

# ADMISSIBLE CONSTANTS FOR GENUS 2 CURVES

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ABSTRACT. S.-W. Zhang recently introduced a new adelic invariant  $\varphi$  for curves of genus at least 2 over number fields and function fields. We calculate this invariant when the genus is equal to 2.

## 1. INTRODUCTION

Let  $X$  be a smooth projective geometrically connected curve of genus  $g \geq 2$  over a field  $k$  which is either a number field or the function field of a curve over a field. Assume that  $X$  has semistable reduction over  $k$ . For each place  $v$  of  $k$ , let  $Nv$  be the usual local factor connected with the product formula for  $k$ .

In a recent paper [11] S.-W. Zhang proves the following theorem:

**Theorem 1.1.** *Let  $(\omega, \omega)_a$  be the admissible self-intersection of the relative dualizing sheaf of  $X$ . Let  $\langle \Delta_\xi, \Delta_\xi \rangle$  be the height of the canonical Gross-Schoen cycle on  $X^3$ . Then the formula:*

$$(\omega, \omega)_a = \frac{2g-2}{2g+1} \left( \langle \Delta_\xi, \Delta_\xi \rangle + \sum_v \varphi(X_v) \log Nv \right)$$

holds, where the  $\varphi(X_v)$  are local invariants associated to  $X \otimes k_v$ , defined as follows:

- if  $v$  is a non-archimedean place, then:

$$\varphi(X_v) = -\frac{1}{4}\delta(X_v) + \frac{1}{4} \int_{R(X_v)} g_v(x, x) ((10g+2)\mu_v - \delta_{K_{X_v}}),$$

where:

- $\delta(X_v)$  is the number of singular points on the special fiber of  $X \otimes k_v$ ,
- $R(X_v)$  is the reduction graph of  $X \otimes k_v$ ,
- $g_v$  is the Green's function for the admissible metric  $\mu_v$  on  $R(X_v)$ ,
- $K_{X_v}$  is the canonical divisor on  $R(X_v)$ .

In particular,  $\varphi(X_v) = 0$  if  $X$  has good reduction at  $v$ ;

- if  $v$  is an archimedean place, then:

$$\varphi(X_v) = \sum_\ell \frac{2}{\lambda_\ell} \sum_{m,n=1}^g \left| \int_{X(\bar{k}_v)} \phi_\ell \omega_m \bar{\omega}_n \right|^2,$$

where  $\phi_\ell$  are the normalized real eigenforms of the Arakelov Laplacian on  $X(\bar{k}_v)$  with eigenvalues  $\lambda_\ell > 0$ , and  $(\omega_1, \dots, \omega_g)$  is an orthonormal basis for the hermitian inner product  $(\omega, \eta) \mapsto \frac{i}{2} \int_{X(\bar{k}_v)} \omega \bar{\eta}$  on the space of holomorphic differentials.

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Apart from giving an explicit connection between the two canonical invariants  $(\omega, \omega)_a$  and  $\langle \Delta_\xi, \Delta_\xi \rangle$ , Zhang's theorem has a possible application to the effective Bogomolov conjecture, *i.e.*, the question of giving effective positive lower bounds for  $(\omega, \omega)_a$ . Indeed, the height of the canonical Gross-Schoen cycle  $\langle \Delta_\xi, \Delta_\xi \rangle$  is known to be non-negative in the case of a function field in characteristic zero, and should be non-negative in general by a standard conjecture of Gillet-Soulé (*op. cit.*, Section 2.4). Further, the invariant  $\varphi$  should be non-negative, and Zhang proposes, in the non-archimedean case, an explicit lower bound for it which is positive in the case of non-smooth reduction (*op. cit.*, Conjecture 1.4.2). Note that it is clear from the definition that  $\varphi$  is non-negative in the archimedean case; in fact it is positive (*op. cit.*, Remark after Proposition 2.5.3).

