

SPECIAL  $L$ -VALUES OF  $t$ -MOTIVES: A CONJECTURE

LENNY TAE LMAN

ABSTRACT. We propose a conjecture on special values of  $L$ -functions in a function field context with positive characteristic coefficients.

For  $M$  a uniformizable  $t$ -motive with everywhere good reduction we conjecture a relation between the value of the Goss  $L$ -function  $L(M^\vee, s)$  at  $s = 0$  and the uniformization of the abelian  $t$ -module associated with  $M$ .

When  $M$  is a power of the Carlitz  $t$ -motive the conjecture specializes to a theorem of Anderson and Thakur on Carlitz zeta values. Beyond this case we present numerical evidence.

## 1. INTRODUCTION: THREE FLAVORS OF SPECIAL VALUES

Of the three flavors of special values of  $L$ -functions that are to be discussed now, only the third is logically relevant to the rest of the paper. The first two are here to provide some context.

**1.1. Number field base, characteristic zero coefficients.** Let  $K$  be a number field and  $\bar{K}$  an algebraic closure of  $K$ .

**Definition 1** (see [16]). A *strictly compatible system* of  $\ell$ -adic representations of  $\text{Gal}(\bar{K}/K)$  is a collection  $\rho = (\rho_\ell)_\ell$  of continuous homomorphisms  $\rho_\ell : \text{Gal}(\bar{K}/K) \rightarrow \text{GL}(V_\ell)$ , one for every rational prime  $\ell$ , where the  $V_\ell$  are finite dimensional  $\mathbf{Q}_\ell$ -vector spaces, and subject to the condition that there exists a finite set  $S$  of places of  $K$  such that:

- (i) for all places  $v \notin S$  and for all  $\ell$  coprime with  $v$  the representation  $\rho_\ell$  is unramified at  $v$ ;
- (ii) for such  $\ell$  and  $v$  the characteristic polynomial of  $\rho_\ell(\text{Frob}_v)$  has rational coefficients and does not depend on  $\ell$ .

A natural source of strictly compatible systems is  $\ell$ -adic cohomology: consider the system  $(V_\ell)_\ell$  where  $V_\ell := H^i(X_{\bar{K}, \text{ét}}, \mathbf{Z}_\ell) \otimes_{\mathbf{Z}} \mathbf{Q}$  are the  $\ell$ -adic cohomology groups of a smooth and projective variety  $X$  over  $K$ , or their Tate twists, and even subquotients of these constructed using correspondences defined over  $K$ . By [5] these form strictly compatible systems. Any system of representations that is isomorphic to such a system is said to *come from geometry*.

To any strictly compatible system  $\rho$  coming from geometry and any finite set of places  $S$  as above one associates an  $L$ -function as follows. First define for every finite place  $v$  that is not in  $S$  the polynomial

$$P_v(X) := \det(1 - X\rho_\ell(\text{Frob}_v)) \in \mathbf{Q}[X]$$

using any  $\ell$  which is coprime with  $v$ . Then define the  $L$ -function of  $\rho$  away from  $S$  by the Euler product

$$(1) \quad L_S(\rho, s) := \prod_{v \notin S} P_v(Nv^{-s})^{-1}$$

where the product ranges over all finite places of  $K$  not in  $S$  and where  $Nv \in \mathbf{Z}_{>0}$  denotes the norm of the place  $v$ . By [5] this converges to a complex analytic function for  $\Re(s)$  sufficiently large.

For any  $\rho$  coming from geometry and  $n \in \mathbf{Z}$  such that  $L(\rho, s)$  can be holomorphically continued to a neighborhood of  $s = n$  we say that the complex number  $L(\rho, n)$  is a *special value*. More generally, if  $L(\rho, s)$  can be meromorphically continued to a neighborhood of  $s = n$  we also call the leading coefficient of the Laurent series expansion of  $L(\rho, s)$  around  $s = n$  a special value.

There is a large zoo of theorems and conjectures concerning these special values: Euler's  $\zeta(2) = \pi^2/6$ , the class number formula, the Birch and Swinnerton-Dyer conjecture, to name just a few. A very general conjecture due to Beilinson [4] and reformulated by Scholl [15] expresses all special values (up to a rational factor) in terms of periods of mixed motives. (*see also* the excellent survey [10].)

**1.2. Function field base, characteristic zero coefficients.** Of course the above definition of an  $L$ -function associated to a strictly compatible system of  $\ell$ -adic representations makes perfect sense if  $K$  is not a number field but the function field of a curve over a finite field with  $q$  elements.

Only the relation between special values and periods disappears from the picture, because if  $\rho$  comes from geometry then there exists a rational function  $f \in \mathbf{Q}(T)$  such that  $L(\rho, s) = f(q^{-s})$ . In particular: if  $s = n$  is not a pole of  $L(\rho, s)$  then  $L(\rho, n) \in \mathbf{Q}$ .

(The interpretation of this rational number in terms of arithmetic geometry and algebraic  $K$ -theory is a very interesting problem [14] [12], but it is not the topic of this note.)

**1.3. Function field base, characteristic  $p$  coefficients.** After having discussed the two flavors that we will *not* be concerned with, we now come to the central topic of this paper.

Let us start with an example of a special value of this third flavor. Let  $A := \mathbf{F}_q[t]$  be the polynomial ring in one variable  $t$  over a finite field  $\mathbf{F}_q$  of  $q$  elements. Write  $A_+$  for the set of monic elements of  $A$ . The infinite sum

$$\zeta(n) := \sum_{f \in A_+} f^{-n}$$

converges in  $\mathbf{F}_q((t^{-1}))$  for every  $n \in \mathbf{Z}_{>0}$ . For example, if  $q = 2$  then one easily computes by hand

$$\zeta(1) \in 1 + t^{-2} + t^{-3} + t^{-4} \mathbf{F}_2[[t^{-1}]].$$

Using unique factorization in  $A$  we obtain an expression as an infinite convergent Euler product:

$$\zeta(n) = \prod_f (1 - f^{-n})^{-1},$$

where the product runs over the monic irreducible elements. These  $\zeta(n)$  with  $n > 0$  are examples of special values about which our conjecture will say something. In

fact, for these examples the conjecture specializes to a theorem due to Anderson and Thakur [3].

