mu_j \delta'_{j2}|_p \leq p^{\zeta-1}$  for  $j = 1, \dots, n$ . Hence

$$\begin{aligned} |\alpha_{i1} \delta_{j1} - \beta_{i1} \gamma_{j1}|_p &= |\det U_1|_p^{-1} |\alpha'_{i1} \delta'_{j1} - \beta'_{i1} \gamma'_{j1}|_p \\ &= |\alpha'_{i1} \delta'_{j1} - \beta'_{i1} \gamma'_{j1}|_p \leq \max(p^{-\zeta}, p^{\zeta-1}) < 1 \end{aligned}$$

for  $i = 1, \dots, m$ ,  $j = 1, \dots, n$ . But then

$$|R(F_k, G_k)|_p = \prod_{i=1}^m \prod_{j=1}^n |\alpha_{i1} \delta_{j1} - \beta_{i1} \gamma_{j1}|_p < 1 \quad \text{for } k = 1, 2,$$

contradicting our assumptions that  $p \nmid c$  and that  $(F_k^*, G_k^*)$  ( $k = 1, 2$ ) satisfy (8.5).  $\square$

**Lemma 8.4.** *Assume that  $p \mid c$ . Then the pairs  $(F^*, G^*)$  with (8.1)–(8.5) lie in at most two  $\mathbb{Z}_p$ -equivalence classes.*

*Proof.* Assume there are three  $\mathbb{Z}_p$ -inequivalent pairs  $(F_k^*, G_k^*)$ , ( $k = 1, 2, 3$ ) with (8.1)–(8.5). Then by Lemma 8.2, there are matrices  $B_{12}, B_{13}, B_{23} \in \mathrm{NS}_2(\mathbb{Z}_p)$  with  $|\det B_{12}|_p = |\det B_{13}|_p = |\det B_{23}|_p = p^{-1}$ , as well as numbers  $\lambda_{12}, \lambda_{13}, \lambda_{23} \in \mathbb{Q}_p^*$  such that

$$F_2^* = \lambda_{12} (F_1^*)_{B_{12}}, \quad F_3^* = \lambda_{13} (F_1^*)_{B_{13}}, \quad F_3^* = \lambda_{23} (F_2^*)_{B_{23}}.$$

Thus  $F_3^* = \lambda_{12} \lambda_{23} (F_1^*)_{B_{12} B_{23}}$ . Hence by Lemma 5.1,  $B_{12} B_{23} = \lambda B_{13}$  with  $\lambda \in \mathbb{Q}_p^*$ . But this implies

$$|\lambda|_p^2 = \frac{|\det B_{12}|_p |\det B_{23}|_p}{|\det B_{13}|_p} = p^{-1},$$

which is impossible. So three pairwise  $\mathbb{Z}_p$ -inequivalent pairs with (8.1)–(8.5) cannot exist. Lemma 8.4 follows.  $\square$

Now Lemma 8.1 is an immediate consequence of Lemmata 8.3 and 8.4.  $\square$

## 9. PROOF OF THEOREM 2.3

The discriminant of a binary form  $F = \sum_{k=0}^m a_k X^{m-k} Y^k = \prod_{i=1}^m (\alpha_i X - \beta_i Y)$  is given by

$$D(F) = \prod_{1 \leq i < j \leq m} (\alpha_i \beta_j - \alpha_j \beta_i)^2.$$

Recall that  $D(F)$  is a homogeneous polynomial in  $\mathbb{Z}[a_0, \dots, a_m]$  of degree  $2m - 2$ . Further, for any scalar  $\lambda$  and any  $2 \times 2$ -matrix  $A$  we have

