

# Multiplicity Free Subgroups of Semi-Direct Products

Gerrit van Dijk

Mathematical Institute

Niels Bohrweg 1, 2333 CA Leiden, The Netherlands

E-mail: [dijk@math.leidenuniv.nl](mailto:dijk@math.leidenuniv.nl)

January 2009

## Abstract

Recently, Aizenbud and Gourevitch and, independently, Sun and Zhu, see [5], have shown that the pairs of groups  $(O(p, q), O(p - 1, q))$ ,  $(U(p, q), U(p - 1, q))$ ,  $(GL(n, \mathbb{R}), GL(n - 1, \mathbb{R}))$  and their complex counterparts  $(O(n, \mathbb{C}), O(n - 1, \mathbb{C}))$  and  $(GL(n, \mathbb{C}), GL(n - 1, \mathbb{C}))$  are so-called multiplicity free pairs. In this note we extend this result to two types of semi-direct products. In particular we show that the pairs  $(U(p, q) \times \mathbb{C}^{p+q}, U(p, q))$  and  $(U(p, q) \times H_{p+q}, U(p, q))$  are multiplicity free pairs. Here  $H_{p+q}$  denotes the Heisenberg group of real dimension  $2(p + q) + 1$ .

## 1 Introduction

Boerner proved (see [1]) that the pairs of compact groups  $(O(n), O(n - 1))$  and  $(U(n), U(n - 1))$  are multiplicity free pairs: any irreducible unitary representation of  $O(n)$  ( $U(n)$ ) decomposes, when restricted to  $O(n - 1)$  ( $U(n - 1)$ ) multiplicity free into irreducible unitary representations of  $O(n - 1)$  ( $U(n - 1)$ ). This result was extended to the Riemannian symmetric pairs  $(O(n, 1), O(n))$  and  $(U(n, 1), U(n))$  by Koornwinder, using the same definition of multiplicity free. We refer to [3]. If in the pair of groups  $(G, H)$  the subgroup  $H$  is not compact, then we need a more general definition of the notion "multiplicity free". Let therefore  $G$  be a unimodular Lie group with finitely many connected components and let  $H$  be a closed unimodular subgroup of  $G$ . Denote by  $\pi$  an irreducible unitary representation of  $G$  on a Hilbert space  $\mathcal{H}$  and let  $\rho$  be one of the subgroup  $H$  on  $V$ . We denote by

$\pi_\infty$  and  $\rho_\infty$  the representations of  $G$  and  $H$  on the spaces  $\mathcal{H}_\infty$  and  $V_\infty$  of  $C^\infty$  vectors of  $\pi$  and  $\rho$  respectively and set

$$\mathrm{Hom}_H(\pi_\infty, \rho_\infty)$$

for the space of continuous linear mappings  $A : \mathcal{H}_\infty \rightarrow V_\infty$  satisfying

$$A\pi_\infty(h) = \rho_\infty(h)A$$

for all  $h \in H$ .

**Definition 1.1** *We shall say that the pair of groups  $(G, H)$  is a multiplicity free pair if for any irreducible unitary representation  $\pi$  of  $G$  and any irreducible unitary representation  $\rho$  of  $H$  one has*

$$\dim \mathrm{Hom}_H(\pi_\infty, \rho_\infty) \leq 1.$$

Recall that a pair  $(G, H)$  is called a *generalized Gelfand pair* if for any irreducible unitary representation  $\pi$  of  $G$  one has  $\dim \mathrm{Hom}_H(\pi_\infty, id) \leq 1$ , where  $id$  is the trivial one-dimensional representation of  $H$ .

The following proposition is well-known.

**Proposition 1.2**

- (i) *If the pair  $(G \times H, \mathrm{diag}(H \times H))$  is a generalized Gelfand pair, then  $(G, H)$  is a multiplicity free pair.*
- (ii) *If both  $G$  and  $H$  are type I groups, then the converse of (i) is true.*

For the definition of type I groups, see [4], for properties of generalized Gelfand pairs, see [7].

