

# SECOND VARIATION OF ZHANG'S $\lambda$ -INVARIANT ON THE MODULI SPACE OF CURVES

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ABSTRACT. We study the second variation of the invariant  $\lambda$ , introduced by Zhang, on the complex moduli space  $\mathcal{M}_g$  of curves of genus  $g \geq 2$ , using recent work of Kawazumi. As a result we prove that  $(8g + 4)\lambda$  is equal, up to a constant, to the invariant  $\beta$  introduced some years ago by Hain and Reed. The  $\lambda$ -invariant measures the difference, at archimedean places, between the height of the canonical Gross-Schoen cycle and the Faltings stable height of a curve over a number field. The  $\beta$ -invariant gives the ratio between the Hodge metric on the determinant of the Hodge bundle and a metric defined by means of the Griffiths normal function on  $\mathcal{M}_g$  associated to the Ceresa cycle  $X - X^-$ .

## 1. INTRODUCTION

In a recent paper [21] S. Zhang studies two real-valued functions  $\lambda$  and  $\varphi$  on the complex moduli space  $\mathcal{M}_g$  of curves of genus  $g \geq 2$ . They are related by the formula

$$(1.1) \quad \lambda = \frac{g-1}{6(2g+1)}\varphi + \frac{1}{12}\delta,$$

where  $\delta$  is Faltings's delta-invariant from [4], suitably normalised. The value of the invariant  $\varphi$  (studied independently by N. Kawazumi [15]) at a curve  $X$  of genus  $g \geq 2$  is defined as follows: let  $(\omega_1, \dots, \omega_g)$  be an orthonormal basis of  $H^0(X, \omega_X)$  with respect to the inner product

$$(1.2) \quad (\omega, \eta) \mapsto \frac{i}{2} \int_X \omega \bar{\eta}$$

and put

$$\mu_X = \frac{i}{2g} \sum_{k=1}^g \omega_k \bar{\omega}_k.$$

Note that  $\mu_X$  is a volume form on  $X$ , independent of the choice of orthonormal basis  $(\omega_1, \dots, \omega_g)$ . Let  $\Delta_{\text{Ar}}$  be the Laplacian on  $L^2(X, \mu_X)$  determined by

$$\frac{\partial \bar{\partial}}{\pi i} f = \Delta_{\text{Ar}}(f) \cdot \mu_X$$

for all  $f \in L^2(X, \mu_X)$  and let  $(\phi_\ell)_{\ell=0}^\infty$  be an orthonormal basis of real eigenforms of  $\Delta_{\text{Ar}}$  with eigenvalues  $0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots$ . Then we put

$$(1.3) \quad \varphi(X) = \sum_{\ell > 0} \frac{2}{\lambda_\ell} \sum_{m,n=1}^g \left| \int_X \phi_\ell \omega_m \bar{\omega}_n \right|^2.$$

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The value of  $\varphi$  is independent of the choice of  $(\omega_1, \dots, \omega_g)$ .

Let  $k$  be a number field or a function field of a curve, and let  $X$  be a smooth, projective and geometrically connected curve of genus  $g \geq 2$  with semistable reduction over  $k$ . In [21] Zhang also defines invariants  $\lambda$  and  $\varphi$  associated to the non-archimedean places of  $k$ . In this case, the definition of  $\lambda$  and  $\varphi$  is in terms of the semistable reduction graphs of  $X$ . Both invariants vanish in the case of good reduction. Let  $\xi$  be a  $k$ -rational point of  $\text{Pic}^1 X$  such that  $(2g-2)\xi$  is the class of a canonical divisor and let  $\Delta_\xi$  in  $\text{Ch}^2(X^3)$  be the modified diagonal cycle in  $X^3$  associated to  $\xi$  as in B. Gross and C. Schoen [5] (strictly speaking, in [5] it is assumed that  $\xi$  is a  $k$ -rational point of  $X$ , but the construction can be generalised, see [21]). We call  $\Delta_\xi$  a canonical Gross-Schoen cycle. The interest of the invariants  $\lambda$  and  $\varphi$  lies in the fact that they occur as local contributions in formulas relating the height  $\langle \Delta_\xi, \Delta_\xi \rangle$  (defined in [5]) of  $\Delta_\xi$  to the admissible self-intersection of the relative dualising sheaf  $(\omega, \omega)_a$  of  $X$  and to the (non-normalised) Faltings height  $\text{deg det } R\pi_*\omega$  of  $X$ . More precisely, one has the formulas

$$(1.4) \quad (\omega, \omega)_a = \frac{2g-2}{2g+1} \left( \langle \Delta_\xi, \Delta_\xi \rangle + \sum_v \varphi(X_v) \log Nv \right)$$

and

$$(1.5) \quad \text{deg det } R\pi_*\omega = \frac{g-1}{6(2g+1)} \langle \Delta_\xi, \Delta_\xi \rangle + \sum_v \lambda(X_v) \log Nv,$$

where in both cases the sum is taken over all places  $v$  of  $k$ , the  $Nv$  are certain local factors, and  $X_v = X \otimes k_v$ . These formulas form the main result of [21]; the equivalence of both formulas follows from (1.1) and the Noether formula.