Now we generalize this example and turn to strictly compatible systems of Galois representations. For every non-zero prime ideal  $\lambda \subset \mathbf{F}_q[t]$  consider the  $\lambda$ -adic completion  $\mathbf{F}_q(t)_\lambda$  of  $\mathbf{F}_q(t)$ . Let  $K$  be a finite separable extension of  $\mathbf{F}_q(t)$ . Let  $\rho = (\rho_\lambda)$  be a family of representations of  $\text{Gal}(K^{\text{sep}}/K)$  on finite dimensional  $\mathbf{F}_q(t)_\lambda$ -vector spaces, one for each prime ideal  $\lambda$  of  $\mathbf{F}_q[t]$ . We call  $\rho$  a *strictly compatible system* if there exists a finite set  $S$  of places of  $K$  such that

- (i) for every finite place  $v \notin S$  and for all  $\lambda$  not under  $v$  the representation  $\rho_\lambda$  is unramified at  $v$ ;
- (ii) for these  $\lambda$  and  $v$  the characteristic polynomial of Frobenius at  $v$  has coefficients in  $\mathbf{F}_q(t)$  and is independent of  $\lambda$ .

For every finite place  $v$  of  $K$  define  $\mathcal{N}v \in \mathbf{F}_q[t]$  to be a monic generator of the norm from  $K$  to  $\mathbf{F}_q(t)$  of the ideal corresponding to  $v$ .

Now by analogy with (1) we define for every finite  $v \notin S$

$$P_v(X) := \det(1 - X\rho_\lambda(\text{Frob}_v)) \in \mathbf{F}_q(t)[X]$$

using any  $\lambda$  not below  $v$  and

$$L_S(\rho, n) := \prod_{v \notin S} P_v(\mathcal{N}v^{-n})^{-1},$$

the product being over the finite places  $v$  that are not in  $S$ . This converges to an element of  $\mathbf{F}_q((t^{-1}))$  for all sufficiently large *integers*  $n$ .

For example, if  $K$  is  $\mathbf{F}_q(t)$ , and  $\rho$  the family of trivial representations then with  $S = \emptyset$  we have

$$L(\rho, n) = \zeta(n).$$

In this context, a natural source of strictly compatible systems are  $t$ -motives, and our conjecture will have something to say about the special value  $L(\rho, n)$  provided that  $\rho$  comes from a uniformizable  $t$ -motive with everywhere good reduction. (These notions will be explained in §2.)

To demand that  $\rho$  comes from a uniformizable  $t$ -motive is very natural, but the condition that it has everywhere good reduction (which is equivalent with saying that  $S$  can be taken to consist of only “infinite” places of  $K$ ) is an ugly condition that should eventually be removed. Unfortunately at present there are almost no examples with bad reduction where the numerical data allows us to make reasonable conjectures.

**Remark 1.** We speak about a “special value”  $L(\rho, n)$ , but we have not defined  $L(\rho, s)$  for any non-integral argument  $s$ . Goss [9] has shown that there is in fact an analytic function  $L(\rho, s)$  of which the  $L(\rho, n)$  are particular values (the tricky part is defining the domain of such a function). We will not use this.

Finally, we should point out that in a recent preprint of Vincent Lafforgue [11] formulas for certain classes of special values in terms of extensions of shtukas have been proven. We hope to discuss the precise relation between his Theorems and our Conjectures in a future paper.

## 2. PRELIMINARIES

**2.1. Base and coefficients, notation.** To produce strictly compatible systems of Galois representations over function fields it is very useful to separate the base field from the coefficient rings. So we will look at representations of  $\text{Gal}(K^{\text{sep}}/K)$  with  $K$  a function field containing  $\mathbf{F}_q$  on vector spaces over completions  $\mathbf{F}_q(t)_\lambda$  of the a priori unrelated rational function field  $\mathbf{F}_q(t)$ .

Eventually, to have a meaningful notion of  $L$ -functions we will fix an injective morphism  $\mathbf{F}_q(t) \rightarrow K$ , but we will *not* identify  $\mathbf{F}_q(t)$  with the image.

(Such separation is impossible in the number field case, in the same way that trying to adapt Weil's intersection-theoretical proof of the Riemann Hypothesis for curves  $X$  over finite fields to  $\text{Spec}(\mathbf{Z})$  breaks down in the first step: the construction of the surface  $X \times X$ .)

**2.2. Table of notation.**

- $\mathbf{F}_q$ : a fixed field with  $q$  elements.
- *Base rings*:
  - $K_\infty := \mathbf{F}_q((\theta^{-1}))$ , the field of Laurent series in  $\theta^{-1}$  over  $\mathbf{F}_q$ ;
  - $K :=$  a subfield of  $K_\infty$  that has finite degree over  $\mathbf{F}_q(\theta)$ ;
  - $O_K :=$  the integral closure of the polynomial ring  $\mathbf{F}_q[\theta]$  inside  $K$ ;
  - $\mathbf{C}_\infty :=$  the completion of an algebraic closure of  $K_\infty$ ;
  - $K^{\text{sep}} :=$  the separable closure of  $K$  in  $\mathbf{C}_\infty$ ;
  - $K^{\text{perf}} :=$  the perfection of  $K$ .
- *Coefficient rings*:
  - $A := \mathbf{F}_q[t]$ , polynomial ring in a variable  $t$ ;
  - $A_\lambda := \varprojlim_n A/\lambda^n$ , the  $\lambda$ -adic completion of  $A$ , where  $\lambda$  is a non-zero prime ideal of  $A$ ;
  - $F := \mathbf{F}_q(t)$ , the fraction field of  $A$ ;
  - $F_\lambda := A_\lambda \otimes_A F$ ;
  - $F_\infty := \mathbf{F}_q((1/t))$ .
- *Relation between base and coefficients*:  $i : \mathbf{F}_q[t] \rightarrow K$ : the  $\mathbf{F}_q$ -algebra homomorphism that maps  $t$  to  $\theta$ .

(The classical counterpart to this last map is the canonical morphism from  $\mathbf{Z}$  to any commutative ring.)