The reproducing distributions associated to the pair  $(G \times H, \mathrm{diag}(H \times H))$  are in one-to-one correspondence with *positive-definite* distributions  $T$  on  $G$  satisfying  $T(hgh^{-1}) = T(g)$  for all  $h \in H$ . We shall need the criterion of Thomas, see [7] Corollary 8.8, that reads here as follows.

**Proposition 1.3** *Let  $\tau$  be an involutive automorphism of  $G$  leaving  $H$  invariant. If for all (extremal) positive-definite  $\mathrm{Ad}(H)$ -invariant distributions  $T$  one has  $T^\tau = \check{T}$ , then  $(G, H)$  is a multiplicity free pair.*

Recall that  $\check{T}$  is defined by  $\langle \check{T}, \varphi \rangle = \langle T, \check{\varphi} \rangle$  ( $\varphi \in C_c^\infty(G)$ ), where  $\check{\varphi}(x) = \varphi(x^{-1})$  ( $x \in G$ ).

It is sufficient to check this criterion for  $\mathrm{Ad}(H)$ -invariant eigendistributions of  $\mathcal{Z}(\mathfrak{g})$ , the algebra of bi- $G$ -invariant differential operators on  $G$ .

Recently, Aizenbud and Gourevitch and, independently, Sun and Zhu, see [5], have shown that the pairs  $(O(p, q), O(p-1, q))$ ,  $(U(p, q), U(p-1, q))$ ,  $(GL(n, \mathbb{R}), GL(n-1, \mathbb{R}))$  and their complex counterparts  $(O(n, \mathbb{C}), O(n-1, \mathbb{C}))$  and  $(GL(n, \mathbb{C}), GL(n-1, \mathbb{C}))$  are multiplicity free pairs. We shall extend this result to two types of semi-direct products related to these pairs, and thereby heavily lean on the paper [5] by Sun and Zhu.

## 2 Semi-direct products

Let  $H$  stand for one of the groups  $O(p, q)$ ,  $U(p, q)$ ,  $GL(n, \mathbb{R})$ ,  $O(n, \mathbb{C})$  or  $GL(n, \mathbb{C})$  and let  $n = p+q$ . Denote by  $E = E_n$  one of the spaces  $\mathbb{R}^n$ ,  $\mathbb{C}^n$ ,  $\mathbb{R}^n \times \mathbb{R}^n$ ,  $\mathbb{C}^n$  and  $\mathbb{C}^n \times \mathbb{C}^n$  respectively. The group  $H$  acts on  $E$  mostly in the standard way; in the cases  $H = GL(n, \mathbb{F})$  with  $\mathbb{F} = \mathbb{R}$  or  $\mathbb{C}$  the action is however given by

$$h \cdot (x, y) = (h \cdot x, {}^t h^{-1} \cdot y) \quad (x, y \in \mathbb{F}^n, h \in GL(n, \mathbb{F})).$$

Let us consider the semi-direct product  $G = H \ltimes E$ . Elements of  $G$  are written as pairs  $g = (h, v)$  ( $h \in H, v \in E$ ) and the product in  $G$  is given by

$$(h, v)(h', v') = (hh', v + h \cdot v') \quad (h, h' \in H; v, v' \in E).$$

In particular  $(h, v)^{-1} = (h^{-1}, -h^{-1} \cdot v)$ .

The main theorem of this section says:

**Theorem 2.1** *The pair  $(H \ltimes E, H)$  is a multiplicity free pair.*

Define involutions  $\tau$  on  $G$  as follows.

- For  $H = O(p, q)$  or  $H = O(n, \mathbb{C})$  take  $\tau = id$ .
- For  $H = U(p, q)$  take  $\tau(h, v) = (\bar{h}, \bar{v})$  ( $h \in H, v \in \mathbb{C}^n$ ), where "bar" means complex conjugation.
- For  $H = GL(n, \mathbb{F})$  ( $\mathbb{F} = \mathbb{R}$  or  $\mathbb{C}$ ) take  $\tau(h, (x, y)) = ({}^t h^{-1}, (y, x))$  ( $h \in H; x, y \in \mathbb{F}^n$ ).