We mention that formula (1.4) relates to the Bogomolov conjecture for  $X$ , i.e., the statement that  $(\omega, \omega)_a$  should be strictly positive (in the function field case, one assumes that  $X$  is not isotrivial). Indeed, the height of the canonical Gross-Schoen cycle  $\langle \Delta_\xi, \Delta_\xi \rangle$  is known to be non-negative in the case of a function field in characteristic zero, and should be non-negative in general by a standard conjecture of Gillet-Soulé ([21], Section 2.4). If  $v$  is non-archimedean, the invariant  $\varphi_v$  was conjectured by Zhang (cf. [21], Conjecture 1.4.2) and subsequently proved by Z. Cinkir (cf. [2], Theorem 2.9) to satisfy the lower bound

$$(1.6) \quad \varphi(X_v) \geq c(g)\delta_0 + \sum_{i=1}^{\lfloor g/2 \rfloor} \frac{2i(g-i)}{g} \delta_i$$

where for each  $i = 0, \dots, \lfloor g/2 \rfloor$  the invariant  $\delta_i$  denotes the number of singular points in the special fiber of  $X_v$  such that the local normalisation of that fiber at  $x$  is connected if  $i = 0$  or a disjoint union of two curves of genera  $i$  and  $g-i$  if  $i > 0$ , and where  $c(g)$  is a positive constant depending only on  $g$ . In fact, one can take  $c(g) = \frac{g-1}{6g}$ . If  $v$  is archimedean, the invariant  $\varphi$  as defined in (1.3) is strictly positive (cf. [21], Remark after Proposition 2.5.3).

In this note we study the invariant  $\lambda$  more closely. To be precise, we compute the second variation of the invariant  $\lambda$  over the complex moduli space  $\mathcal{M}_g$ . Our result is based on a theorem of N. Kawazumi [15], which gives an expression for the second variation of the invariant  $\varphi$ . Hence, by (1.1), our contribution is essentially to compute the second variation of the Faltings delta-invariant.

The result of Kawazumi connects  $\varphi$  with certain canonical 2-forms over the universal curve  $\mathcal{C}_g$  over  $\mathcal{M}_g$  associated (following work of S. Morita) to the standard representation  $H$  of  $\mathrm{Sp}_{2g}(\mathbb{Z})$ , its third exterior power  $\wedge^3 H$ , and the “primitive part”  $\wedge^3 H/H$  of the latter. Here  $H$  is seen as a subrepresentation of  $\wedge^3 H$  by wedging with the standard polarisation form in  $\wedge^2 H$ . Using Kawazumi’s result, the invariant  $\varphi$  gets connected with a real-valued function  $\beta$  on  $\mathcal{M}_g$  defined—modulo constants—in the paper [9] of R. Hain and D. Reed.

The  $\beta$ -invariant is given as follows. Let  $\mathcal{J}(\wedge^3 H/H)$  be the Griffiths intermediate jacobian fibration over  $\mathcal{M}_g$  associated to  $\wedge^3 H/H$ , and let  $\hat{\mathcal{B}}$  be the standard  $\mathbb{G}_m$ -biextension line bundle on  $\mathcal{J}(\wedge^3 H/H)$  (see Section 2 for definitions). The latter bundle comes with a natural hermitian metric  $\|\cdot\|_{\hat{\mathcal{B}}}$ . Let  $\nu: \mathcal{M}_g \rightarrow \mathcal{J}(\wedge^3 H/H)$  be the normal function that associates to each curve  $X$  the point in the intermediate jacobian of  $\wedge^3 H_1(X)/H_1(X)$  associated, by the Griffiths Abel-Jacobi map, to the Ceresa cycle  $X - X^-$  in the jacobian of  $X$ . By a result of Morita we have  $\nu^* \hat{\mathcal{B}} \cong \mathcal{L}^{\otimes 8g+4}$ , where  $\mathcal{L}$  is the determinant of the Hodge bundle on  $\mathcal{M}_g$ . The isomorphism is determined up to a non-zero scalar. Denote by  $\|\cdot\|_{\mathrm{biext}}$  a metric (well-defined up to a non-zero scalar) on  $\mathcal{L}^{\otimes 8g+4}$  that one obtains by pulling back  $\|\cdot\|_{\hat{\mathcal{B}}}$  along  $\nu$ , and transporting it to  $\mathcal{L}^{\otimes 8g+4}$  using Morita’s isomorphism. On  $\mathcal{L}^{\otimes 8g+4}$  we have (this time without ambiguity) a second metric  $\|\cdot\|_{\mathrm{Hdg}}$ , called the Hodge metric, given by (1.2) on  $\mathcal{L}$ . The invariant  $\beta$  measures their ratio:

$$\beta = \log \left( \frac{\|\cdot\|_{\mathrm{biext}}}{\|\cdot\|_{\mathrm{Hdg}}} \right).$$

Note that the invariant  $\beta$  is defined up to a constant on  $\mathcal{M}_g$ . Let  $\omega_{\mathrm{HR}}$  be the first Chern form of  $(\mathcal{L}, \|\cdot\|_{\mathrm{biext}}^{1/(8g+4)})$  and let  $\omega_{\mathrm{Hdg}}$  be that of  $(\mathcal{L}, \|\cdot\|_{\mathrm{Hdg}}^{1/(8g+4)})$ . It follows that the second variation of  $\beta$  over  $\mathcal{M}_g$  satisfies

$$\frac{\partial \bar{\partial}}{\pi i} \beta = (8g+4)(\omega_{\mathrm{HR}} - \omega_{\mathrm{Hdg}}).$$

Our main result is

**Theorem A.** *The second variation of Zhang’s  $\lambda$ -invariant over  $\mathcal{M}_g$  is equal to*

$$\frac{\partial \bar{\partial}}{\pi i} \lambda = \omega_{\mathrm{HR}} - \omega_{\mathrm{Hdg}}.$$