**2.3.  $t$ -motives and Galois representations.** Let  $R$  be a commutative ring containing  $\mathbf{F}_q$ .

**Definition 2.** A  $\sigma$ -module of rank  $r$  over  $R$  is a pair  $(M, \sigma)$  of a projective  $R \otimes_{\mathbf{F}_q} A$ -module  $M$  of rank  $r$  and a map  $\sigma : M \rightarrow M$  such that

- (i)  $\sigma$  is  $A$ -linear;
- (ii)  $\sigma(xm) = x^q \sigma(m)$  for all  $x \in R$  and  $m \in M$ .

A morphism from  $(M_1, \sigma_1)$  to  $(M_2, \sigma_2)$  is a homomorphism  $f : M_1 \rightarrow M_2$  of  $R \otimes_{\mathbf{F}_q} A$ -modules such that  $\sigma_2 \circ f = f \circ \sigma_1$ .

We will often suppress the  $\sigma$  from the notation and write  $M$  for a  $\sigma$ -module  $(M, \sigma)$ .

If  $(M_1, \sigma_1)$  and  $(M_2, \sigma_2)$  are  $\sigma$ -modules then we define their tensor product to be the  $\sigma$ -module  $(M_1 \otimes_{R \otimes A} M_2, \sigma_1 \otimes \sigma_2)$ . Similarly one can define symmetric and exterior powers. In particular, given a  $\sigma$ -module  $M$  one can consider its determinant  $\det(M)$  which is a  $\sigma$ -module of rank one.

If  $R \rightarrow S$  is an  $\mathbf{F}_q$ -algebra homomorphism and  $M$  a  $\sigma$ -module over  $R$  then we denote by  $M_S$  the  $\sigma$ -module over  $S$  obtained by extension of scalars:

$$M_S = (M \otimes_R S, m \otimes s \mapsto \sigma(m) \otimes s^q).$$

**Definition 3.** A  $\sigma$ -module  $(M, \sigma)$  over a field  $L$  is said to be *non-degenerate* if  $\det(\sigma) : \det(M) \rightarrow \det(M)$  is non-zero. A  $\sigma$ -module  $M$  over  $R$  is said to be non-degenerate if  $M_L$  is non-degenerate for all  $R$ -fields  $R \rightarrow L$ .

Let  $M$  be a  $\sigma$ -module over  $K$ . For every non-zero prime ideal  $\lambda$  of  $A$  we have that

$$T_\lambda(M) := \varprojlim_n (M_{K^{\text{sep}}} / \lambda^n M_{K^{\text{sep}}})^{\sigma=1}$$

is naturally an  $A_\lambda$ -module with a continuous action of  $\text{Gal}(K^{\text{sep}}/K)$  and

$$V_\lambda(M) := T_\lambda(M) \otimes_{A_\lambda} F_\lambda$$

is naturally an  $F_\lambda$ -vector space with a continuous action of  $\text{Gal}(K^{\text{sep}}/K)$ . In general these need not be finitely generated, yet one easily verifies:

**Proposition 1.** *If  $\sigma$  is non-degenerate then for all but finitely many  $\lambda$  the dimension of  $V_\lambda(M)$  equals the rank of  $M$ .*  $\square$

So far we have not used  $i$  which relates the base and the coefficients. Recall that  $\theta = i(t)$ .

**Definition 4.** An *effective  $t$ -motive* over  $K$  is a non-degenerate  $\sigma$ -module  $M$  over  $K$  such that  $\det(M)$  is isomorphic with the  $\sigma$ -module  $(K[t]e, e \mapsto \alpha(t - \theta)^n e)$  for some  $\alpha \in K^\times$  and  $n \geq 0$ .

The family of Galois representations associated with an effective  $t$ -motive forms a strictly compatible system:

**Proposition 2** (Thm 3.3 of [7]). *Let  $M$  be an effective  $t$ -motive over  $K$  of rank  $r$ . Then  $\dim V_\lambda(M) = r$  for all  $\lambda$ . Moreover, there exists a finite set  $S$  of places of  $K$  such that*

- (i) *for every place  $v \notin S$  and for all non-zero prime ideals  $\lambda$  coprime with  $i^*v$  the representation  $V_\lambda(M)$  is unramified at  $v$ ;*
- (ii) *for these  $\lambda$  and  $v$  the characteristic polynomial of Frobenius at  $v$  has coefficients in  $A$  and is independent of  $\lambda$ .*  $\square$

**Example 1.** Let  $C$  be the *Carlitz  $t$ -motive* over  $K$ . This is the rank one effective  $t$ -motive given by

$$C = (K[t]e, e \mapsto (t - \theta)e).$$

Let  $v$  be a finite place of  $K$  (*i.e.*  $v$  does not lie above the place  $\theta = \infty$  of  $\mathbf{F}_q(\theta)$ .) Let  $f \in \mathbf{F}_q[\theta]$  be a monic generator of the ideal in  $\mathbf{F}_q[\theta]$  corresponding to the norm of  $v$  in  $\mathbf{F}_q(\theta) \subset K$ . One verifies that

- (i) the representation  $V_\lambda(C)$  is unramified at  $v$  for all  $\lambda$  coprime with  $i^*v$ ;
- (ii) for such  $\lambda$  we have that  $\text{Frob}_v$  acts as  $f(t)^{-1} \in \mathbf{F}_q(t)$ .

So  $C$  plays the role of the Lefschetz motive  $\mathbf{Q}(-1)$ .

**2.4. Abelian  $t$ -modules.** Denote by  $K[\tau]$  the ring whose elements are polynomial expressions  $\sum a_i \tau^i$  with  $a_i \in K$  and where multiplication is defined through the rule  $\tau a = a^q \tau$  for  $a \in K$ . The ring  $K[\tau]$  is canonically isomorphic with the endomorphism ring of the  $\mathbf{F}_q$ -vector space scheme  $\mathbf{G}_a$  over  $K$ .

If  $(M, \sigma)$  is an effective  $t$ -motive over  $K$  then  $M$  is naturally a left  $K[\tau]$  module through  $\tau m := \sigma(m)$ . Now consider the functor

$$E_M : \{K\text{-algebras}\} \rightarrow \{A\text{-modules}\} : R \mapsto \text{Hom}_{K[\tau]}(M, R),$$

where  $R$  is a left  $K[\tau]$ -module through  $\tau r := r^q$ . This functor is representable by an affine  $A$ -module scheme.

