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# Field Topologies on Algebraic Extensions of Finite Fields

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# Chapter 1

## Introduction

**Definition 1.1.1.** Let  $K$  be a field, and  $\mathcal{T}$  a topology on  $K$ . We call  $\mathcal{T}$  a *field topology* if the maps

$$\begin{aligned} K \times K &\rightarrow K : (x, y) \mapsto x + y, \\ K \times K &\rightarrow K : (x, y) \mapsto x \cdot y, \\ K^* &\rightarrow K^* : x \mapsto x^{-1}, \end{aligned}$$

are continuous, in which  $K \times K$  is given the product topology and  $K^*$  the subspace topology.

In this thesis, we will be using methods developed by Podewski [1] to prove that any infinite countable field  $F$  admits exactly  $2^{2^{\aleph_0}}$  field topologies. In the case of an algebraic closure of a finite field  $\mathbf{F}_q$ , we can ensure that all automorphisms are continuous with respect to these topologies. Furthermore, we will show that there exists a field topology on this algebraic closure such that the subspace topology on every infinite subfield is neither discrete nor antidiscrete. This raises the question whether such a topology exists such that all automorphisms are continuous as well.



## Chapter 2

# Approximations of local bases

This section largely reviews material from [1].

### 2.1 Definitions

**Definition 2.1.1.** Let  $K$  be a countably infinite field. Consider the following functions:

- $\zeta : \mathcal{P}(K) \rightarrow \mathcal{P}(K) : X \mapsto X - X = \{x - y : x, y \in X\}$ ;
- $\eta : \mathcal{P}(K) \rightarrow \mathcal{P}(K) : X \mapsto X \cdot X$ ;
- $\theta : \mathcal{P}(K) \rightarrow \mathcal{P}(K) : X \mapsto \frac{X}{1 - (X \setminus \{1\})}$ ;
- $\xi_A : \mathcal{P}(K) \rightarrow \mathcal{P}(K) : X \mapsto A \cdot X$ , one for every  $A \subset K^*$ .

A *family* in  $K$  is a subset  $\mathcal{A}$  of  $[K]^{<\omega}$  such that every  $a \in K$  is in some  $A \in \mathcal{A}$ , and for all  $A, B \in \mathcal{A}$ , the sets  $A \cup B$ ,  $\xi_B(A)$  and  $\phi(A)$  are also in  $\mathcal{A}$ , for all  $\phi \in \{\zeta, \eta, \theta\}$ . Given a family  $\mathcal{A}$  in  $K$ , as  $\mathcal{A}$  is countably infinite, we can choose a sequence  $(\phi_n)_{n \in \omega}$  in  $\{\zeta, \eta, \theta\} \cup \{\xi_A : A \in \mathcal{A}\}$  such that every element occurs infinitely often (we employ the set-theoretic notation  $\omega = \mathbf{Z}_{\geq 0}$ ). Occasionally, we will extend  $\phi_n$  to a function  $\phi_n : \mathcal{P}(K(X_1, \dots, X_l)) \rightarrow \mathcal{P}(K(X_1, \dots, X_l))$ , for some integer  $l$ . We denote  $d(n) = |\{k \leq n : \phi_k \in \{\zeta, \eta, \theta\}\}|$ .

**Example 2.1.2.** For any field  $K$ , the collection of finite subsets of  $K$  is a family in  $K$ . Also, if  $K \subset L$  is an extension and  $\mathcal{A}$  is a family in  $L$ , then  $\{A \cap K : A \in \mathcal{A}\}$  is a family in  $K$ .

**Lemma 2.1.3.** Let  $(V_n)_{n \in \omega}$  be a sequence of subsets of  $K$  such that, for every  $n \in \omega$ :

- $0 \in V_n$ ;
- $1 \notin V_n$ ;
- $V_{n+1} \subset V_n$ ;
- $\phi_n(V_{n+1}) \subset V_n$ .