$$(9.1) \quad D(\lambda F_A) = \lambda^{2m-2} (\det A)^{m(m-1)} D(F).$$

Let again  $S = \{p_1, \dots, p_t\}$  be a finite, possibly empty set of primes. Every non-zero  $a \in \mathbb{Z}_S$  can be expressed uniquely as  $a = \varepsilon |a|_S$ , where  $\varepsilon \in \mathbb{Z}_S^*$  and  $|a|_S$  is a positive integer coprime with the primes in  $S$ . For a binary form  $F = \sum_{i=0}^m a_i X^{m-i} Y^i \in \mathbb{Z}_S[X, Y]$ , we define  $[F]_S := \gcd(|a_0|_S, \dots, |a_m|_S)$ . Then for any two  $\mathbb{Z}_S$ -equivalent binary forms  $F_1, F_2$  we have

$$[F_1]_S = [F_2]_S, \quad |D(F_1)|_S = |D(F_2)|_S.$$

The first equality is obvious, while the second follows from (9.1).

Let  $F \in \mathbb{Z}_S[X, Y]$  be a binary form and consider the matrices  $A \in \text{NS}_2(\mathbb{Z}_S)$  such that  $F_{A^{-1}} \in \mathbb{Z}_S[X, Y]$ . If a matrix  $A$  satisfies this condition, then so does every matrix in the left  $\text{GL}_2(\mathbb{Z}_S)$ -coset  $\text{GL}_2(\mathbb{Z}_S)A = \{UA : U \in \text{GL}_2(\mathbb{Z}_S)\}$ .

**Lemma 9.1.** *Let  $F \in \mathbb{Z}_S[X, Y]$  be a binary form of degree  $m$  without multiple factors. Suppose that  $F$  is associated with the number fields  $K_1, \dots, K_u$ . Then the set of matrices*

$$\{A \in \text{NS}_2(\mathbb{Z}_S) : F_{A^{-1}} \in \mathbb{Z}_S[X, Y]\}$$

is a union of

$$(9.2) \quad \ll [F]_S^{1/m} [D(F)]_S^\delta$$

left  $\text{GL}_2(\mathbb{Z}_S)$ -cosets for every  $\delta > 0$ , where the implied constant depends only on  $K_1, \dots, K_u, \delta, S, m$ .

*Proof.* In all Vinogradov symbols  $\ll$  used below, the implied constant depends only on  $K_1, \dots, K_u, \delta, S, m$ .

Every matrix  $A \in \text{NS}_2(\mathbb{Z}_S)$  can be expressed as  $UB$ , where  $U \in \text{GL}_2(\mathbb{Z}_S)$  and  $B = \begin{pmatrix} a & b \\ 0 & d \end{pmatrix}$  with  $a, b, d \in \mathbb{Z}$ ,  $a > 0$ ,  $d > 0$ ,  $0 \leq b < d$  and  $\gcd(ad, p_1 \cdots p_t)$

$= 1$  (if  $S \neq \emptyset$ ). Therefore, it suffices to show that the number of such matrices  $B$  with  $F_{B^{-1}} \in \mathbb{Z}_S[X, Y]$  is bounded above by (9.2).

By (9.1) we have  $|D(F)|_S = (ad)^{m(m-1)}|D(F_{B^{-1}})|_S$ , hence  $(ad)^{m(m-1)}$  is a divisor of  $|D(F)|_S$ . The number of pairs  $(a, d)$  with this property is  $\ll |D(F)|_S^\delta$  for every  $\delta > 0$ . We show that for given  $a, d$  the number of  $b \in \mathbb{Z}$  such that  $0 \leq b < d$  and  $F_{B^{-1}} \in \mathbb{Z}_S[X, Y]$  is  $\ll [F]_S^{\frac{1}{m} + \delta}$  for every  $\delta > 0$ . This implies the bound (9.2), since by (9.1),  $[F]_S^{m(m-1)}$  divides  $|D(F)|_S$ .