*In particular, we have the equality  $(8g+4)\lambda = \beta$ , up to a constant.*

In [9] the asymptotic behavior of  $\beta$  along the boundary components of the Deligne-Mumford compactification  $\overline{\mathcal{M}}_g$  is computed. For the Faltings delta-invariant  $\delta$ , this was done by J. Jorgenson [13] and R. Wentworth [18], independently. Combining these results we obtain

**Theorem B.** *Let  $X \rightarrow D$  be a proper family of stable curves of genus  $g \geq 2$  over the unit disk. Assume that  $X$  is smooth and that  $X_t$  is smooth for  $t \neq 0$ .*

- *If  $X_0$  is irreducible with only one node, then*

$$\varphi(X_t) \sim -\frac{g-1}{6g} \log |t|$$

*as  $t \rightarrow 0$ .*

- If  $X_0$  is reducible with one node and its components have genera  $i$  and  $g-i$  then

$$\varphi(X_t) \sim -\frac{2i(g-i)}{g} \log |t|$$

as  $t \rightarrow 0$ .

Here, if  $f, g$  are two functions on the punctured unit disk, the notation  $f \sim g$  denotes that  $f - g$  is bounded as  $t \rightarrow 0$ . One might view Theorem B as an archimedean analogue of Cinkir's result (1.6) above.

Note that for each curve  $X$  of genus  $g \geq 2$  with semistable reduction over a number field  $K$  there should be a natural (non-normalised) ‘‘Ceresa height’’  $h_{\text{Cer}}(X)$  of  $X$  over  $K$  associated to the hermitian line bundle  $\nu^*\hat{\mathcal{B}}$  over  $\mathcal{M}_g$  and the moduli point corresponding to  $X$ . Theorem A suggests that this height should satisfy the equality

$$-h_{\text{Cer}}(X) + (8g + 4) \deg \det R\pi_*\omega = (8g + 4) \sum_v \lambda(X_v) \log Nv.$$

A combination with equality (1.5) then would imply that

$$3h_{\text{Cer}}(X) = (2g - 2)\langle \Delta_\xi, \Delta_\xi \rangle$$

should hold, so that  $h_{\text{Cer}}(X)$  allows a simple expression in terms of the height of the canonical Gross-Schoen cycle. A comparison of this formula with [21], Theorem 1.5.6 suggests that there should be a natural interpretation in terms of biextensions of Künnemann's canonical height pairing on homologically trivial cycles, at least in the case when the Ceresa cycle is paired with its Fourier-Mukai dual. In a future paper we plan to return to this connection. Note that to define  $h_{\text{Cer}}(X)$  properly, one would need a natural extension of  $\nu^*\hat{\mathcal{B}}$  as a line bundle over the moduli stack of stable genus  $g$  curves over  $\mathbb{Z}$ . We refer to [9], Theorem 3 for the statement that at least  $\nu^*\hat{\mathcal{B}}$  has a natural extension as a line bundle over the moduli space of stable curves over  $\mathbb{C}$ .

## 2. PRELIMINARIES

The basic references for this section are the papers [8] and [9] by Hain and Reed. As is customary, we view the moduli spaces  $\mathcal{A}_g$  and  $\mathcal{M}_g$  of principally polarised complex abelian varieties and of smooth projective complex curves, respectively, as orbifolds. Let  $(V_{\mathbb{Z}}, Q : \wedge^2 V_{\mathbb{Z}} \rightarrow \mathbb{Z}(-n))$  be a polarised integral Hodge structure of odd weight  $n = -2p+1$  and let  $\text{GSp}_{2g} \rightarrow \text{GSp}(V_{\mathbb{Z}}, Q)$  be an algebraic representation, together with a lift of the structure morphism  $\mathbb{S} \rightarrow \text{GSp}(V_{\mathbb{R}}, Q)$ , where  $\mathbb{S}$  is the Deligne torus, to  $\text{GSp}_{2g, \mathbb{R}}$ . Let  $(\mathcal{V}_{\mathbb{Z}}, \mathcal{Q})$  be the corresponding variation of polarised Hodge structures over  $\mathcal{A}_g$ . We denote by  $\mathcal{J}(V_{\mathbb{Z}})$  the Griffiths intermediate jacobian fibration over  $\mathcal{A}_g$  associated to  $\mathcal{V}_{\mathbb{Z}}$ . Thus, if  $V_A$  is the fiber of the local system  $\mathcal{V}_{\mathbb{Z}}$  at the point  $A$  of  $\mathcal{A}_g$ , the fiber of  $\mathcal{J}(V_{\mathbb{Z}})$  at  $A$  is the complex torus  $J(V_A) = (V_A \otimes \mathbb{C}) / (F^{-p+1}(V_A \otimes \mathbb{C}) + \text{Im } V_A)$ . The holomorphic tangent bundle of  $J(V_A)$  is equipped with a canonical hermitian inner product derived from  $Q$ . This hermitian inner product determines a translation-invariant global 2-form on  $J(V_A)$ .