Then  $\{x + V_n : x \in K, n \in \omega\}$  is the base for a field topology on  $K$ .

We omit the straightforward proof.

**Definition 2.1.4.** An *approximation of a local base at 0*, or briefly an *approximation*, is a function  $f : \omega \cup \{-1\} \rightarrow [K]^{<\omega}$  (the set of finite subsets of  $K$ ) such that the following conditions are satisfied:

1.  $0 \in f(n)$  for all  $n \in \omega$ ;
2.  $1 \in f(-1)$ ;

3.  $f(n) \cap f(-1) = \emptyset$  for all  $n \in \omega$ ;
4.  $f(n+1) \subset f(n)$  for all  $n \in \omega$ ;
5.  $\phi_n(f(n+1)) \subset f(n)$  for all  $n \in \omega$ .

The set of all approximations is denoted  $\mathfrak{P}$ . The set of all approximations whose image is in a given family  $\mathcal{A}$  is denoted  $\mathfrak{P}_{\mathcal{A}}$ .

For two approximations  $f$  and  $f'$  we define  $f' \leq f$  if  $f'(n) \subset f(n)$  for every  $n \in \omega \cup \{-1\}$ ; this defines a partial order on  $\mathfrak{P}$ .

**Lemma 2.1.5.** *Let  $C$  be a chain in  $\mathfrak{P}$ , and for  $n \in \omega$ , define  $V_n^C = \bigcup_{f \in C} f(n)$ . Then*

$$\{x + V_n^C : x \in K, n \in \omega\} \quad (2.1.6)$$

is the basis of a field topology on  $K$ .

Again, the proof is fairly straightforward, so we omit it.

## 2.2 Expanding approximations

In this section, we describe the conditions under which approximations may be expanded in a way that will suit us in the coming sections.

**Theorem 2.2.1.** *Let  $K \subset L$  be two fields, with  $\mathcal{A}$  and  $(\phi_k)_{k \in \omega}$  defined in  $L$ . Let  $f \in \mathfrak{P}_{\{A: A \in \mathcal{A}, A \subset K\}}$  and let  $n \in \omega \cup \{-1\}$ , such that  $\phi_k \in \{\zeta, \eta, \theta\} \cup \{\xi_A : A \in \mathcal{A}, A \subset K\}$  for  $k < n$ . Then there exist  $l \in \omega$  and a finite set  $G \subset K[X_1, \dots, X_l]$  such for every finite subset  $A \in \mathcal{A}$  the following are equivalent:*

1. *There exists an approximation  $f' \in \mathfrak{P}_{\mathcal{A}}$  such that  $f \leq f'$ , and  $A \subset f'(n)$ , and  $f(m) = f'(m)$  for all  $m > n$  and for  $m = -1$  if  $n \neq -1$ .*
2. *none of the polynomials in  $G$  has a zero in  $A^l$ .*

Furthermore, if  $n \in \omega$ , then  $l$  and  $G$  can be chosen to be such that  $l \leq 2^{d(n)}$  and every  $g \in G$  is of degree  $\leq 2^{d(n)}$ .

*Proof.* For  $n = -1$ , given  $f$ , let  $l = 1$  and  $G = \{X_1 - \alpha : \alpha \in f(0)\} \in K[X_1]$ . Then every function as in 1 must satisfy  $f' \geq f''$ , where the function  $f'' : \omega \cup \{-1\} \rightarrow [L]^{<\omega}$  given by

$$f''(m) := \begin{cases} f(-1) \cup A, & \text{if } m = -1; \\ f(m), & \text{else.} \end{cases}$$

Then  $f(-1) \cup A \in \mathcal{A}$ , so  $f'' \in \mathfrak{P}_{\mathcal{A}}$  if and only if  $A \cap f(0) = \emptyset$ , which is true if and only if  $g$  has no zeroes in  $A$ .