If in the matrix  $B$  we replace  $b$  by another integer in the same residue class mod  $d$ , we obtain a matrix in the same left  $\mathrm{GL}_2(\mathbb{Z}_S)$ -coset. Therefore, it suffices to show that the number of residue classes  $b \bmod d$  in  $\mathbb{Z}_S$  such that  $F_{B^{-1}} \in \mathbb{Z}_S[X, Y]$  is  $\ll [F]_S^{\frac{1}{m} + \delta}$  for every  $\delta > 0$ . In view of the Chinese Remainder Theorem, it suffices to estimate from above the number of residue classes  $b \bmod d$  in  $\mathbb{Z}_p$  for every prime  $p \mid d$  and then take the product. More precisely, for each prime  $p \mid d$  let  $R_p$  denote the number of residue classes  $b \bmod d$  in  $\mathbb{Z}_p$  such that

$$(9.3) \quad F_{B^{-1}}(X, Y) = F(a^{-1}X - b(ad)^{-1}Y, d^{-1}Y) \in \mathbb{Z}_p[X, Y].$$

Then we have to show that

$$(9.4) \quad \prod_{p \mid d} R_p \ll [F]_S^{\frac{1}{m} + \delta} \quad \text{for every } \delta > 0.$$

Let  $p$  be a prime dividing  $d$ . Denote by  $\mathrm{ord}_p(F)$  the exponent of  $p$  in the prime factorization of  $[F]_S$ . Let  $L_p$  denote the splitting field of  $F$  over  $\mathbb{Q}_p$  and  $O_p$  the integral closure of  $\mathbb{Z}_p$  in  $L_p$ . For  $\alpha_1, \dots, \alpha_r \in L_p$  we denote by  $[\alpha_1, \dots, \alpha_r]$  the  $O_p$ -fractional ideal generated by  $\alpha_1, \dots, \alpha_r$ . For a polynomial with coefficients in  $L_p$ , we denote by  $[P]$  the  $O_p$ -fractional ideal generated by the coefficients of  $P$ . There is  $\pi \in O_p$  such that every  $O_p$ -fractional ideal is equal to  $[\pi]^r$  for some  $r \in \mathbb{Z}$ . In particular we have

$$(9.5) \quad [p] = [\pi]^e \quad \text{with } 1 \leq e \leq [L_p : \mathbb{Q}_p] \leq m!.$$

Let  $b \in \mathbb{Z}_p$  satisfy (9.3). By Gauss' Lemma, we can factor  $F$  in  $O_p[X, Y]$  as

$$(9.6) \quad F(X, Y) = \gamma \prod_{i=1}^m (\alpha_i X - \beta_i Y)$$

with  $[\gamma] = [F]$ ,  $[\alpha_i, \beta_i] = [1]$  for  $i = 1, \dots, m$ .

Then

$$(9.7) \quad F_{B^{-1}}(X, Y) = \gamma \prod_{i=1}^m \left( \frac{\alpha_i}{a} X - \frac{b(\alpha_i/a) + \beta_i}{d} Y \right).$$



Define integers  $r_i$  ( $i = 1, \dots, m$ ) by

$$(9.8) \quad [\pi]^{-r_i} = \left[ \frac{\alpha_i}{a}, \frac{b(\alpha_i/a) + \beta_i}{d} \right].$$

Then since

$$\left[ \frac{\alpha_i}{a}, \frac{b(\alpha_i/a) + \beta_i}{d} \right] \supseteq \left[ \frac{\alpha_i}{a}, \frac{b\alpha_i}{a} + \beta_i \right] \supseteq \left[ \frac{\alpha_i}{a}, \beta_i \right] \supseteq [\alpha_i, \beta_i] = [1],$$

we have

$$(9.9) \quad r_i \geq 0 \quad \text{for } i = 1, \dots, m.$$

Further, by Gauss' Lemma, the product over  $i = 1, \dots, m$  of the ideals on the right-hand side of (9.8) is  $[F_{B^{-1}}][F]^{-1}$ . Together with (9.3), (9.5) this implies

$$[\pi]^{r_1 + \dots + r_m} = [F][F_{B^{-1}}]^{-1} \supseteq [F] = [\pi]^{e \cdot \text{ord}_p(F)}.$$

Hence

$$(9.10) \quad r_1 + \dots + r_m \leq e \cdot \text{ord}_p(F).$$

(9.9), (9.10) imply that for the tuple  $(r_1, \dots, r_m)$  we have at most

$$(9.11) \quad \binom{m + e \cdot \text{ord}_p(F)}{m}$$

possibilities.