Conversely, given an  $A$ -module scheme  $E$  over  $K$  define

$$M_E := \text{Hom}_{K\text{-gr.sch.}}(E, \mathbf{G}_a),$$

which is naturally a left  $A \otimes_{\mathbf{F}_q} K[\tau]$ -module.

**Theorem 1** (§1 of [1], §10 of [17]). *The functors  $M \mapsto E_M$  and  $E \mapsto M_E$  form a pair of quasi-inverse anti-equivalences between the categories of effective  $t$ -motives  $M$  over  $K$  that are finitely generated as left  $K[\tau]$ -modules and the category of  $A$ -module schemes  $E$  over  $K$  that satisfy*

- (i) *for some  $d \geq 0$  the group schemes  $E_{K^{\text{perf}}}$  and  $\mathbf{G}_{a, K^{\text{perf}}}^d$  are isomorphic;*
- (ii)  *$t - \theta$  acts nilpotently on  $\text{Lie}(E)$ ;*
- (iii)  *$M_E$  is finitely generated as a  $K[t]$ -module.* □

**Definition 5.** An  $\mathbf{F}_q[t]$ -module scheme  $E$  satisfying the above three conditions is called an *abelian  $t$ -module* of dimension  $d$ . An abelian  $t$ -module of dimension one is called a *Drinfeld module*.

**Question 1.** Is the underlying group scheme of an abelian  $t$ -module isomorphic to  $\mathbf{G}_a^d$  over  $K$ ?

For Drinfeld modules this is indeed the case, since the only form of  $\mathbf{G}_a$  that has infinite endomorphism ring is  $\mathbf{G}_a$  itself (see [13], see also §10 of [17]).

The tangent space at the identity of  $E$  can be expressed in terms of  $M_E$  as follows:

**Proposition 3** (see [1]).  $\text{Lie}_E(K) = \text{Hom}_K(M_E/K\sigma(M_E), K)$ .

Also the Galois representations associated with  $M_E$  can be expressed in terms of  $E$ . If  $\lambda = (f) \subset A$  a non-zero prime ideal then define the  $\lambda$ -adic Tate module of  $E$  to be

$$V_\lambda(E) := (\varprojlim_n E[f^n](K^{\text{sep}})) \otimes_{A_\lambda} F_\lambda.$$

If  $M$  is the effective  $t$ -motive associated with  $E$  then we have

**Proposition 4.**  $V_\lambda(M_E) \cong \text{Hom}(V_\lambda(E), F_\lambda)$ . □

## 2.5. Uniformization.

**Proposition 5** (see §2 of [1]). *Let  $E$  be an abelian  $t$ -module over  $K$ .*

- (i) *There exists a unique entire  $A$ -module homomorphism  $\exp_E : \text{Lie}_E(\mathbf{C}_\infty) \rightarrow E(\mathbf{C}_\infty)$  that is tangent to the identity;*
- (ii) *The kernel of  $\exp_E$  is a finitely generated free discrete sub- $A$ -module in  $\text{Lie}_E(\mathbf{C}_\infty)$ .*

When  $\exp_E$  is surjective this yields an analytic description of the  $A$ -module  $E(\mathbf{C}_\infty)$  as the quotient of  $\text{Lie}_E(\mathbf{C}_\infty)$  by a discrete submodule.

Denote by  $M$  the  $t$ -motive associated with  $E$ . The following theorem characterizes the  $E$  such that  $\exp_E$  is surjective:

**Theorem 2.** *The following are equivalent:*

- (i)  $\exp_E$  is surjective;
- (ii) the rank of  $\ker \exp_E$  equals the rank of  $M$ ;
- (iii) for all  $\lambda$  the restriction of the Galois representation  $\rho_\lambda : \text{Gal}(K^{\text{sep}}/K) \rightarrow \text{GL}(V_\lambda(M))$  to  $\text{Gal}(K_\infty^{\text{sep}}/K_\infty)$  has finite image.

When these equivalent statements hold we say that  $E$  (or  $M$ ) is *uniformizable*.

*Proof.* The equivalence of (i) and (ii) is part of Theorem 4 of [1], the equivalence of (iii) and (i) is part of Theorem 5.12 of [7].  $\square$

Examples of uniformizable effective  $t$ -motives are provided by the following:

- Proposition 6.**
- (i) *Drinfeld modules are uniformizable;*
  - (ii) *The tensor product of two uniformizable effective  $t$ -motives is uniformizable;*
  - (iii) *Subquotients of uniformizable effective  $t$ -motives are uniformizable.*

*Proof.* The first claim is shown in [6]. The other two follow at once from the third characterization in Theorem 2.  $\square$

**2.6. Good reduction.** Let  $M$  be an effective  $t$ -motive over  $K$ .

**Theorem 3.** *The following are equivalent:*

- (i) *there exists a non-degenerate  $\sigma$ -module  $\mathcal{M}$  over  $O_K$  and an isomorphism  $\alpha : \mathcal{M}_K \rightarrow M$ ;*
- (ii)  *$(H_\lambda(M, \sigma))_\lambda$  forms a strictly compatible system with exceptional set  $S$  consisting uniquely of infinite places of  $K$ .*

*Moreover, if it exists the pair  $(\mathcal{M}, \alpha)$  is unique up to a unique isomorphism.*

If these equivalent statements hold we say that  $M$  has *everywhere good reduction* and we call  $\mathcal{M}$  a *good model* for  $M$ .

*Proof.* This follows easily from Theorem 1.1 of [8].  $\square$

**2.7. The  $L$ -function of an effective  $t$ -motive.** Let  $M$  be an effective  $t$ -motive over  $K$ . Let  $S$  be an exceptional set of places of  $K$  for the strictly compatible system of Galois representations  $\rho = (\rho_\lambda)_\lambda$  associated with  $M$ .

Let  $v$  be a finite place of  $K$  corresponding to a prime ideal  $I \subset O_K$ . Denote by  $\mathcal{N}v \in A$  the unique monic generator of the inverse image image under  $i : A \rightarrow \mathbf{F}_q[\theta]$  of the norm of  $I$  in  $\mathbf{F}_q[\theta]$ .