For  $n \in \omega$  we use induction on  $n$  to prove the stronger statement that  $G$  and  $l$  can be found with the properties in the lemma such that  $X_i - 1 \in G$  for all  $1 \leq i \leq l$ . The proof for  $n = 0$  is the same to that of  $n = -1$ , using  $l = 1$  and  $G = \{X_1 - \alpha : \alpha \in f(-1)\}$ ; then indeed  $l = 1 \leq 2^{d(0)} = 1$ , and  $X_1 - 1 \in G$ . Now assume the theorem holds for  $n$ , and let  $l \in \omega$  be an integer and  $G$  a set of polynomials, satisfying the conditions of the theorem. We find a  $l'$  and  $G'$  that work for  $n + 1$ .

If  $\phi_n = \xi_B$  for some  $B \subset K$ , then look at the set

$$G' = \{g(h_1, \dots, h_l) : g \in G, h_i \in \{X_i\} \cup f(n+1) \cup \xi_B(\{X_i\} \cup f(n+1))\} \subset K[X_1, \dots, X_{2l}].$$

Then by the induction hypothesis,  $l \leq 2^{d(n)} = 2^{d(n+1)}$ , and every polynomial in  $G'$  is of degree at most  $2^{d(n)} = 2^{d(n+1)}$ ; furthermore, for all  $1 \leq i \leq l$ ,  $X_i - 1 \in G \subset G'$ . Note that for a set  $A \in \mathcal{A}$ , no polynomial in  $G'$  has a zero in  $A^l$  if and only if no polynomial in  $G$  has a zero in  $(A \cup f(n+1) \cup \xi_B(A \cup f(n+1)))^l$ .

If  $\phi_n = \zeta$  or  $\phi_n = \eta$ , then we take

$$G' = \{g(h_1, \dots, h_l) : g \in G, h_i \in \{X_{2i-1}, X_{2i}\} \cup f(n+1) \cup \phi_n(\{X_{2i-1}, X_{2i}\} \cup f(n+1))\}.$$

Note that  $G'$  is a subset of  $K[X_1, \dots, X_{2l}]$ , and that here we have polynomials in  $2l \leq 2^{d(n)+1} = 2^{d(n+1)}$  variables of degree at most  $2 \cdot 2^{d(n)} \leq 2^{d(n+1)}$ ; also, it is easy to see that for all  $1 \leq i \leq 2l$ , the polynomial  $X_i - 1$  is in  $G'$ . Again, for a set  $A \subset K$ , no polynomial in  $G'$  has a zero in  $A^l$  if and only if no polynomial in  $G$  has a zero in  $(A \cup f(n+1) \cup \phi_n(A \cup f(n+1)))^l$ .

If  $\phi_n = \theta$ , then define

$$G'' = \{g(h_1, \dots, h_l) : g \in G, h_i \in \{X_{2i-1}, X_{2i}\} \cup f(n+1) \cup \theta(\{X_{2i-1}, X_{2i}\} \cup f(n+1))\}.$$

Note that  $G''$  is a subset of  $K(X_1, \dots, X_{2l})$ . If we write the elements of  $G''$  in the form  $j/h$ , with  $j, h \in K[X_1, \dots, X_{2l}]$  without common factors, we take  $G' = \{j : \exists h \in K[X_1, \dots, X_{2l}] \text{ such that } j/h \in G'' \text{ and } \gcd(j, h) = 1\}$ . We will show that for every  $(a_1, \dots, a_{2l}) \in K^{2l}$ , one has  $j(a_1, \dots, a_{2l}) = 0$  for some  $j \in G'$  if and only if there is some  $g \in G''$  such that  $g(a_1, \dots, a_{2l})$  is defined and equal to 0. The ‘if’ part of the statement is obvious; as for the ‘only if’ part, if  $j \in G'$  and  $j(a_1, \dots, a_{2l}) = 0$ , and  $h$  is such that  $j/h \in G''$  and  $\gcd(j, h) = 1$ , then either  $h(a_1, \dots, a_{2l}) = 0$ , or  $(j/h)(a_1, \dots, a_{2l})$  is defined and equal to 0. If we write  $j/h = g(h_1, \dots, h_{2l})$  for some  $g \in G''$  and  $h(a_1, \dots, a_{2l}) = 0$ , then some  $h_i(a_1, \dots, a_{2l})$  must be undefined. This is possible only if  $h_i = \theta(X_{2i-1}, X_{2i}) = \frac{X_{2i-1}}{1-X_{2i}}$  or  $h_i = \frac{x}{1-X_{2i}}$  for some  $x \in f(n+1)$ . Either way,  $a_{2i}$  must be equal to 1. As  $X_i - 1 \in G$ , our construction ensures that  $X_{2i} - 1 \in G'$ , so  $(a_1, \dots, a_{2l})$  is a zero of the defined  $X_{2i} - 1 \in G'$ . Note that here we have polynomials in  $2l \leq 2^{d(n)+1} = 2^{d(n+1)}$  variables of degree at most  $2 \cdot 2^{d(n)} \leq 2^{d(n+1)}$ .