We now fix a tuple  $(r_1, \dots, r_m)$  and estimate the number of residue classes  $b \pmod{d}$  in  $\mathbb{Z}_p$  with (9.3), (9.8). For  $i = 1, \dots, m$  define  $\kappa_i, \lambda_i \in L_p$  by

$$(9.12) \quad \frac{\alpha_i}{a} = \kappa_i \pi^{-r_i}, \quad \frac{b(\alpha_i/a) + \beta_i}{d} = \lambda_i \pi^{-r_i}.$$

This implies that for  $i = 1, \dots, m$  we have

$$(9.13) \quad b\kappa_i + \pi^{r_i}\beta_i = \lambda_i d,$$

$$(9.14) \quad b + a \frac{\beta_i}{\alpha_i} = \frac{\lambda_i d}{\kappa_i}.$$

Define integers  $s_i$  ( $i = 1, \dots, m$ ) by

$$(9.15) \quad [\kappa_i, d] = [\pi]^{s_i}.$$

These integers are uniquely determined by  $a, d, F, r_1, \dots, r_m$ , so they are independent of  $b$ . We claim that

$$(9.16) \quad 0 \leq s_i \leq r_i \quad \text{for } i = 1, \dots, m.$$

Indeed, by (9.8) we have  $[\kappa_i, \lambda_i] = [1]$  for  $i = 1, \dots, m$ . Hence  $\kappa_i, d \in O_p$  and so  $s_i \geq 0$  for  $i = 1, \dots, m$ . On the other hand, by (9.13),

$$\begin{aligned} [\kappa_i, d] &= [\kappa_i, \lambda_i d] = [\kappa_i, b\kappa_i + \pi^{r_i}\beta_i] \\ &= [\kappa_i, \pi^{r_i}\beta_i] = \pi^{r_i}[\alpha_i/a, \beta_i] \supseteq [\pi]^{r_i} \end{aligned}$$

and therefore,  $s_i \leq r_i$  for  $i = 1, \dots, m$ .

From (9.14) it follows that

$$(9.17) \quad b + \frac{a\beta_i}{\alpha_i} \equiv 0 \pmod{d\pi^{-s_i}} \text{ for } i = 1, \dots, m.$$

Thus, every  $b \in \mathbb{Z}_p$  with (9.3), (9.8) satisfies (9.17).

Let  $b_1, b_2$  be two numbers in  $\mathbb{Z}_p$  with (9.3), (9.8). Then

$$b_1 \equiv b_2 \pmod{d\pi^{-s_i}},$$

and so by (9.5),

$$b_1 \equiv b_2 \pmod{dp^{-\lceil s_i/e \rceil}}.$$

By (9.9), (9.10), there is  $i \in \{1, \dots, m\}$  with  $r_i \leq e \cdot \text{ord}_p(F)/m$ . By (9.16) we have for this  $i$ ,  $\lceil s_i/e \rceil \leq \lceil \text{ord}_p(F)/m \rceil$ . Hence for any two numbers  $b_1, b_2 \in \mathbb{Z}_p$  with (9.3),(9.8), we have

$$b_1 \equiv b_2 \pmod{dp^{-\lceil \text{ord}_p(F)/m \rceil}}.$$

Consequently, the numbers  $b \in \mathbb{Z}_p$  with (9.3),(9.8) lie in at most  $p^{\lceil \text{ord}_p(F)/m \rceil}$  residue classes mod  $d$  in  $\mathbb{Z}_p$ .