In accordance with Proposition 1.3 we shall consider distributions  $T$  on  $G$  that are positive-definite and satisfy the relation

$$(2.1) \quad T(h_1 h h_1^{-1}, h_1 \cdot v) = T(h, v)$$

for all  $h_1 \in H$ . And we have to show that such distributions  $T$  have the property

$$(2.2) \quad T(h^{-1}, -h^{-1} \cdot v) = T^\tau(h, v).$$

This would imply Theorem 2.1. In proving (2.2) we use a fundamental result from [5] (Theorem 6.4), that we formulate here as a lemma.

**Lemma 2.2** *Let  $T$  be a distribution satisfying (2.1). Then one has*

$$T^\tau(h^{-1}, -v) = T(h, v).$$

To complete the proof of Theorem 2.1 we thus have to show that any positive-definite distribution  $T$  satisfying (2.1) also satisfies  $T(h, h \cdot v) = T(h, v)$ . This property occurs to be true without the requirement of being positive-definite. We have:

**Proposition 2.3** *Let  $T$  be a distribution on  $H \times E$  with the property*

$$T(h_1 h h_1^{-1}, h_1 \cdot v) = T(h, v)$$

*for all  $h_1 \in H$ . Then  $T$  satisfies  $T(h, v) = T(h, h \cdot v)$ .*

As said, this proposition makes the proof of Theorem 2.1 complete. If  $T$  satisfies (2.1) and is a regular distribution, a continuous function say, then the property  $T(h, v) = T(h, h \cdot v)$  follows at once from (2.1). For general distributions we have to be more careful. The following elementary lemma is of great help.

**Lemma 2.4** *Let  $H$  be a unimodular Lie group and let  $\sigma$  be a distribution on  $H \times H$  invariant under the action*

$$(h, h_0) \mapsto (y h y^{-1}, y h_0) \quad (h, h_0, y \in H).$$

*Then there exists a distribution  $s$  on  $H$  such that*

$$\sigma(\alpha) = s(\beta_\alpha)$$

*for  $\alpha \in C_c^\infty(H \times H)$ , where  $\beta_\alpha$  is given by*

$$\beta_\alpha(h) = \int_H \alpha(y h y^{-1}, y) dy \quad (h \in H)$$

*and  $dy$  denotes a Haar measure on  $H$ .*

**Proof.** Fix a function  $\chi \in C_c^\infty(H)$  such that  $\int_H \chi(h) dh = 1$ . For any function  $\beta \in C_c^\infty(H)$  let  $\alpha \in C_c^\infty(H \times H)$  be given by

$$\alpha(h, h_0) = \chi(h_0) \beta(h_0^{-1} h h_0) \quad (h, h_0 \in H).$$

Then  $\beta_\alpha = \beta$ . Set  $\alpha = r(\beta)$ . Define the distribution  $s$  on  $H$  by

$$s(\beta) = \sigma(r(\beta)) \quad (\beta \in C_c^\infty(H)).$$

It is obvious that  $s$  is a distribution. Let now  $\alpha \in C_c^\infty(H \times H)$  be given and consider  $r(\beta_\alpha)$ . We have

$$r(\beta_\alpha)(h, h_0) = \chi(h_0) \int_H \alpha(yhy^{-1}, yh_0) dy \quad (h, h_0 \in H)$$

and

$$\begin{aligned} \sigma(r(\beta_\alpha)) &= \int_H \int_H \chi(h_0) \alpha(yhy^{-1}, yh_0) d\sigma(h, h_0) dy \\ &= \int_H \int_H \chi(y^{-1}h_0) \alpha(h, h_0) dy d\sigma(h, h_0) \\ &= \sigma(\alpha), \end{aligned}$$

by the invariance property of  $\sigma$ . This completes the proof of the lemma.

We are now ready to give the proof of Proposition 2.3.