Besides  $\varphi(X_v)$ , Zhang also considers the invariant  $\lambda(X_v)$  defined by:

$$\lambda(X_v) = \frac{g-1}{6(2g+1)}\varphi(X_v) + \frac{1}{12}(\varepsilon(X_v) + \delta(X_v)),$$

where:

- if  $v$  is a non-archimedean place, the invariant  $\delta(X_v)$  is as above, and:

$$\varepsilon(X_v) = \int_{R(X_v)} g_v(x, x)((2g-2)\mu_v + \delta_{K_{X_v}}),$$

- if  $v$  is an archimedean place, then:

$$\delta(X_v) = \delta_F(X_v) - 4g \log(2\pi)$$

with  $\delta_F(X_v)$  the Faltings delta-invariant of the compact Riemann surface  $X(\bar{k}_v)$ , and  $\varepsilon(X_v) = 0$ .

The significance of this invariant is that if  $\deg \det R\pi_*\omega$  denotes the (non-normalized) geometric or Faltings height of  $X$  one has a simple expression:

$$\deg \det R\pi_*\omega = \frac{g-1}{6(2g+1)}\langle \Delta_\xi, \Delta_\xi \rangle + \sum_v \lambda(X_v) \log Nv$$

for  $\deg \det R\pi_*\omega$ , as follows from the Noether formula:

$$12 \deg \det R\pi_*\omega = (\omega, \omega)_a + \sum_v (\varepsilon(X_v) + \delta(X_v)) \log Nv.$$

Now assume that  $X$  has genus  $g = 2$ . Our purpose is to calculate the invariants  $\varphi(X_v)$  and  $\lambda(X_v)$  explicitly. For the  $\lambda$ -invariant we obtain:

- if  $v$  is non-archimedean, then:

$$10\lambda(X_v) = \delta_0(X_v) + 2\delta_1(X_v),$$

where  $\delta_0(X_v)$  is the number of non-separating nodes and  $\delta_1(X_v)$  is the number of separating nodes in the special fiber of  $X \otimes k_v$ ;

- if  $v$  is archimedean, then:

$$10\lambda(X_v) = -20 \log(2\pi) - \log \|\Delta_2\|(X_v),$$

where  $\|\Delta_2\|(X_v)$  is the normalized modular discriminant of the compact Riemann surface  $X(\bar{k}_v)$  (see below).

Thus, the  $\lambda(X_v)$  are precisely the well-known local invariants corresponding to the discriminant modular form of weight 10 [6] [9] [10]. In particular we have:

$$\deg \det R\pi_*\omega = \sum_v \lambda(X_v) \log Nv$$

and we recover the fact that the height of the canonical Gross-Schoen cycle vanishes for  $X$ .

## 2. THE NON-ARCHIMEDEAN CASE

Let  $k$  be a complete discretely valued field. Let  $X$  be a smooth projective geometrically connected curve of genus 2 over  $k$ . Assume that  $X$  has semistable reduction over  $k$ . In this section we give the invariants  $\varphi(X)$  and  $\lambda(X)$  of  $X$ .

The proof of our result is based on the classification of the semistable fiber types in genus 2 and consists of a case-by-case analysis. The notation we employ for the various fiber types is as in [8]. We remark that there are no restrictions on the residue characteristic of  $k$ .

**Theorem 2.1.** *The invariant  $\varphi(X)$  is given by the following table, depending on the type of the special fiber of the regular minimal model of  $X$ :*

| Type         | $\delta_0$ | $\delta_1$ | $\varepsilon$   | $\varphi$   |
|--------------|------------|------------|---|---|
| I            | 0          | 0          | 0   | 0   |
| II(a)        | 0          | a          | a   | a   |
| III(a)       | a          | 0          | $\frac{1}{6}a$  | $\frac{1}{12}a$   |
| IV(a, b)     | b          | a          | $a + \frac{1}{6}b$  | $a + \frac{1}{12}b$   |
| V(a, b)      | a + b      | 0          | $\frac{1}{6}(a + b)$  | $\frac{1}{12}(a + b)$   |
| VI(a, b, c)  | b + c      | a          | $a + \frac{1}{6}(b + c)$                                    | $a + \frac{1}{12}(b + c)$                                     |
| VII(a, b, c) | a + b + c  | 0          | $\frac{1}{6}(a + b + c) + \frac{1}{6} \frac{abc}{ab+bc+ca}$ | $\frac{1}{12}(a + b + c) - \frac{5}{12} \frac{abc}{ab+bc+ca}$ |

For  $\lambda(X)$  the formula:

$$10\lambda(X) = \delta_0(X) + 2\delta_1(X)$$

holds.