This gives an upper bound for the number of residue classes  $b \pmod{d}$  for fixed  $r_1, \dots, r_m$ . Invoking the upper bound (9.11) for the number of possibilities for  $(r_1, \dots, r_m)$ , we infer that for the number  $R_p$  of residue classes  $b \pmod{d}$  in  $\mathbb{Z}_p$  with (9.3) we have

$$R_p \leq \binom{m + e \cdot \text{ord}_p(F)}{m} \cdot p^{\lceil \text{ord}_p(F)/m \rceil}.$$

Using that  $\prod_{p|d} p^{\lceil \text{ord}_p(F)/m \rceil} \leq [F]_S^{1/m}$ , it follows easily that  $\prod_{p|d} R_p \ll [F]_S^{\frac{1}{m} + \delta}$  for every  $\delta > 0$ . This proves (9.4), and completes the proof of Lemma 9.1.  $\square$

**Lemma 9.2.** *Let  $F, G \in \mathbb{Z}_S[X, Y]$  be binary forms of degrees  $m \geq 3$ ,  $n \geq 3$ , respectively, such that  $FG$  has no multiple factors, and suppose that  $F$  is associated with the number fields  $K_1, \dots, K_u$  and  $G$  with the number fields  $L_1, \dots, L_v$ . Then*

$$|R(F, G)|_S \gg (|D(F)|_S^{\frac{n}{m-1}} \cdot |D(G)|_S^{\frac{m}{n-1}})^{\frac{1}{17} - \delta}$$

for every  $\delta > 0$ , where the implied constant depends only on  $K_1, \dots, K_u, L_1, \dots, L_v, m, n, S, \delta$ , and is not effectively computable from the method of proof.

*Proof.* See EVERTSE and GYÓRY [8, Theorem 1].  $\square$

**Lemma 9.3.** *Let  $F, G \in \mathbb{Z}_S[X, Y]$  be binary forms without multiple factors. Then there are binary forms  $F_0, G_0 \in \mathbb{Z}_S[X, Y]$  and a matrix  $A \in \text{NS}_2(\mathbb{Z}_S)$  such that*

- $F = (F_0)_A, G = (G_0)_{(\det A)^{-1}A},$
- $F_0$  is  $\mathbb{Z}_S$ -minimal,  $R(F_0, G_0) = R(F, G).$

*Proof.* Assume that  $F$  is not  $\mathbb{Z}_S$ -minimal. Then there are a binary form  $F_1 \in \mathbb{Z}_S[X, Y]$  and a matrix  $A_1 \in \text{NS}_2(\mathbb{Z}_S) \setminus \text{GL}_2(\mathbb{Z}_S)$  such that  $F = (F_1)_{A_1}$ . By (9.1),  $|D(F)|_S = |\det A_1|_S^{m(m-1)} |D(F_1)|_S > |D(F_1)|_S$ . If  $F_1$  is not  $\mathbb{Z}_S$ -minimal, there are a binary form  $F_2 \in \mathbb{Z}_S[X, Y]$  and a matrix  $A_2 \in \text{NS}_2(\mathbb{Z}_S)$  such that  $F_1 = (F_2)_{A_2}$  and  $|D(F_2)|_S < |D(F_1)|_S$ . Further,  $F = (F_2)_{A_2 A_1}$ . It is clear that this argument can be repeated at most finitely many times. So eventually, we obtain a  $\mathbb{Z}_S$ -minimal binary form  $F_0 \in \mathbb{Z}_S[X, Y]$  and a matrix  $A \in \text{NS}_2(\mathbb{Z}_S)$  such that  $F = (F_0)_A$ . Now put  $G_0 := G_{(\det A)A^{-1}}$ . Then  $G_0 \in \mathbb{Z}_S[X, Y]$  and by (2.1) we have  $R(F_0, G_0) = R(F, G)$ .  $\square$

*Proof of Theorem 2.3.* The constants implied by the Vinogradov symbols  $\ll$  used below depend only on  $K_1, \dots, K_u, L_1, \dots, L_v, m, n, S, \delta$ .