Now we will show that for the set  $G'$ , the statements 1 and 2 are equivalent. Let  $A \in \mathcal{A}$  be such that no function in  $G'$  has a zero in  $A^l$ ; hence no polynomial in  $G$  has any zeroes in  $(A \cup f(n+1) \cup \phi_n(A \cup f(n+1)))^l$ . Since this set is in  $\mathcal{A}$ , by the induction hypothesis there exists an approximation  $f'' \in \mathfrak{P}_{\mathcal{A}}$  such that  $f'' \geq f$  and  $A \cup f(n+1) \cup \phi_n(A \cup f(n+1)) \subset f''(n)$ , and  $f(m) = f''(m)$  for all  $m > n$  and for  $m = -1$ . Now consider the function  $f' : \omega \cup \{-1\} \rightarrow \mathcal{A}$  given by

$$f'(m) := \begin{cases} f''(n+1) \cup A, & \text{if } m = n+1; \\ f''(m), & \text{otherwise.} \end{cases}$$

This is an approximation in  $\mathfrak{P}_{\mathcal{A}}$  which satisfies  $A \subset f'(n+1)$  and  $f(m) = f'(m)$  for all  $m > n+1$  and for  $m = -1$ .

Now let  $A \in \mathcal{A}$  be finite such that there exists an approximation  $f' \in \mathfrak{P}_{\mathcal{A}}$  such that  $f \leq f'$  and  $A \subset f'(n+1)$  and  $f'(m) = f(m)$  for all  $m > n$  and for  $m = -1$ . Consider the function  $f'' : \omega \cup \{-1\} \rightarrow \mathcal{A}$  given by

$$f''(m) = \begin{cases} f(n+1), & \text{if } m = n+1; \\ f'(m), & \text{otherwise.} \end{cases}$$

This is an approximation which satisfies  $A \cup f(n+1) \cup \phi_n(A \cup f(n+1)) \subset f''(n)$ , and  $f''(m) = f(m)$  for all  $m > n+1$  and for  $m = -1$ . By the induction hypothesis no polynomial in  $G$  has any zeroes in  $(A \cup f(n+1) \cup \phi_n(A \cup f(n+1)))^l \subset (f''(n))^l$ ; but this means precisely that no polynomial in  $G'$  has any zeroes in  $A^l$ .  $\square$

**Corollary 2.2.2.** *Let  $f, n, G$  be as in the previous theorem. If  $\{0\} \in \mathcal{A}$ , then for all  $g \in G$ , the value  $g(0, \dots, 0)$  is unequal to 0.*

*Proof.* This follows from the previous theorem and the fact that there is an approximation  $f'$  satisfying 1 of the previous theorem for  $A = \{0\}$ , namely  $f' = f$ .  $\square$