For any finite  $v$  that is not in  $S$  define

$$P_v(X) := \det(1 - X\rho_\lambda(\text{Frob}_v)) \in A[X]$$

using any  $\lambda$  such that  $i(\lambda)$  is coprime with  $v$  and

$$L_S(M, n) := \prod_{v \notin S} P_v(\mathcal{N}v^{-n})^{-1},$$

the product being over the finite places  $v$  that are not in  $S$ . This converges to an element of  $F_\infty$  for all sufficiently large integers  $n$ .

Now assume (for simplicity) that  $M$  has everywhere good reduction and let  $\mathcal{M}$  be a model for  $M$  over  $O_K$ . Let  $v$  be a finite place of  $K$  and  $k(v)$  the residue class field of  $v$ . Let  $d(v)$  be the degree of  $k(v)$  over  $\mathbf{F}_q$ . Note that  $\sigma^{d(v)}$  is a linear endomorphism of  $\mathcal{M}_{k(v)}$ . The Euler factors in  $L(M, n)$  can be computed as follows:

**Proposition 7.**  $P_v(X) = \det(1 - X\sigma^{d(v)}|\mathcal{M}_{k(v)})$ . □

**2.8. The  $L$ -function of a  $t$ -motive.** The category  $t\mathcal{M}_{\text{eff}}$  of effective  $t$ -motives over  $K$  with its tensor product is an  $A$ -linear tensor category, but it is not closed under duals.

After formally inverting the object  $C$  it embeds into an  $A$ -linear *rigid* tensor category  $t\mathcal{M}$ . The objects of this latter category are called  *$t$ -motives*. They are formal expressions  $M \otimes C^{\otimes n}$  with  $M$  a  $t$ -motive and  $n \in \mathbf{Z}$ , and morphisms are defined as

$$\text{Hom}_{t\mathcal{M}}(M_1 \otimes C^{\otimes n_1}, M_2 \otimes C^{\otimes n_2}) := \text{Hom}_{t\mathcal{M}_{\text{eff}}}(M_1 \otimes C^{\otimes n_1+n}, M_2 \otimes C^{\otimes n_2+n})$$

for  $n$  sufficiently large so that both  $n_1 + n$  and  $n_2 + n$  become non-negative. This is independent of  $n$  because for every pair  $M_1, M_2$  of effective  $t$ -motives there is a canonical isomorphism

$$\text{Hom}_{t\mathcal{M}_{\text{eff}}}(M_1, M_2) = \text{Hom}_{t\mathcal{M}_{\text{eff}}}(M_1 \otimes C, M_2 \otimes C).$$

Given a  $t$ -motive  $M$  there exists a dual  $t$ -motive  $M^\vee$ , and the operations  $(-)^\vee$  and  $\otimes$  satisfy all the usual properties from representation theory. (Proofs and more details can be found in §2 of [18].)

Since the functors

$$V_\lambda : t\mathcal{M}_{\text{eff}} \rightarrow \{\text{Gal}(K^{\text{sep}}/K)\text{-representations}/F_\lambda\}$$

respect the tensor product, they extend to  $t\mathcal{M}$ . In particular, this allows us to define  $L$ -functions for  $t$ -motives.

We have that  $L(M \otimes C, n+1) = L(M, n)$ , which allows us to shift special values around in a way that is more or less obvious when working with  $t$ -motives but rather non-trivial when working with abelian  $t$ -modules. This is one of the reasons that we consider  $t$ -motives in this paper, rather than working uniquely with abelian  $t$ -modules. Another reason is given by the notion of good reduction, which is quite straight-forward on the  $t$ -motives side, but rather subtle on the abelian  $t$ -modules side.

**2.9. Convergence.** So far we have ignored questions of convergence. The following proposition guarantees that the special values that occur in our conjecture will be well-defined.

**Proposition 8.** *If  $M$  is an effective  $t$ -motive over  $K$  that is finitely generated as a  $K[\tau]$ -module, then the Euler product for  $L(M^\vee, 0)$  converges.*

*Proof.* As one might expect, the proof is based upon bounds for the  $1/t$ -adic valuations of eigenvalues of Frobenius.

Consider the  $K^{\text{sep}}((t^{-1}))$ -vector space  $M((t^{-1})) := M \otimes_{K[t]} K^{\text{sep}}((t^{-1}))$ . The action of  $\sigma$  on  $M$  extends to action on  $M((t^{-1}))$  that is  $F_\infty$ -linear and satisfies  $\sigma(xm) = x^q \sigma(m)$  for all  $x \in K^{\text{sep}}$  and  $m \in M((t^{-1}))$ . Since  $\sigma$  is not linear it does not make sense to speak about eigenvalues of  $\sigma$ , yet by [18, §5.1] the valuations

$\lambda_1, \lambda_2, \dots, \lambda_r$  of the eigenvalues of a matrix representing  $\sigma$  relative to a chosen basis of  $M$  do not depend on the chosen basis. (In other words: the newton polygon of the characteristic polynomial is a well-defined invariant of  $(M((t^{-1})), \sigma)$ .)

*Claim.*  $\lambda_i < 0$  for all  $i$ .

The finite generation of  $M$  as  $K[\tau]$ -module guarantees that there exists a finite dimensional  $K^{\text{sep}}$ -vector subspace  $V \subset M((t^{-1}))$  such that

$$(2) \quad \cup_{i \geq 0} \cup_{j \geq 0} t^{-i} K^{\text{sep}} \sigma^j(V) \text{ is dense in } M((t^{-1})).$$

But from the classification [18, §5.1] it follows that there exists a positive integer  $n$  and a basis of  $M((t^{-1}))$  such that the action of  $\sigma^n$  with respect to that basis is given by

$$\begin{pmatrix} t^{-n\lambda_1} & 0 & \dots & 0 \\ 0 & t^{-n\lambda_2} & \dots & 0 \\ \vdots & \vdots & & \vdots \\ 0 & 0 & \dots & t^{-n\lambda_r} \end{pmatrix}.$$

Hence for (2) to hold with a finite dimensional  $V$  one needs that  $\lambda_i < 0$  for all  $i$ , which proves the claim.

To finish the proof it now suffices to observe that for almost all places  $v$  the Newton polygon of  $\sigma$  does not change under reduction mod  $v$ .  $\square$

**Remark 2.** The converse holds as well: if  $M$  is an effective  $t$ -motive over  $K$  then the Euler product defining  $L(M^\vee, 0)$  converges if and only if  $M$  is finitely generated over  $K[\tau]$ . This follows essentially from [18, Theorem 5.3.1].