**Proposition 2.1.** *There exists a unique 2-form  $w_V$  on  $\mathcal{J}(V_{\mathbb{Z}})$  such that the restriction of  $w$  to each fiber over  $\mathcal{A}_g$  is the translation-invariant form associated to  $Q$ , and such that the restriction of  $w$  along the zero-section is trivial.*

*Proof.* This is in [9], Section 5.  $\square$

We also mention the following result. Suppose that  $V_{\mathbb{Z}}$  has weight  $-1$ . From [6], Section 3 we recall that the (standard  $\mathbb{G}_m^-$ ) biextension line bundle  $\mathcal{B}$  associated to  $V_{\mathbb{Z}}$  is the set of isomorphism classes of mixed Hodge structures whose weight graded quotients are isomorphic to  $\mathbb{Z}, V_{\mathbb{Z}}$  and  $\mathbb{Z}(1)$ . It has a natural projection to the product  $J(V_{\mathbb{Z}}) \times J(\check{V}_{\mathbb{Z}})$  where  $J(V_{\mathbb{Z}}) = \text{Ext}_{\mathcal{H}}(\mathbb{Z}, V_{\mathbb{Z}})$  is the Griffiths intermediate jacobian of  $V_{\mathbb{Z}}$ , given by  $M \mapsto (M/W_{-2}M, W_{-1}M)$ . This projection equips  $\mathcal{B}$  with the structure of a line bundle over  $J(V_{\mathbb{Z}}) \times J(\check{V}_{\mathbb{Z}})$ . The polarisation of  $V_{\mathbb{Z}}$  furnishes a canonical morphism  $\lambda: J(V_{\mathbb{Z}}) \rightarrow J(\check{V}_{\mathbb{Z}})$ . By pulling back along  $(\text{id}, \lambda)$  one obtains from  $\mathcal{B}$  a line bundle  $\hat{\mathcal{B}}$  over  $J(V_{\mathbb{Z}})$ . By abuse of language we refer to  $\hat{\mathcal{B}}$  as the biextension line bundle over  $J(V_{\mathbb{Z}})$ . Proposition 7.3 of [9] then states the following.

**Proposition 2.2.** *Suppose that  $\mathcal{V}_{\mathbb{Z}}$  is a variation of polarised Hodge structures of weight  $-1$  over  $\mathcal{A}_g$ . Let  $\hat{\mathcal{B}}$  be the biextension line bundle over  $\mathcal{J}(V_{\mathbb{Z}})$ , obtained by applying the above construction to each of the fibers of  $\mathcal{J}(V_{\mathbb{Z}})$ . Then  $\hat{\mathcal{B}}$  has a canonical hermitian metric. The first Chern form of  $\hat{\mathcal{B}}$  with this metric is equal to  $2w_V$ .*

We will be mainly concerned with the cases where  $V_{\mathbb{Z}}$  is equal to either  $H, \wedge^3 H$  or  $\wedge^3 H/H$ , where  $H = H_1(X, \mathbb{Z})$  is the first homology group of a compact Riemann surface  $X$  of genus  $g \geq 2$ , marked with a canonical basis. The polarisation is given by the standard intersection form  $Q_H = (\cdot, \cdot)$  on  $H$ . Note that the form  $Q_H$  identifies  $H$  with its dual. The Hodge structure  $H$  is mapped into  $\wedge^3 H$  by sending  $x$  to  $x \wedge \zeta$ , where  $\zeta$  in  $\wedge^2 H$  is the dual of  $Q_H$ .

The polarisations on the Hodge structures  $\wedge^3 H$  and  $\wedge^3 H/H$  are given explicitly as follows. The form  $Q_{\wedge^3 H}$  on  $\wedge^3 H$  sends

$$(x_1 \wedge x_2 \wedge x_3, y_1 \wedge y_2 \wedge y_3) \mapsto \det(x_i, y_j).$$

Next, remark that one has a contraction map  $c: \wedge^3 H \rightarrow H$ , defined by

$$(2.1) \quad x \wedge y \wedge z \mapsto (x, y)z + (y, z)x + (z, x)y.$$

One may verify that the composite  $H \rightarrow \wedge^3 H \rightarrow H$  induced by  $c$  and  $\wedge \zeta$  is equal to  $(g-1)$  times the identity. Denote the projection  $\wedge^3 H \rightarrow \wedge^3 H/H$  by  $p$ . The projection  $p$  has a canonical splitting  $j$  (after tensoring with  $\mathbb{Q}$ ), defined by

$$p(x \wedge y \wedge z) \mapsto x \wedge y \wedge z - \zeta \wedge c(x \wedge y \wedge z)/(g-1).$$

With these definitions, the form  $Q_{\wedge^3 H/H}$  on  $\wedge^3 H/H$  is given by

$$(u, v) \mapsto (g-1)Q_{\wedge^3 H}(j(u), j(v)).$$

We denote by  $w_H, w_{\wedge^3 H}$  and  $w_{\wedge^3 H/H}$  the 2-forms on the Griffiths intermediate jacobian fibrations  $\mathcal{J}(H), \mathcal{J}(\wedge^3 H)$  and  $\mathcal{J}(\wedge^3 H/H)$  over  $\mathcal{A}_g$  whose existence is asserted by Proposition 2.1. Note that  $\mathcal{J}(H)$  is just the universal abelian variety over  $\mathcal{A}_g$ .