## 2.3 Making topologies

**Lemma 2.3.1.** *Using the family  $\mathcal{A} = [K]^{<\infty}$ , let  $f$  be an approximation in  $K$ , and let  $n \in \omega \cup \{-1\}$ . Then for almost all  $r \in K$  (that is, for all  $r \in K$  except for a finite subset), there exists an approximation  $f' \geq f$  such that  $r \in f'(n)$  and  $f(m) = f'(m)$  for all  $m > n$  and for  $m = -1$  if  $n \neq -1$ .*



*Proof.* By theorem **2.2.1**, using  $L = K$ , there exists a finite set of polynomials  $G \subset K[X_1, \dots, X_l]$  such that there exists an approximation  $f'$  satisfying the theorem if and only if for all  $g \in G$ ,  $g$  has no zero in  $\{r\}^l$ . This is true if and only if  $g(r, r, \dots, r) \neq 0$  for all  $g \in G$ . Because  $\{0\} \in \mathcal{A}$ , one has  $g(0, \dots, 0) \neq 0$ , one has  $g(X, \dots, X) \neq 0$ , and hence every  $g(X, \dots, X)$  has only a finite number of zeroes.  $\square$

Now we can use the approximations to make field topologies on  $K$ . We regard every nonnegative integer as the set of its predecessors:  $n = \{0, 1, \dots, n-1\}$ . Furthermore, for two sets  $A$  and  $B$  we use the notation  ${}^A B$  for the set of functions from  $A$  to  $B$ , and  ${}^{<\omega} A = \bigcup_{n \in \omega} {}^n A$ . For every  $s \in {}^{<\omega} 2$  we recursively define an approximation  $f^s$  such that for every  $n \geq 1$  and  $s \in {}^{n-1} 2$ ,

$$f^{s \upharpoonright n-1} \leq f^s,$$

where  $s \upharpoonright n-1$  denotes the restriction of  $s$  to  $n-1 = \{0, 1, \dots, n-2\}$ , and

$$f^s(-1) \cap \bigcap_{t \in {}^{n-2} 2 \setminus \{s\}} f^t(n) \neq \emptyset. \quad (2.3.2)$$

For  $\emptyset$ , the unique element of  ${}^0 2$ , we define  $f^\emptyset : \omega \cup \{-1\} \rightarrow [K]^{<\omega}$  by

$$f^\emptyset(m) = \begin{cases} \{1\}, & \text{if } m = -1; \\ \{0\}, & \text{otherwise.} \end{cases}$$

Now let  $n > 0$ , and assume we have defined  $f^s$  for all  $s \in {}^{n-1} 2$ . Let  $\{s_1, \dots, s_{2^n}\}$  be an ordering of  ${}^{n-1} 2$ . Because of lemma **2.3.1** there exists an element  $\alpha \in K$  such that for every  $k \leq 2^n$  there exist  $f_1^{s_k} \in \mathfrak{P}$  such that

$$f^{s_k \upharpoonright n-1} \leq f_1^{s_k} \text{ for all } k \leq 2^n \quad (2.3.3)$$

and

$$\alpha \in f_1^{s_1}(-1) \cap \bigcap_{2 \leq k \leq 2^n} f_1^{s_k}(n).$$

Now analogously define recursively for every  $2 \leq m \leq 2^n$ , for every  $k \leq 2^n$  a function  $f_m^{s_k} \in \mathfrak{P}$  such that