### 3. THE CONJECTURE

For a  $t$ -module  $E$  over  $K$  define

$$W_E := \text{Lie}_E / (t - \theta) \text{Lie}_E$$

and write  $w$  for the canonical projection  $\text{Lie}_E \rightarrow W_E$ . Note that  $W_E(K_\infty)$  carries naturally the structure  $F_\infty$ -vector space (coming from the action of  $A$ ) as well as that of a  $K_\infty$ -vector space and that the two structures coincide under the identification “ $t = \theta$ .”

Now assume that the  $t$ -motive  $M$  associated with  $E$  has everywhere good reduction and let  $(\mathcal{M}, \alpha : \mathcal{M}_K \xrightarrow{\sim} M)$  be a good model. We define  $E(O_K) \subset E(K)$  to be the image of the map

$$\text{Hom}_{O_K[\tau]}(\mathcal{M}, O_K) \rightarrow \text{Hom}_{K[\tau]}(M, K) = E(K)$$

induced by  $\alpha$ . Also we define  $\text{Lie}_E(O_K)$  as the image of

$$\text{Hom}_{O_K}(\mathcal{M}/\sigma\mathcal{M}, O_K) \rightarrow \text{Hom}_K(M/\sigma M, K) = \text{Lie}_E(K).$$

and  $W_E(O_K) \subset W_E(K)$  as the image of  $\text{Lie}_E(O_K)$  under  $w$ .

**Conjecture 1.** *Let  $E$  be a uniformizable abelian  $t$ -module over  $K$  such that the associated  $t$ -motive  $M$  has everywhere good reduction.*

*There exists a sub- $A$ -module  $Z \subset \text{Lie}_E(K_\infty)$  of rank  $\dim W_E$  such that  $\exp_E(Z) \subset E(O_K)$  and such that*

$$\bigwedge_A^{\dim W_E} w(Z) = L(E, 0) \cdot \left( \bigwedge_A^{\dim W_E} W_E(O_K) \right)$$

as  $A$ -lattices inside the 1-dimensional  $F_\infty$ -vector space  $\bigwedge_{K_\infty}^{\dim W_E} W_E(K_\infty)$ .

**Remark 3.**  $L(E, 0) = L(M^\vee, 0)$ .

**Theorem 4** ([3]). For  $M = C^{\otimes n}$  the conjecture holds. □

**Proposition 9.** If the conjecture holds for  $M_1$  and  $M_2$  then it also holds for  $M_1 \oplus M_2$ . □

#### 4. NUMERICAL EXPERIMENTS

Given a  $t$ -motive  $M$  and an  $n$  such that the Euler product defining  $L(M, n)$  converges one can numerically approximate

$$L(M, n) \in \mathbf{F}_q((t^{-1}))$$

simply by multiplying all Euler factors at places of degree  $\leq d$ . The proof of Proposition 8 yields hard error estimates for this approximation. This bound is linear in  $d$  and hence this algorithm will compute  $L(M, n)$  modulo  $t^{-X} \mathbf{F}_q[[t^{-1}]]$  in a running time that is exponential in  $X$ .

Since the conjecture does not predict the module  $Z$ , or does not even give bounds on the “height” of generators of  $Z$ , it does not lend itself to numerical falsification. Yet we have systematically found that when working with  $M$  of low (naive) height there is always a  $Z$  of low (naive) height for which the conjecture holds numerically to relatively high precision.

Before we state some of these numerical examples we introduce the *logarithm* of an abelian  $t$ -module, which we will need to produce candidate modules  $Z$  in some of these examples.

**4.1. The logarithm of an abelian  $t$ -module.** Let  $E = (\mathbf{G}_a^d, \phi)$  be an Abelian  $t$ -module over  $K_\infty$ . If we identify  $\mathrm{Lie}_E(\mathbf{C}_\infty)$  and  $E(\mathbf{C}_\infty)$  with  $\mathbf{C}_\infty^d$  in the obvious way then  $\exp_E : \mathrm{Lie}_E(\mathbf{C}_\infty) \rightarrow E(\mathbf{C}_\infty)$  can be expressed as a power series

$$\exp_E = \sum_{i=0}^{\infty} e_i \tau^i$$

with  $e_i \in M_d(K_\infty)$  and  $e_0 = 1$ . We claim that there is a unique power series

$$\log_E = \sum_{i=0}^{\infty} l_i \tau^i$$

with  $l_i \in M_d(K_\infty)$  and  $l_0 = 1$  such that

$$(3) \quad \exp_E \log_E = 1.$$

Indeed, if  $n > 0$  then comparing coefficients of  $\tau^n$  in (3) yields

$$l_n + e_1 \tau(l_{n-1}) + \cdots + e_n \tau^n(l_0),$$

where  $\tau(b)$  is the matrix obtained from  $b$  by raising every entry to the  $q$ -th power. This last expression gives a recursion for the  $l_i$  that shows that there is a unique power series  $\log_E$  satisfying (3).

Given an  $x \in E(K_\infty)$  it is not necessarily true that the infinite sum  $\log_E(x)$  converges, but when it does converge then clearly  $\exp_E(\log_E(x)) = x$ .

4.2.  $L(E, 0)$  with  $E$  a Drinfeld module. If  $E = (E, \varphi)$  is a Drinfeld module then  $W_E = \text{Lie}_E$  and hence one-dimensional. So if  $E$  has everywhere good reduction the conjecture predicts that

$$(4) \quad \exp_E(L(E, 0)e) \in E(O_K)$$

where  $e \in \text{Lie}_E(K_\infty)$  is a generator defined over  $O_K$ .

If  $E$  has rank 1 over  $K = \mathbf{F}_q(\theta)$  and has everywhere good reduction then it is necessarily of the form

$$E = (\mathbf{G}_a, t \mapsto \theta + \alpha\tau)$$

with  $\alpha \in \mathbf{F}_q^\times$ . We have

$$L(E, 0) = \sum_{f \in A_+} \frac{\alpha^{\deg(f)}}{f}$$

and for these (4) is known. (If  $\alpha = 1$  this is Theorem 4 with  $n = 1$ . For other values of  $\alpha$  one reduces to this case by a change of variable  $t' := \alpha^{-1}t$ .)