Let  $\pi: \mathcal{C}_g \rightarrow \mathcal{M}_g$  be the universal curve over  $\mathcal{M}_g$ , viewed as an orbifold. As is explained in [8], Introduction we have a commutative diagram

$$\begin{array}{ccc}
 & & \mathcal{J}(H) \\
 & \nearrow \kappa & \uparrow c \\
 \mathcal{C}_g & \xrightarrow{\mu} & \mathcal{J}(\wedge^3 H) \\
 & \searrow \nu & \downarrow p \\
 & & \mathcal{J}(\wedge^3 H/H) \\
 \downarrow \pi & & \downarrow \\
 \mathcal{M}_g & \longrightarrow & \mathcal{A}_g.
 \end{array}$$

Here  $\kappa$  is the map sending a pair  $(X, x)$  where  $X$  is a curve and  $x$  is a point on  $X$  to the class of  $(2g - 2)x - \omega_X$  in the jacobian  $J$  of  $X$ . The map  $\mu$  is called the “pointed harmonic volume” (introduced by B. Harris, cf. [10]) and sends a pair  $(X, x)$  to the point associated, by the Griffiths Abel-Jacobi map, to the Ceresa cycle at  $x$ , i.e. the (homologically trivial) cycle in  $J$  given as  $X_x - X_x^-$  where  $X_x$  is the curve  $X$  embedded in  $J$  using  $x$  and  $X_x^- = [-1]_* X_x$ . The map  $\nu$  is called the “harmonic volume” and is just defined as the composite of  $\mu$  with the map  $p: \mathcal{J}(\wedge^3 H) \rightarrow \mathcal{J}(\wedge^3 H/H)$  induced by the projection  $\wedge^3 H \rightarrow \wedge^3 H/H$ . The map  $\nu$  factors over  $\mathcal{M}_g$ , hence defines a Griffiths normal function  $\mathcal{M}_g \rightarrow \mathcal{J}(\wedge^3 H/H)$  that we shall also denote by  $\nu$ .

**Proposition 2.3.** *On  $\mathcal{J}(\wedge^3 H)$ , the equality of 2-forms*

$$(g - 1)w_{\wedge^3 H} = c^*w_H + p^*w_{\wedge^3 H/H}$$

*holds.*

*Proof.* According to [8], Proposition 18 we have  $(g - 1)Q_{\wedge^3 H} = c^*Q_H + p^*Q_{\wedge^3 H/H}$ . We obtain the result by taking the associated canonical 2-forms.  $\square$

### 3. PROOF OF THEOREM A

In order to give the proof of Theorem A we pass from  $\mathcal{M}_g$  and  $\mathcal{C}_g$  to the level-2 moduli orbifolds  $\mathcal{M}_g[2]$  and  $\mathcal{C}_g[2]$  (see for example [7], Section 7.4 for definitions). These orbifolds can be endowed with a universal theta characteristic  $\alpha$ , i.e. a consistent choice of an element  $\alpha \in \text{Pic}^{g-1} X$  for each curve  $X$  such that  $2\alpha$  is the canonical divisor class. We consider the map

$$j_\alpha: \mathcal{C}_g[2] \longrightarrow \mathcal{J}(H)[2]$$

given by sending  $(X, x)$  to the class of  $(g - 1)x - \alpha$  on the jacobian  $J$  of  $X$ . Note that  $\kappa = 2j_\alpha$ . The idea of the proof of Theorem A will be to compute the 2-form  $j_\alpha^*w_H$  in two ways. This goes by carrying through some of the arguments in [8] on the level of 2-forms, instead of on the level of cohomology classes. The proof is concluded by taking the difference of the two resulting expressions, and by applying Kawazumi’s result [15] on the second variation of  $\varphi$  to that difference.

Let  $e^J$  be the 2-form

$$(3.1) \quad e^J = -\frac{1}{2g(2g + 1)}(2\kappa^*w_H + 3\mu^*w_{\wedge^3 H})$$

over  $\mathcal{C}_g$ . By a result of Morita [17] (see also [8], Theorem 6) this 2-form represents the class of  $\omega_{\mathcal{C}_g/\mathcal{M}_g}^{-1}$  in  $H^2(\mathcal{C}_g, \mathbb{Q})$ , where  $\omega_{\mathcal{C}_g/\mathcal{M}_g}$  is the relative dualising sheaf of  $\mathcal{C}_g$  over  $\mathcal{M}_g$ . Recall from the Introduction that we have a 2-form  $\omega_{\text{HR}}$  on  $\mathcal{M}_g$  by taking the pullback, along  $\nu$ , of the first Chern form of  $(\hat{\mathcal{B}}, \|\cdot\|_{\hat{\mathcal{B}}})$ , and dividing by  $8g+4$ .

**Lemma 3.1.** *Over  $\mathcal{C}_g[2]$ , we have an equality*

$$j_\alpha^* w_H = -\frac{g(g-1)}{2} e^J - \frac{3}{2} \omega_{\text{HR}}$$

of 2-forms.

*Proof.* Upon replacing  $H_1(X, \mathbb{Z})$  by  $H_1(X, \mathbb{Z}(-1))$  one views the variation of Hodge structures over  $\mathcal{A}_g$  determined by  $\wedge^3 H/H$  to be one of weight  $-1$  (cf. [9], Section 4). Proposition 2.2 yields that the first Chern form of  $(\hat{\mathcal{B}}, \|\cdot\|_{\hat{\mathcal{B}}})$  equals  $2w_{\wedge^3 H/H}$  so that

$$\nu^* w_{\wedge^3 H/H} = (4g+2) \omega_{\text{HR}}.$$

Proposition 2.3 then yields

$$(g-1)\mu^* w_{\wedge^3 H} = \kappa^* w_H + (4g+2) \omega_{\text{HR}}.$$

Combining this equality with the definition of  $e^J$  we find

$$\kappa^* w_H = -2g(g-1)e^J - 6\omega_{\text{HR}}$$

(cf. [8], Theorem 1). On the other hand we have  $[2]^* w_H = 4w_H$  and  $\kappa = 2j_\alpha$  which together give