$$f_{m-1}^{s_k} \leq f_m^{s_k} \text{ for all } k \leq 2^n$$

and

$$f_k^{s_k}(-1) \cap \bigcap_{h \neq k} f_k^{s_h}(n) \neq \emptyset.$$

Take  $f^{s_k} = f_{2^n}^{s_k}$ ; then  $f^s$  satisfies (2.3.2) for every  $s \in {}^{n-1} 2$ . For  $x \in {}^\omega 2$ , define  $C_x = \{f^{x \upharpoonright n} : n \in \omega\}$ . This is a chain of approximations, and hence defines a field topology  $\mathcal{T}_x$  on  $K$ . For a subset  $X \subset {}^\omega 2$ , define the field topology  $\mathcal{T}_X = \bigvee_{x \in X} \mathcal{T}_x$ , the coarsest topology such that all the open sets of all the  $\mathcal{T}_x$  are open; this is again a field topology. In any topology such that all the sets of  $\mathcal{T}_g$  are open for all  $g \in X$ , finite intersections of open sets from different  $\mathcal{T}_g$  are also open. Therefore,  $\mathcal{T}_X$  is the topology generated by elements of the form  $\bigcap_{i=1}^n U_i$ , with  $n$  some integer and every  $U_i$  open in some  $\mathcal{T}_g$ . Since the collection of these sets is closed under intersection, these elements actually constitute a basis of  $\mathcal{T}_X$ .

**Lemma 2.3.4.** *Let  $X, Y \subset {}^\omega 2$  be different. Then  $\mathcal{T}_X \neq \mathcal{T}_Y$ .*

*Proof.* Without loss of generality we may assume that we can choose  $h \in X \setminus Y$ . Using the notation of **2.1.3**,  $V_0^{C_h} \cap V_{-1}^{C_h}$  is empty, so one has  $0 \notin \overline{V_{-1}^{C_h}}$  in  $\mathcal{T}_X$ . A basis element of  $\mathcal{T}_Y$  is of the form

$\bigcap_{i=1}^m g_i(n_i)$ , with  $n_i \in \omega$  and  $g_i \in Y$ . Let  $n \in \omega$  be such that  $n \geq n_i$  for all  $i$  and such that  $h \upharpoonright n$  differs from all  $g_i \upharpoonright n$ . Then

$$\begin{aligned} \emptyset &\subsetneq f^{h \upharpoonright n}(-1) \cap \bigcap_{i=1}^m f^{g_i \upharpoonright n}(n) \\ &\subset f^{h \upharpoonright n}(-1) \cap \bigcap_{i=1}^m f^{g_i \upharpoonright n}(n_i) \\ &\subset V_{-1}^{C_h} \cap \bigcap_{i=1}^m V_{n_i}^{C_{g_i}}. \end{aligned}$$

This implies that  $0 \in \overline{V_{-1}^{C_h}}$  in  $\mathcal{T}_Y$ , and hence  $\mathcal{T}_X \neq \mathcal{T}_Y$ .  $\square$

**Theorem 2.3.5.** *Let  $K$  be a countable field. Then there exist exactly  $2^{2^{\aleph_0}}$  field topologies on  $K$ .*

*Proof.* By lemma 2.3.4, there exist at least  $2^{2^{\aleph_0}}$  field topologies on  $K$ . Because a topology is a set of subsets of  $K$ , this is also the maximum number.  $\square$



## Chapter 3

# Topologies with continuous automorphisms

### 3.1 Definition and basic properties

**Definition 3.1.1.** Let  $K$  be an algebraic extension of a countable field  $F$ , and  $A$  a subset of  $K$ . We call  $A$  *stable under*  $\text{Aut}_F(K)$  if  $\sigma[A] \subset A$  for every  $\sigma \in \text{Aut}_F(K)$ . If  $f$  is an approximation, then  $f$  is said to be *stable under*  $\text{Aut}_F(K)$  if  $f(n)$  is stable under  $\text{Aut}_F(K)$  for every  $n \in \omega \cup \{-1\}$ .

The reason for looking at these approximations is stated without proof in the following lemma.

**Lemma 3.1.2.** *Let  $C$  be a chain of approximations that are stable under  $\text{Aut}_F(K)$ . Then the action  $\text{Aut}_F(K) \times K \rightarrow K : (\sigma, x) \mapsto \sigma(x)$  is continuous, where  $\text{Aut}_F(K)$  is given the Krull topology (see [2], p21) and  $K$  the topology induced by  $C$ .*

Again, we omit the simple proof.