If the rank of  $E$  is higher than one and if  $E$  does not have CM then the methods of the proof break down completely since there is no explicit description of  $L(E, 0)$  as an infinite sum, only as an Euler product.

However,  $L(E, 0)$  can be approximated numerically.

**Example 2.** Let  $q = 2$  and  $E = (\mathbf{G}_a, t \mapsto \theta + \tau + \tau^2)$  over  $K = \mathbf{F}_2(\theta)$ . This Drinfeld module does not have complex multiplication over  $K^{\text{sep}}$ . We have

$$L(E, 0) \in 1 + t^{-2} + t^{-3} + t^{-5} + t^{-7} + t^{-9} + t^{-10} + t^{-17} + t^{-18} + t^{-19} \mathbf{F}_2[[t^{-1}]] \subset F_\infty.$$

If we identify  $E(K) = \mathbf{G}_a(K) = K$  then one verifies that  $E(O_K) = O_K$ . Using the natural generator  $e \in \text{Lie}_E(K_\infty)$  we compute

$$\exp_E(L(E, 0)e) \in 1 + \theta^{-19} \mathbf{F}_2[[\theta^{-1}]] \subset K_\infty,$$

so  $\exp_E(L(E, 0)e)$  is at least very close to an element of  $E(O_K)$ .

Similarly but now  $q = 3$  and  $E = (\mathbf{G}_a, t \mapsto \theta + \theta\tau - \tau^2)$ . We find that

$$\exp_E(L(E, 0)e) \in 1 + \theta^{-12} \mathbf{F}_3[[\theta^{-1}]].$$

We have computed hundreds of such examples over  $\mathbf{F}_2(\theta)$ ,  $\mathbf{F}_3(\theta)$  and  $\mathbf{F}_5(\theta)$  (but to a slightly lower precision than the examples above), and in all of them  $\exp_E(L(E, 0)e)$  coincided with a polynomial in  $\theta$  (not always the constant polynomial 1), within the computed precision.

Finally a rank 3 example:

**Example 3.** Take  $q = 2$  and  $E = (\mathbf{G}_a, t \mapsto \theta + \tau + \tau^3)$ . Then

$$\exp_E(L(E, 0)e) \in 1 + \theta^{-12} \mathbf{F}_2[[\theta^{-1}]].$$

4.3.  $L(M, 2)$  with  $M$  the  $t$ -motive of a Drinfeld module of rank 2. Let  $E$  be a Drinfeld module of rank 2 and  $M = M(E)$ . We have that  $M^\vee \cong M \otimes \det(M)^\vee$ , so if we put

$$\tilde{M} := M \otimes C^{\otimes 2} \otimes \det(M)^\vee$$

then

$$L(M, 2) = L(\tilde{M}^\vee, 0).$$

Let  $\tilde{E}$  be the  $t$ -module corresponding to  $\tilde{M}$ . Then  $\tilde{E}$  has dimension 3 and the maximal quotient  $w : \text{Lie}_{\tilde{E}} \rightarrow W_{\tilde{E}}$  on which  $t - \theta$  acts trivially is two-dimensional.

From the conjecture we should therefore expect to express  $L(M, 2) = L(\tilde{E}, 0)$  as a two by two determinant. Here is an explicit example:

**Example 4.** Let  $q = 2$  and  $E = (\mathbf{G}_a, t \mapsto \theta + \tau + \tau^2)$ . Then there is an  $O_K[t]$ -basis for  $\mathcal{M}$  on which  $\sigma$  is expressed as

$$\begin{pmatrix} 1 & \theta + t \\ 1 & 0 \end{pmatrix}.$$

Note that  $\det(M) = C$ , so the action of  $\sigma$  on the obvious basis for  $\tilde{M} = M \otimes C$  is given by

$$\begin{pmatrix} \theta + t & \theta^2 + t^2 \\ \theta + t & 0 \end{pmatrix}.$$

From this the corresponding  $t$ -module  $\tilde{E}$  can be computed. It is given by  $\tilde{E} = (\mathbf{G}_a^3, \varphi)$ , where  $\varphi$  is determined by

$$\varphi(t) \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} x_3 \\ \theta x_1 + \theta x_2 + x_3 + \tau(x_1) \\ \theta^2 x_1 + \tau(x_2) \end{pmatrix}.$$

The quotient  $w : \text{Lie}_{\tilde{E}} \rightarrow W_{\tilde{E}}$  takes the explicit form

$$w \begin{pmatrix} \xi_1 \\ \xi_2 \\ \xi_3 \end{pmatrix} = \begin{pmatrix} \xi_1 + \xi_2 \\ \theta \xi_1 + \xi_3 \end{pmatrix}$$

Now let  $z_1 = (1, 0, 0)$  and  $z_2 = (0, 0, 1)$  in  $\tilde{E}(O_K)$ . Then  $\log_{\tilde{E}}(z_1)$  and  $\log_{\tilde{E}}(z_2)$  are well-defined elements of  $\text{Lie}_{\tilde{E}}(K_\infty)$  (the defining infinite sums converge) and the ratio of the determinant

$$w(\log_{\tilde{E}}(z_1)) \wedge w(\log_{\tilde{E}}(z_2)) \in \wedge^2 \text{Lie}_E(K_\infty)$$

with

$$L(\tilde{E}, 0)((1, 0) \wedge (0, 1))$$

is computed to lie in  $1 + \theta^{-31} \mathbf{F}_2[[\theta^{-1}]]$ . So the conjecture seems to hold with  $Z$  the module generated by  $\log_{\tilde{E}}(z_1)$  and  $\log_{\tilde{E}}(z_2)$ .

**4.4.  $L((\text{Sym}^2 M)^\vee, 0)$  with  $M$  the  $t$ -motive of a rank 2 Drinfeld module.** Let  $M$  be the  $t$ -motive of a rank 2 Drinfeld module. Then  $\text{Sym}^2 M$  is the  $t$ -motive of a rank 3 and dimension 3  $t$ -module  $E$ . The quotient  $\text{Lie}_E / (t - \theta) \text{Lie}_E$  is two-dimensional.