$$\kappa^* w_H = 4j_\alpha^*(w_H).$$

The lemma follows.  $\square$

Let  $X$  be a compact Riemann surface of genus  $g \geq 2$ . From [1] we recall that the line bundle  $\mathcal{O}(\Delta)$  on  $X \times X$ , where  $\Delta$  is the diagonal, comes equipped with a natural hermitian metric given by  $\|1\|(x, y) = G(x, y)$ , where  $G$  is the Arakelov Green's function. By demanding that the adjunction (residue) isomorphism

$$\mathcal{O}(-\Delta)|_\Delta \rightarrow \omega_X$$

should be an isometry we obtain a canonical hermitian metric  $\|\cdot\|_{\text{Ar}}$  on  $\omega_X$ , and fiber by fiber we obtain a canonical hermitian metric  $\|\cdot\|_{\text{Ar}}$  on  $\omega_{\mathcal{C}_g/\mathcal{M}_g}$ . Denote by  $e^A$  the first Chern form of the dual metric on  $\omega_{\mathcal{C}_g/\mathcal{M}_g}^{-1}$ . Let  $\delta_F$  be the Faltings delta-invariant as defined in [4] on p. 402. We remark that  $\delta_F$  relates to the  $\delta$  occurring in equation (1.1) via  $\delta = \delta_F - 4g \log(2\pi)$ .

**Lemma 3.2.** *Over  $\mathcal{C}_g[2]$ , we have an equality*

$$j_\alpha^* w_H = -\frac{g(g-1)}{2} e^A - \frac{3}{2} \omega_{\text{Hdg}} - \frac{1}{8} \frac{\partial \bar{\partial}}{\pi i} \delta_F$$

of 2-forms.

*Proof.* We refer to [4], p. 413 for the first half of this proof. On  $\mathcal{J}(H)[2]$  we have a universal theta divisor  $\Theta_\alpha$ . When restricted to the jacobian  $J$  of a curve  $X$ , the divisor  $\Theta_\alpha$  is equal to the image of the canonical theta divisor on  $\text{Pic}^{g-1}X$  under the isomorphism  $\text{Pic}^{g-1}X \rightarrow J$  defined by  $x \mapsto x - \alpha$ . Further, the orbifold  $\mathcal{J}(H)[2]$  can be written as a quotient of the analytic variety  $\mathbb{C}^g \times \mathcal{H}_g$  where  $\mathcal{H}_g$  is the Siegel upper half space of complex  $g$ -by- $g$  matrices with positive definite imaginary part.

When pulled back to  $\mathbb{C}^g \times \mathcal{H}_g$ , for a suitable choice of universal theta characteristic the divisor  $\Theta_\alpha$  can be given analytically by Riemann's standard theta function  $\theta$ . As a result, the line bundle  $\mathcal{O}(\Theta_\alpha)$  on  $\mathcal{J}(H)[2]$  comes equipped with a natural hermitian metric; the norm of  $\theta$  in this metric is given by

$$\|\theta\| = (\det \operatorname{Im} \tau)^{1/4} \exp(-\pi {}^t y (\operatorname{Im} \tau)^{-1} y) |\theta(z, \tau)|$$

where  $z = x + iy$  is in  $\mathbb{C}^g$  and  $\tau$  is in  $\mathcal{H}_g$ . With this metric, the first Chern form  $w_0$  of  $\mathcal{O}(\Theta_\alpha)$  equals

$$(3.2) \quad w_0 = w_H + \frac{1}{2} \omega_{\text{Hdg}}$$

(cf. [8], Proposition 2). Now as is explained in [8], Section 3 there exists a canonical isomorphism

$$j_\alpha^* \mathcal{O}(\Theta_\alpha) \longrightarrow \omega^{\otimes g(g-1)/2} \otimes \mathcal{L}^{-1}$$

of line bundles over  $\mathcal{C}_g[2]$ , given by sending  $j_\alpha^* \theta$  to a suitable Wronskian differential. By Lemma 3.2 of [11] the norm of this isomorphism is equal to  $\exp(\delta_F/8)$ , if  $\mathcal{L}$  is equipped with the Hodge metric given by (1.2), and  $\omega$  is equipped with the Arakelov metric  $\|\cdot\|_{\text{Ar}}$ . By taking first Chern forms we find

$$j_\alpha^* w_0 = -\frac{g(g-1)}{2} e^A - \omega_{\text{Hdg}} - \frac{1}{8} \frac{\partial \bar{\partial}}{\pi i} \delta_F.$$

We obtain the lemma by inserting (3.2). □

From Lemmas 3.1 and 3.2 we infer that

$$(3.3) \quad \frac{g(g-1)}{2} (e^A - e^J) = -\frac{1}{8} \frac{\partial \bar{\partial}}{\pi i} \delta_F + \frac{3}{2} \omega_{\text{HR}} - \frac{3}{2} \omega_{\text{Hdg}}.$$