Let  $(F, G)$  be a pair of binary forms in  $\mathbb{Z}_S[X, Y]$  satisfying the conditions of Theorem 2.3, so in particular satisfying (1.1). Let  $F_0, G_0$  be a pair of binary forms in  $\mathbb{Z}_S[X, Y]$ , and  $A \in \text{NS}_2(\mathbb{Z}_S)$  as in Lemma 9.3. Then  $(F_0, G_0)$  satisfies (1.1). By Theorem 2.2, the pairs of binary forms  $(F_0, G_0)$  constructed in this manner lie in  $\ll c^\delta$   $\mathbb{Z}_S$ -equivalence classes for every  $\delta > 0$ .

Let  $\mathcal{F}$  be a full system of representatives for these classes. So

$$(9.18) \quad \#\mathcal{F} \ll c^\delta \quad \text{for every } \delta > 0.$$

Starting with a pair of binary forms  $(F, G)$  satisfying the conditions of Theorem 2.3, we first obtain a pair of binary forms  $(F_0, G_0)$  and a matrix  $A \in \text{NS}_2(\mathbb{Z}_S)$  as in Lemma 9.3, and then a pair  $(F_1, G_1) \in \mathcal{F}$  and a matrix  $U \in \text{GL}_2(\mathbb{Z}_S)$  such that  $F_0 = (F_1)_U, G_0 = (G_1)_U$ . On putting  $A_1 := (\det A)A^{-1}U^{-1}$ , we obtain

$$(9.19) \quad F = \varepsilon(F_1)_{(\det A_1)A_1^{-1}}, \quad G = (G_1)_{A_1^{-1}} \quad \text{with } \varepsilon \in \mathbb{Z}_S^*, A_1 \in \text{NS}_2(\mathbb{Z}_S).$$

For the matrix  $A_1$  we have  $(G_1)_{A_1^{-1}} \in \mathbb{Z}_S[X, Y]$ . So by Lemma 9.1, there is a set of matrices  $\mathcal{M}(G_1)$  in  $\text{NS}_2(\mathbb{Z}_S)$  depending only on  $G_1$  of cardinality

$$(9.20) \quad \#\mathcal{M}(G_1) \ll [G_1]_S^{1/n} |D(G_1)|_S^\delta \quad \text{for every } \delta > 0$$

such that  $A_1 = UB$  for some  $B \in \mathcal{M}(G_1), U \in \text{GL}_2(\mathbb{Z}_S)$ . By inserting this into (9.19), we infer that every pair of binary forms  $(F, G)$  satisfying the conditions of Theorem 2.3, is  $\mathbb{Z}_S$ -equivalent to a pair

$$(9.21) \quad ((F_1)_{(\det B)B^{-1}}, (G_1)_{B^{-1}}) \quad \text{with } (F_1, G_1) \in \mathcal{F}, B \in \mathcal{M}(G_1).$$

We estimate the number of pairs in (9.21). Every pair  $(F_1, G_1) \in \mathcal{F}$  satisfies (1.1). From (2.1) it follows that  $[G_1]_S^m$  divides  $R(F_1, G_1)$ , hence  $c$  as well. Therefore,  $[G_1]_S \ll c^{1/m}$ . Further, by Lemma 9.2 (taking  $\delta$  sufficiently small), we have

$$c = |R(F_1, G_1)|_S \gg |D(G_1)|_S^{m/17n},$$

therefore,  $|D(G_1)|_S \ll c^{17n/m}$ . By inserting this into (9.20) we obtain that  $\mathcal{M}(G_1)$  has cardinality  $\ll c^{\frac{1}{mn} + \delta}$  for every  $\delta > 0$ . Together with (9.18) this implies that the set of pairs in (9.21) has cardinality  $\ll c^{\frac{1}{mn} + \delta}$  for every  $\delta > 0$ . This completes the proof of Theorem 2.3.  $\square$

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