**Example 5.** Let  $q = 3$  and  $M$  the  $t$ -motive of the Drinfeld module  $(\mathbf{G}_a, t \mapsto \theta - \tau + \tau^2)$  over  $\mathbf{F}_3(\theta)$ . The action of  $\sigma$  on a suitable basis of  $\text{Sym}^2 M$  is given by

$$\begin{pmatrix} 1 & t - \theta & t^2 + \theta t + \theta^2 \\ 1 & \theta - t & 0 \\ 1 & 0 & 0 \end{pmatrix}.$$

and the corresponding  $t$ -module is  $E = E_{\text{Sym}^2 M} = (\mathbf{G}_a^3, \varphi)$ , where  $\varphi$  is given by

$$\varphi(t) \begin{pmatrix} x_1 \\ x_2 \\ x_3 \end{pmatrix} = \begin{pmatrix} \theta x_1 - x_1^q - x_3^q \\ -\theta x_1 - \theta^2 x_3 - x_3^q + x_3^{q^2} \\ x_1 + x_2 - \theta x_3 \end{pmatrix}.$$

The quotient  $w_E : \text{Lie}_E \rightarrow W_E = \text{Lie}_E / (t - \theta) \text{Lie}_E$  is

$$w \begin{pmatrix} \xi_1 \\ \xi_2 \\ \xi_3 \end{pmatrix} = \begin{pmatrix} \xi_1 \\ \xi_2 + \theta \xi_3 \end{pmatrix}.$$

and we find that with  $Z$  the module generated by  $\log_E(1, 0, 0)$  and  $\log_E(0, 1, 0)$  the conjecture is compatible with the computed approximation

$$L(E, 0) \in 1 + t^{-3} + t^{-5} + t^{-6} + t^{-7} - t^{-8} + t^{-11} - t^{-12} + t^{-13} - t^{-15} + t^{-16} - t^{-17} - t^{-18} + t^{-19} + t^{-20} \mathbf{F}_2[[t^{-1}]].$$

### 5. A CHALLENGE

Let  $f \in A$  be irreducible and  $\chi : (A/f)^\times \rightarrow \bar{\mathbf{F}}_q^\times$  be a group homomorphism. Extend  $\chi$  to a multiplicative map  $A \rightarrow \bar{\mathbf{F}}_q$  in the obvious way. Anderson [2] has given an expression for

$$L(\chi, 1) := \sum_{f \in A_+} \frac{\chi(f)}{f} \in \bar{\mathbf{F}}_q((1/t))$$

in terms of Carlitz logarithms. So one can certainly say something about some special values related to  $t$ -motives with bad reduction.

Yet here is a challenge: let  $E$  be the Drinfeld module  $(\mathbf{G}_a, t \mapsto \theta + \theta^{-1}\tau + \tau^2)$  over  $\mathbf{F}_2(\theta)$ . Let  $v$  be the place  $\theta = 0$  of bad reduction. Find an expression for

$$L_{\{v, \infty\}}(E, 0) \in 1 + t^{-7} + t^{-9} + t^{-10} + t^{-11} + t^{-13} + t^{-14} + t^{-15} + t^{-17} + t^{-18} + t^{-19} \mathbf{F}_2[[t^{-1}]].$$

### REFERENCES

- [1] Greg W. Anderson.  $t$ -motives. *Duke Math. J.*, 53(2):457–502, 1986.
- [2] Greg W. Anderson. Log-algebraicity of twisted  $A$ -harmonic series and special values of  $L$ -series in characteristic  $p$ . *J. Number Theory*, 60(1):165–209, 1996.
- [3] Greg W. Anderson and Dinesh S. Thakur. Tensor powers of the Carlitz module and zeta values. *Ann. of Math. (2)*, 132(1):159–191, 1990.
- [4] A. A. Beĭlinson. Higher regulators and values of  $L$ -functions. In *Current problems in mathematics, Vol. 24, Itogi Nauki i Tekhniki*, pages 181–238. Akad. Nauk SSSR Vsesoyuz. Inst. Nauchn. i Tekhn. Inform., Moscow, 1984.
- [5] Pierre Deligne. La conjecture de Weil. I. *Inst. Hautes Études Sci. Publ. Math.*, (43):273–307, 1974.
- [6] V. G. Drinfel’d. Elliptic modules. *Mat. Sb. (N.S.)*, 94(136):594–627, 656, 1974.
- [7] Francis Gardeyn.  *$t$ -Motives and Galois Representations*. PhD thesis, Universiteit Gent, 2001.
- [8] Francis Gardeyn. A Galois criterion for good reduction of  $\tau$ -sheaves. *J. Number Theory*, 97(2):447–471, 2002.
- [9] David Goss.  $L$ -series of  $t$ -motives and Drinfel’d modules. In *The arithmetic of function fields (Columbus, OH, 1991)*, volume 2 of *Ohio State Univ. Math. Res. Inst. Publ.*, pages 313–402. de Gruyter, Berlin, 1992.
- [10] Maxim Kontsevich and Don Zagier. Periods. In *Mathematics unlimited—2001 and beyond*, pages 771–808. Springer, Berlin, 2001.
- [11] V. Lafforgue. Valeurs spéciales des fonctions  $L$  en caractéristique  $p$ . *preprint*, 2008.
- [12] J. S. Milne. Values of zeta functions of varieties over finite fields. *Amer. J. Math.*, 108(2):297–360, 1986.
- [13] Peter Russell. Forms of the affine line and its additive group. *Pacific J. Math.*, 32:527–539, 1970.
- [14] Peter Schneider. On the values of the zeta function of a variety over a finite field. *Compositio Math.*, 46(2):133–143, 1982.

- [15] Anthony J. Scholl. Remarks on special values of  $L$ -functions. In *L-functions and arithmetic (Durham, 1989)*, volume 153 of *London Math. Soc. Lecture Note Ser.*, pages 373–392. Cambridge Univ. Press, Cambridge, 1991.
- [16] Jean-Pierre Serre. *Abelian  $l$ -adic representations and elliptic curves*. McGill University lecture notes written with the collaboration of Willem Kuyk and John Labute. W. A. Benjamin, Inc., New York-Amsterdam, 1968.
- [17] N. R. Stalder. *Algebraic Monodromy Groups of  $A$ -Motives*. PhD thesis, ETH Zürich, 2007.
- [18] L. Taelman. Artin  $t$ -Motifs. *J. Number Theory*, 129:142–157, 2009.