Now we have

**Theorem 3.3.** (*Kawazumi* [15]) *Let  $\varphi$  be Zhang's invariant from (1.3). Then the equality*

$$e^A - e^J = \frac{1}{2g(2g+1)} \frac{\partial \bar{\partial}}{\pi i} \varphi$$

*holds.*

*Proof.* Theorem 3.1 of [15] reads

$$e^A - e^J = \frac{-2i}{2g(2g+1)} \partial \bar{\partial} a_g.$$

One verifies easily that the function  $a_g$  as defined in the Introduction of [15] is equal to  $\frac{1}{2\pi} \varphi$ , and that the 2-form  $e^A$  on  $\mathcal{C}_g$  as defined in [15] is the one defined above. One is left to verify that the 2-form  $e^J$  defined in (3.1) is equal to the 2-form called  $e^J$  in [15]. The latter is written (cf. Definition (3.10) in [15]) as

$$e^J = -\frac{1}{2g(2g+1)} (M_1 + M_2) (\eta_1^{\otimes 2}),$$

where the following notation is employed. Let  $\mathcal{H}_{\mathbb{Z}}$  be the local system over  $\mathcal{A}_g$  associated to  $H$  and consider the derived local systems  $\mathcal{H}_{\mathbb{R}} = \mathcal{H}_{\mathbb{Z}} \otimes \mathbb{R}$  and  $\mathcal{H}_{\mathbb{C}} = \mathcal{H}_{\mathbb{Z}} \otimes \mathbb{C}$  over  $\mathcal{A}_g$  and  $\mathcal{M}_g$ . We use the same notation to denote their pullbacks on  $\mathcal{C}_g$ . Note that when pulled back along  $\mathcal{C}_g$ , the intermediate jacobian fibration  $\mathcal{J}(\wedge^3 H)$  can be seen as a torus bundle over  $\mathcal{C}_g$  with fiber  $\wedge^3 H \otimes (\mathbb{R}/\mathbb{Z})$ . Both  $M_1, M_2$  are real forms in  $\operatorname{Hom}(\wedge^2(\wedge^3 \mathcal{H}_{\mathbb{C}}), \mathbb{C})$ , hence global 2-forms on  $\mathcal{J}(\wedge^3 H)$ , coinciding with the

forms  $C_1, C_2$  from [17]. By the discussion in Remark 20 of [8] we can therefore write  $M_1 = 2c^*w_H$  and  $M_2 = 3w_{\wedge^3 H}$  on  $\mathcal{J}(\wedge^3 H)$  where  $c: \wedge^3 H \rightarrow H$  is the contraction map (2.1). The section  $\eta_1^{\otimes 2}$  of the local system  $\wedge^2(\wedge^3 \mathcal{H}_{\mathbb{C}})$  over  $\mathcal{C}_g$  is the one induced by the section  $\eta_1 = \eta'_1 + \bar{\eta}'_1$  of the local system  $\wedge^3 \mathcal{H}_{\mathbb{C}}$  where, as is explained in the introduction to [15], the section  $\eta'_1$  of  $\wedge^3 \mathcal{H}_{\mathbb{R}}$  is the first variation of the pointed harmonic volume  $\mu: \mathcal{C}_g \rightarrow \mathcal{J}(\wedge^3 H)$ . We obtain  $M_1(\eta_1^{\otimes 2}) = 2\mu^*c^*w_H = 2\kappa^*w_H$  and  $M_2(\eta_1^{\otimes 2}) = 3\mu^*w_{\wedge^3 H}$  and the equality of Kawazumi's  $e^J$  with the one in (3.1) follows.  $\square$

The first part of Theorem A follows from a combination of equations (1.1) and (3.3) and Theorem 3.3. The proof is completed by remarking that  $\mathcal{M}_g$  allows a surjection from a contractible complex analytic space; for example one could take the Teichmüller space in genus  $g$ . This implies that every pluriharmonic function on  $\mathcal{M}_g$  is constant.

#### 4. HYPERELLIPTIC CURVES

As an application of Theorem A we compute the  $\lambda$ -invariant in the hyperelliptic case. Let  $\mathcal{H}_g$  be the orbifold moduli space of complex hyperelliptic curves of genus  $g \geq 2$ . It extends as a moduli stack of hyperelliptic curves over  $\mathbb{Z}$ . There exists an up to sign unique global trivialising section  $\Lambda$  of the line bundle  $\mathcal{L}^{\otimes 8g+4}$  over  $\mathcal{H}_g$  that extends as a trivialising section of  $\mathcal{L}^{\otimes 8g+4}$  over  $\mathbb{Z}$ . One has the following formula for  $\|\Lambda\|_{\text{Hdg}}$  over  $\mathcal{H}_g$  (cf. [12]). Let  $n = \binom{2g}{g+1}$  and  $r = \binom{2g+1}{g+1}$ . Let  $\tau$  in the Siegel upper half space of complex  $g$ -by- $g$  matrices with positive definite imaginary part be the period matrix of a complex hyperelliptic curve of genus  $g$  marked with a canonical basis of homology. Let  $\varphi_g(\tau)$  be the value at  $\tau$  of the modular form of weight  $4r$  on Siegel upper half space given in [16], Section 3, and put  $\Delta_g(\tau) = 2^{-(4g+4)n}\varphi_g(\tau)$ . Further put  $\|\Delta_g\|(\tau) = (\det \text{Im } \tau)^{2r} |\Delta_g(\tau)|$ ; then for a given hyperelliptic curve  $X$  the value of  $\|\Delta_g\|(\tau)$  on a period matrix on a canonical basis associated to  $X$  does not depend on the choice of such a basis. By the proof of Theorem 8.2 in [12] we have the following formula:

$$(4.1) \quad \|\Lambda\|_{\text{Hdg}}^n = (2\pi)^{4g^2r} \|\Delta_g\|^g.$$

We derive the following result.