**Proof of Proposition 2.3.** Consider the mapping  $H \times E \rightarrow E$  given by

$$(h, v) \mapsto h \cdot v.$$

This mapping is everywhere submersive. By a well-known theorem, proved by Harish-Chandra in [2], we have a surjective continuous linear mapping  $\alpha \mapsto f_\alpha$  from  $C_c^\infty(H \times H \times E)$  to  $C_c^\infty(H \times E)$  defined by

$$\int_{H \times H \times E} \alpha(h; h_0, v) F(h; h_0 \cdot v) dh dh_0 dv = \int_{H \times E} f_\alpha(h, v) F(h, v) dh dv$$

for all continuous functions  $F$  on  $H \times E$ . Here  $dv$  stands for the Lebesgue measure on  $E$ , that is clearly seen to be invariant under the action of  $H$ . Given  $y \in H$  and  $\alpha \in C_c^\infty(H \times H \times E)$  set

$$(\lambda(y)\alpha)(h; h_0, v) = \alpha(yhy^{-1}; yh_0, v) \quad (h, h_0 \in H, v \in E).$$

Let  $T$  be a distribution on  $H \times E$  satisfying (2.1). Define the distribution  $\sigma_T$  on  $H \times H \times E$  by  $\sigma_T(\alpha) = T(f_\alpha)$ . Then we easily obtain

$$\sigma_T(\lambda(y)\alpha) = \sigma_T(\alpha)$$

for all  $y \in H$  and all  $\alpha \in C_c^\infty(H \times H \times E)$ . Now apply Lemma 2.4. We get a distribution  $s_T$  on  $H \times E$  such that

$$\sigma_T(\alpha) = s_T(\beta_\alpha)$$

where

$$\beta_\alpha(h, v) = \int_H \alpha(yhy^{-1}; y, v) dy.$$

Define for  $\alpha \in C_c^\infty(H \times H \times E)$  the function  $\alpha'$  by

$$\alpha'(h; h_0, v) = \alpha(h, h^{-1}h_0, v) \quad (h, h_0 \in H, v \in E).$$

Then  $\alpha' \in C_c^\infty(H \times H \times E)$  and, clearly,  $\beta_\alpha = \beta_{\alpha'}$ . We get

$$T(f_\alpha(h, h^{-1} \cdot v)) = \sigma_T(\alpha') = s_T(\beta_{\alpha'}) = s_T(\beta_\alpha) = T(f_\alpha(h, v)).$$

This completes the proof.

### 3 Semi-direct products with Heisenberg groups

Consider the groups  $H$  from Section 2 and let  $H'$  stand for the groups  $O(p+1, q+1)$ ,  $U(p+1, q+1)$ ,  $GL(n+2, \mathbb{R})$ ,  $O(n+2, \mathbb{C})$  and  $GL(n+2, \mathbb{C})$  respectively. They act on the spaces  $E' = E_{n+2}$ . Let  $\xi^0 \in E'$  stand for the following elements.

- If  $H' = O(p+1, q+1)$ ,  $U(p+1, q+1)$  or  $O(n+2, \mathbb{C})$ , then  $\xi^0 = (1, 0, \dots, 0, 1)$ .

- If  $H' = GL(n+2, \mathbb{R})$  or  $GL(n+2, \mathbb{C})$ , then  $\xi^0 = (e_1, e_{n+2})$ , where  $e_i$  is the notation for the  $i$ -th unit vector in  $\mathbb{R}^{n+2}$  or  $\mathbb{C}^{n+2}$ .

The stabilizer of  $\xi^0$  in  $H'$  can be seen as the semi-direct product  $G = H \times N$  where  $N$  is a Heisenberg group. The group  $N$  has the following form.

- If  $H = O(p, q)$  or  $O(n, \mathbb{C})$ , then  $N = \mathbb{R}^n$  or  $\mathbb{C}^n$  respectively.

- If  $H = U(p, q)$ , then  $N$  consists of elements  $n(z, w)$  with  $z \in \mathbb{C}^{p+q}$ ,  $w \in i\mathbb{R}$  and multiplication

$$n(z, w) \cdot n(z', w') = n(z + z', w + w' + i \operatorname{Im}[z, z'])$$

where

$$[z, z'] = z_1 \overline{z'_1} + \dots + z_p \overline{z'_p} - z_{p+1} \overline{z'_{p+1}} - \dots - z_{p+q} \overline{z'_{p+q}}$$

if  $z = (z_1, \dots, z_{p+q})$ ,  $z' = (z'_1, \dots, z'_{p+q})$ .