Let us indicate how the theorem is proved. Let  $r$  be the effective resistance function on the reduction graph  $R(X)$  of  $X$ , extended bilinearly to a pairing on  $\text{Div}(R(X))$ . By Corollary 2.4 of [2] the formula:

$$\varphi(X) = -\frac{1}{4}(\delta_0(X) + \delta_1(X)) - \frac{3}{8}r(K, K) + 2\varepsilon(X)$$

holds, where  $K$  is the canonical divisor on  $R(X)$ . The invariant  $r(K, K)$  is calculated by viewing  $R(X)$  as an electrical circuit. The invariant  $\varepsilon$  is calculated on the basis of explicit expressions for the admissible measure and admissible Green's function; see [7] and [8] for such computations. The results we find are as follows:

| Type                     | $\delta_0$  | $\delta_1$ | $r(K, K)$               | $\varepsilon$  |
|--------------------------|-------------|------------|-------------------------|--|
| <i>I</i>                 | 0           | 0          | 0                       | 0  |
| <i>II</i> ( $a$ )        | 0           | $a$        | $2a$                    | $a$  |
| <i>III</i> ( $a$ )       | $a$         | 0          | 0                       | $\frac{1}{6}a$   |
| <i>IV</i> ( $a, b$ )     | $b$         | $a$        | $2a$                    | $a + \frac{1}{6}b$   |
| <i>V</i> ( $a, b$ )      | $a + b$     | 0          | 0                       | $\frac{1}{6}(a + b)$                                       |
| <i>VI</i> ( $a, b, c$ )  | $b + c$     | $a$        | $2a$                    | $a + \frac{1}{6}(b + c)$                                   |
| <i>VII</i> ( $a, b, c$ ) | $a + b + c$ | 0          | $2\frac{abc}{ab+bc+ca}$ | $\frac{1}{6}(a + b + c) + \frac{1}{6}\frac{abc}{ab+bc+ca}$ |

The values of  $\varphi$  follow.

The formula for  $\lambda(X)$  is verified for each case separately.

### 3. THE ARCHIMEDEAN CASE

Let  $X$  be a compact and connected Riemann surface of genus 2. In this section we calculate the invariants  $\varphi(X)$  and  $\lambda(X)$  of  $X$ . Let  $\text{Pic}(X)$  be the Picard variety of  $X$ , and for each integer  $d$  denote by  $\text{Pic}^d(X)$  the component of  $\text{Pic}(X)$  of degree  $d$ . We have a canonical theta divisor  $\Theta$  on  $\text{Pic}^1(X)$ , and a standard hermitian metric  $\|\cdot\|$  on the line bundle  $\mathcal{O}(\Theta)$  on  $\text{Pic}^1(X)$ . Let  $\nu$  be its curvature form. We have:

$$\int_{\text{Pic}^1(X)} \nu^2 = \Theta \cdot \Theta = 2.$$

Let  $K$  be a canonical divisor on  $X$ , and let  $\mathbf{P}$  be the set of 10 points  $P$  of  $\text{Pic}^1(X) - \Theta$  such that  $2P \equiv K$ . Denote by  $\|\theta\|$  the norm of the canonical section  $\theta$  of  $\mathcal{O}(\Theta)$ . We let:

$$\|\Delta_2\|(X) = 2^{-12} \prod_{P \in \mathbf{P}} \|\theta\|^2(P),$$

the normalized modular discriminant of  $X$ , and we let  $\|H\|(X)$  be the invariant of  $X$  defined by:

$$\log \|H\|(X) = \frac{1}{2} \int_{\text{Pic}^1(X)} \log \|\theta\| \nu^2.$$

These two invariants were introduced in [1].

**Theorem 3.1.** *For the  $\varphi$ -invariant and the  $\lambda$ -invariant of  $X$ , the formulas:*

$$\varphi(X) = -\frac{1}{2} \log \|\Delta_2\|(X) + 10 \log \|H\|(X)$$

and

$$10\lambda(X) = -20 \log(2\pi) - \log \|\Delta_2\|(X)$$

hold.