**Theorem 4.1.** *On the hyperelliptic locus  $\mathcal{H}_g$  of genus  $g \geq 2$ , the  $\lambda$ -invariant is given, up to a constant depending only on  $g$ , by*

$$(8g+4)n\lambda = -4g^2r \log(2\pi) - g \log \|\Delta_g\|.$$

*Proof.* According to [9], Proposition 6.7 the metric  $\|\cdot\|_{\text{biext}}$  restricted to  $\mathcal{L}^{\otimes 8g+4}$  over  $\mathcal{H}_g$  is a constant metric on a trivial line bundle. It follows that  $\beta = -\log \|\Lambda\|_{\text{Hdg}}$ , up to a constant. By Theorem A we obtain that  $(8g+4)\lambda = -\log \|\Lambda\|_{\text{Hdg}}$  up to a constant. Now apply equation (4.1).  $\square$

Using a recent result of K. Yamaki [20] it is possible to compute the constant in Theorem 4.1. Let  $X$  be a hyperelliptic curve of genus  $g \geq 2$  with semi-stable reduction over a non-archimedean local field  $k$ . Let  $\varepsilon$  be Zhang's epsilon-invariant of  $X$  (cf. [21], Section 1.2). Define the invariant  $\psi$  as

$$\psi = \varepsilon + \frac{2g-2}{2g+1}\varphi.$$

Let  $\mathcal{X}$  be the special fiber of a regular semistable model of  $X$  over the ring of integers of  $k$ . We say that a double point  $x$  of  $\mathcal{X}$  is of type 0 if the local normalisation of  $\mathcal{X}$  at  $x$  is connected. We say that  $x$  is of type  $i$ , where  $i = 1, \dots, [g/2]$ , if the local normalisation of  $\mathcal{X}$  at  $x$  is the disjoint union of a curve of genus  $i$  and a curve of genus  $g - i$ . Let  $\iota$  be the involution on  $\mathcal{X}$  induced by the hyperelliptic involution on  $X$ . Let  $x$  be a double point of type 0 on  $\mathcal{X}$ . If  $x$  is fixed by  $\iota$ , we say that  $x$  is of subtype 0. If  $x$  is not fixed by  $\iota$ , the local normalisation of  $\mathcal{X}$  at  $\{x, \iota(x)\}$  consists of two connected components, of genus  $j$  and  $g - j - 1$ , say, where  $1 \leq j \leq [(g-1)/2]$ . In this case we say that the pair  $\{x, \iota(x)\}$  is of subtype  $j$ . Let  $\xi_0$  be the number of double points of subtype 0, let  $\xi_j$  for  $j = 1, \dots, [(g-1)/2]$  be the number of pairs of double points of subtype  $j$ , and let  $\delta_i$  for  $i = 1, \dots, [g/2]$  be the number of double points of type  $i$ . Equality (1.2.5) and Theorem 3.5 of [20] imply that

$$\psi = \frac{g-1}{2g+1}\xi_0 + \sum_{j=1}^{[(g-1)/2]} \frac{6j(g-j-1) + 2g-2}{2g+1}\xi_j + \sum_{i=1}^{[g/2]} \left( \frac{12i(g-i)}{2g+1} - 1 \right) \delta_i.$$

Hence, by the formulas in [21], Section 1.4 the  $\lambda$ -invariant satisfies

$$(8g+4)\lambda = g\xi_0 + \sum_{j=1}^{[(g-1)/2]} 2(j+1)(g-j)\xi_j + \sum_{i=1}^{[g/2]} 4i(g-i)\delta_i.$$

By the local Cornalba-Harris equality [3] [14] [19] we thus obtain

$$(8g+4)\lambda = -\log \|\Lambda\|$$

where now the right hand side denotes the order of vanishing of  $\Lambda$  along the closed point of the spectrum of the ring of integers of  $k$ . Now take a hyperelliptic curve  $X$  over  $\mathbb{Q}$ . Then by the relation

$$(8g+4) \deg \det R\pi_*\omega = -\sum_v \log \|\Lambda\|_v \log Nv$$

over a finite field extension of  $\mathbb{Q}$  where  $X$  acquires semi-stable reduction, equation (1.5) and the known vanishing of  $\langle \Delta_\xi, \Delta_\xi \rangle$  in the hyperelliptic case, one obtains that the constant implied by Theorem 4.1 is actually vanishing.

## 5. PROOF OF THEOREM B

For the proof of Theorem B we just combine the following two results on the asymptotic behavior of  $\beta$  resp.  $\delta$ , using Theorem A and equation (1.1).

**Theorem 5.1.** (Hain-Reed [9]) *Let  $X \rightarrow D$  be a proper family of stable curves of genus  $g \geq 2$  over the unit disk. Assume that  $X$  is smooth and that  $X_t$  is smooth for  $t \neq 0$ .*

- *If  $X_0$  is irreducible with only one node, then*

$$\beta(X_t) \sim -g \log |t| - (4g+2) \log \log(1/|t|)$$

*as  $t \rightarrow 0$ .*

- *If  $X_0$  is reducible with one node and its components have genera  $i$  and  $g-i$  then*

$$\beta(X_t) \sim -4i(g-i) \log |t|$$

*as  $t \rightarrow 0$ .*

**Theorem 5.2.** (*Jorgenson [13], Wentworth [18]*) *Take the assumptions of the previous theorem.*

- *If  $X_0$  is irreducible with only one node, then*

$$\delta(X_t) \sim -\frac{4g-1}{3g} \log |t| - 6 \log \log(1/|t|)$$

*as  $t \rightarrow 0$ .*

- *If  $X_0$  is reducible with one node and its components have genera  $i$  and  $g-i$  then*

$$\delta(X_t) \sim -\frac{4i(g-i)}{g} \log |t|$$

*as  $t \rightarrow 0$ .*

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