The group  $H$  acts on  $N$  by

$$h n(z, w) h^{-1} = n(h \cdot z, w) \quad (h \in H, n(z, w) \in N).$$

- If  $H = GL(n, \mathbb{F})$  ( $\mathbb{F} = \mathbb{R}$  or  $\mathbb{C}$ ), then  $N$  consists of elements  $n(z, w)$  with  $z = (x, y) \in \mathbb{F}^n \times \mathbb{F}^n$  and  $w \in \mathbb{R}$  with multiplication

$$n(z, w) \cdot n(z', w') = n(z + z', w + w' + [z, z'])$$

where  $[z, z'] = \langle x, y' \rangle - \langle y, x' \rangle$  if  $z = (x, y)$ ,  $z' = (x', y')$ , and  $\langle a, b \rangle = a_1 b_1 + \dots + a_n b_n$  if  $a = (a_1, \dots, a_n)$ ,  $b = (b_1, \dots, b_n)$  in  $\mathbb{F}^n$ . The action of  $H$  on  $N$  is as before:

$$h n(z, w) h^{-1} = n(h \cdot z, w) \quad (h \in H, n(z, w) \in N).$$

The group  $G = H \times N$  is a unimodular Lie group. Elements of  $G$  are again written as pairs  $g = (h, n)$  and the multiplication in  $G$  is then given by

$$(h, n) \cdot (h', n') = (hh', n(hn'h^{-1})) \quad (h, h' \in H; n, n' \in N).$$

In particular we have  $(h, n)^{-1} = (h^{-1}, h^{-1}n^{-1}h)$ . Notice that  $n(z, w)^{-1} = n(-z, -w)$  in all cases.

We have the following theorem.

**Theorem 3.1** *The pair  $(H \ltimes N, H)$  is a multiplicity free pair.*

**Proof.** Observe that in the cases  $Op, q \ltimes N$  and  $O(n, \mathbb{C}) \ltimes N$  there is nothing extra to prove; one can just apply Theorem 2.1. For the remaining cases we apply again Proposition 1.3 with the following involutions  $\tau$ .

- If  $H = U(p, q)$ , then  $\tau(h, n(z, w)) = (\bar{h}, n(\bar{z}, -w))$ .

- If  $H = GL(n, \mathbb{F})$ , then  $\tau(h, n((x, y), w)) = ({}^t h^{-1}, n((y, x), -w))$ .

We have to show that any positive-definite distribution  $T$  satisfying

$$T(h_1 h h_1^{-1}, n(h_1 \cdot z, w)) = T(h, n(z, w))$$

for all  $h_1 \in H$ , also satisfies the relation

$$T^\tau = \check{T}.$$

This amounts to the relation

$$T(h^{-1}, n(-h^{-1} \cdot z, -w)) = T^\tau(h, n(z, w)).$$

Fixing  $w$ , we see that this just amounts to the same relation as stated for  $H \ltimes E$ , see (2.2). This completes the proof.

In his recent paper [6], Sun has formulated and proved similar results for p-adic groups. His list of semi-direct products is even a little longer, since it involves symplectic groups  $H$  as well. This new case has an Archimedean counterpart, that is a multiplicity free pair as well (private communication by Sun).

## References

- [1] H. Boerner, *Darstellungen von Gruppen*, Springer-Verlag, Berlin etc. (1955).
- [2] Harish-Chandra, Invariant distributions on Lie algebras, *Amer. J. of Math.* **86**, pp. 271 - 309 (1964).
- [3] T.H. Koornwinder, A note on the multiplicity free reduction of certain orthogonal and unitary groups, *Proceedings KNAW, Serie A* **85**, pp. 215 -218 (1982).
- [4] G.W. Mackey, *Induced representations of groups and quantum mechanics*, W.A. Benjamin Inc., New York etc. and Editore Boringhieri, Torino (1969).

- [5] Binyong Sun and Chen-Bo Zhu, Multiplicity one theorems: the Archimedean case, preprint (2008).
- [6] Binyong Sun, Multiplicity one theorems for symplectic groups, preprint (2008).
- [7] Gerrit van Dijk, Gelfand pairs and beyond, COE Lecture Note Vol. **11**, Kyushu University (2008).