The key to the proof is the following lemma. Let  $\Phi$  be the map:

$$X^2 \rightarrow \text{Pic}^1(X), \quad (x, y) \mapsto [2x - y].$$

**Lemma 3.2.** *The map  $\Phi$  is finite flat of degree 8.*

*Proof.* Let  $y \mapsto y'$  be the hyperelliptic involution of  $X$ . We have a commutative diagram:

$$\begin{array}{ccc} X^2 & \xrightarrow{\Phi} & \mathrm{Pic}^1(X) \\ \alpha \downarrow & & \uparrow \beta \\ X^2 & \xrightarrow{\Phi^\vee} & \mathrm{Pic}^3(X) \end{array}$$

where  $\alpha$  and  $\beta$  are isomorphisms, with:

$$\begin{aligned} \alpha: X^2 &\rightarrow X^2, & \Phi^\vee: X^2 &\rightarrow \mathrm{Pic}^3(X), & \beta: \mathrm{Pic}^3(X) &\rightarrow \mathrm{Pic}^1(X), \\ (x, y) &\mapsto (x, y'), & (x, y) &\mapsto [2x + y], & [D] &\mapsto [D - K]. \end{aligned}$$

It suffices to prove that  $\Phi^\vee$  is finite flat of degree 8. Let  $p: X^{(3)} \rightarrow \mathrm{Pic}^3(X)$  be the natural map; then  $p$  is a  $\mathbf{P}^1$ -bundle over  $\mathrm{Pic}^3(X)$ , and  $\Phi^\vee$  has a natural injective lift to  $X^{(3)}$ . A point  $D$  on  $X^{(3)}$  is in the image of this lift if and only if  $D$ , when seen as an effective divisor on  $X$ , contains a point which is ramified for the morphism  $X \rightarrow \mathbf{P}^1$  determined by the fiber  $|D|$  of  $p$  in which  $D$  lies. Since every morphism  $X \rightarrow \mathbf{P}^1$  associated to a  $D$  on  $X^{(3)}$  is ramified, the map  $\Phi^\vee$  is surjective. As every morphism  $X \rightarrow \mathbf{P}^1$  associated to a  $D$  on  $X^{(3)}$  has only finitely many ramification points, the map  $\Phi^\vee$  is quasi-finite, hence finite since  $\Phi^\vee$  is proper. As  $X^2$  and  $\mathrm{Pic}^3(X)$  are smooth and the fibers of  $\Phi^\vee$  are equidimensional, the map  $\Phi^\vee$  is flat. By Riemann-Hurwitz the generic  $X \rightarrow \mathbf{P}^1$  associated to a  $D$  on  $X^{(3)}$  has 8 simple ramification points. It follows that the degree of  $\Phi^\vee$  is 8.  $\square$

Let  $G: X^2 \rightarrow \mathbf{R}$  be the Arakelov-Green's function of  $X$ , and let  $\Delta$  be the diagonal divisor on  $X^2$ . We have a canonical hermitian metric on the line bundle  $\mathcal{O}(\Delta)$  on  $X^2$  by putting  $\|1\|(x, y) = G(x, y)$ , where 1 is the canonical section of  $\mathcal{O}(\Delta)$ . Denote by  $h_\Delta$  the curvature form of  $\mathcal{O}(\Delta)$ . We have:

$$\int_{X^2} h_\Delta^2 = \Delta \cdot \Delta = -2.$$

Restricting  $\mathcal{O}(\Delta)$  to a fiber of any of the two natural projections of  $X^2$  onto  $X$  and taking the curvature form we obtain the Arakelov (1, 1)-form  $\mu$  on  $X$ . We have  $\int_X \mu = 1$  and:

$$\int_X \log G(x, y) \mu(x) = 0$$

for each  $y$  on  $X$ . Let  $(\omega_1, \omega_2)$  be an orthonormal basis of  $H^0(X, \omega_X)$ , the space of holomorphic differentials on  $X$ . We can write explicitly:

$$h_\Delta(x, y) = \mu(x) + \mu(y) - i \sum_{k=1}^2 (\omega_k(x) \bar{\omega}_k(y) + \omega_k(y) \bar{\omega}_k(x))$$

and:

$$\mu(x) = \frac{i}{4} \sum_{k=1}^2 \omega_k(x) \bar{\omega}_k(x).$$

By [11, Proposition 2.5.3] we have:

$$\varphi(X) = \int_{X^2} \log G h_\Delta^2.$$

We compute the integral using our results from [4] and [5]. Let  $W$  be the divisor of Weierstrass points on  $X$ , and let  $p_1: X^2 \rightarrow X$  be the projection onto the first

coordinate. The divisor  $W$  is reduced effective of degree 6. According to [3, p. 31] there exists a canonical isomorphism:

$$\sigma: \Phi^* \mathcal{O}(\Theta) \xrightarrow{\cong} \mathcal{O}(2\Delta + p_1^* W)$$

of line bundles on  $X^2$ , identifying the canonical sections on both sides. In [4, Proposition 2.1] we proved that this isomorphism has a constant norm over  $X^2$ . Thus, the curvature forms on both sides are equal:

$$\Phi^* \nu = 2h_\Delta + 6\mu(x) \quad \text{on } X^2.$$

Squaring both sides of this identity we get:

$$h_\Delta^2 = \frac{1}{4} \Phi^*(\nu^2) - 6h_\Delta \mu(x),$$

since  $\mu(x)^2 = 0$ . Denote by  $S(X)$  the norm of  $\sigma$ . Then we have:

$$2 \log G(x, y) + \sum_w \log G(x, w) = \log \|\theta\|(2x - y) + \log S(X)$$

for generic  $(x, y) \in X^2$ , where  $w$  runs through the Weierstrass points of  $X$ . By fixing  $y$  and integrating against  $\mu(x)$  on  $X$  we find that:

$$\log S(X) = - \int_X \log \|\theta\|(2x - y) \mu(x).$$

By integrating against  $h_\Delta^2$  on  $X^2$  we obtain:

$$2\varphi(X) + \sum_w \int_{X^2} \log G(x, w) h_\Delta^2 = -2 \log S(X) + \int_{X^2} \log \|\theta\|(2x - y) h_\Delta^2.$$

As we have:

$$h_\Delta^2 = 2\mu(x)\mu(y) - \sum_{k,l=1}^2 (\omega_k(x)\bar{\omega}_l(x)\bar{\omega}_k(y)\omega_l(y) + \bar{\omega}_k(x)\omega_l(x)\omega_k(y)\bar{\omega}_l(y))$$

it follows that:

$$\int_{X^2} \log G(x, w) h_\Delta^2 = 0$$

for each  $w$  in  $W$  and hence we simply have:

$$2\varphi(X) = -2 \log S(X) + \int_{X^2} \log \|\theta\|(2x - y) h_\Delta^2.$$

Using our earlier expression for  $h_\Delta^2$  this becomes:

$$2\varphi(X) = -2 \log S(X) + \int_{X^2} \log \|\theta\|(2x - y) \left( \frac{1}{4} \Phi^*(\nu^2) - 6h_\Delta \mu(x) \right).$$

It is easily verified that  $h_\Delta \mu(x) = h_\Delta \mu(y) = \mu(x)\mu(y)$  and hence:

$$\int_{X^2} \log \|\theta\|(2x - y) h_\Delta \mu(x) = \int_{X^2} \log \|\theta\|(2x - y) \mu(x)\mu(y) = - \log S(X).$$

From Lemma 3.2 it follows that:

$$\int_{X^2} \log \|\theta\|(2x - y) \Phi^*(\nu^2) = 8 \int_{\text{Pic}^1(X)} \log \|\theta\| \nu^2 = 16 \log \|H\|(X).$$

All in all we find:

$$\varphi(X) = 2 \log S(X) + 2 \log \|H\|(X).$$

Let  $\delta_F(X)$  be the Faltings delta-invariant of  $X$ . According to [5, Corollary 1.7] the formula:

$$\log S(X) = -16 \log(2\pi) - \frac{5}{4} \log \|\Delta_2\|(X) - \delta_F(X)$$

holds, and in turn, according to [1, Proposition 4] we have:

$$\delta_F(X) = -16 \log(2\pi) - \log \|\Delta_2\|(X) - 4 \log \|H\|(X).$$

The formula:

$$\varphi(X) = -\frac{1}{2} \log \|\Delta_2\|(X) + 10 \log \|H\|(X)$$

follows.

By definition we have:

$$\lambda(X) = \frac{1}{30} \varphi(X) + \frac{1}{12} \delta_F(X) - \frac{2}{3} \log(2\pi)$$

so we obtain:

$$10\lambda(X) = -20 \log(2\pi) - \log \|\Delta_2\|(X)$$

by using [1, Proposition 4] once more.

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