**Definition 3.1.3.** Let  $\mathbf{F}_q$  be a finite field, and let  $\alpha \in \overline{\mathbf{F}_q}$ , an algebraic closure of  $\mathbf{F}_q$ . The *degree* of  $\alpha$  is defined by

$$\deg \alpha = [\mathbf{F}_q(\alpha) : \mathbf{F}_q].$$

Note that this is equal to  $\min\{n \in \omega : \alpha \in \mathbf{F}_{q^n}\}$ , see [2], p98.

**Lemma 3.1.4.** *Let  $x_n = \#\{\alpha \in \mathbf{F}_{q^n} : \deg \alpha = n\}$ . Then*

$$\lim_{n \rightarrow \infty} \frac{x_n}{q^n} = 1.$$

*Proof.* Because  $\mathbf{F}_{q^n}$  has, by definition,  $q^n$  elements, we have  $x_n \leq q^n$ . Furthermore,  $\sum_{d|n} x_d = q^n$ . Therefore,

$$\begin{aligned} x_n &= q^n - \sum_{d|n, d < n} x_d \\ &\geq q^n - \sum_{d=1}^{\lfloor \frac{n}{2} \rfloor} q^d \\ &= q^n - \frac{q}{q-1} \left( q^{\lfloor \frac{n}{2} \rfloor} - 1 \right), \end{aligned}$$

from which the lemma follows easily. □

**Lemma 3.1.5.** *Let  $K$  be an infinite algebraic extension of a finite field  $F$ , and  $\mathcal{A}$  the family in  $K$  consisting of all finite subsets of  $K$  stable under  $\text{Aut}_F(K)$ . Given  $\mathcal{A}$ , let  $(\phi_n)_{n \in \omega}$  be a sequence as in Definition 2.1.1, and  $f$  an approximation stable under  $\text{Aut}_F(K)$ , and  $n \in \omega \cup \{-1\}$ . Then there exists  $l \in \omega$  and a finite  $G \subset F[X_1, \dots, X_l]$  such that for every  $A \in \mathcal{A}$ , the following statements are equivalent:*

- *There exists an approximation  $f' \geq f$  such that  $f'$  is stable under  $\text{Aut}_{\mathbf{F}_q}(K)$ ,  $A \subset f'(n)$ , and  $f(m) = f'(m)$  for all  $m > n$  and for  $m = -1$  if  $n \neq -1$ .*
- *For every  $g \in G$ , the polynomial  $g$  has no zeroes in  $A^l$ .*

*Proof.* By Theorem 2.2.1 there exist  $l \in \omega$  and  $G \subset K[X_1, \dots, X_l]$  such that for every  $A \in \mathcal{A}$ :

1. There exists an approximation  $f' \geq f$  such that  $f'$  is stable under  $\text{Aut}_F(K)$ ,  $A \subset f'(n)$ , and  $f(m) = f'(m)$  for all  $m > n$  and for  $m = -1$  if  $n \neq -1$ .
2. Every  $g' \in G'$  has no zeroes in  $A^l$ .

Let  $G = \{g : g \text{ is the product of the conjugates of } g' \text{ for some } g' \in G'\}$ . Then, because  $A$  is closed under  $\text{Aut}_{\mathbf{F}_q}(K)$ , some  $g \in G$  has a zero in  $A^l$  if and only if there is some  $g' \in G'$  with a zero in  $A^l$ ; this proves our lemma.  $\square$

## 3.2 Expanding approximations

**Lemma 3.2.1.** *Let  $t$  and  $n$  be integers greater than or equal to 2, and  $G$  be the directed graph having the set  $\mathbf{Z}/n\mathbf{Z}$  as vertices and  $\{(k, k+1) : k \in \mathbf{Z}/n\mathbf{Z}\}$  as edges, and let  $a_1, \dots, a_t \in \mathbf{Z}/n\mathbf{Z}$ . Then there is a  $k \in A = \{a_1, \dots, a_t\}$  such that the distance in  $G$  from  $k$  to any other point in  $A$  is at most  $\lfloor \frac{t-1}{t}n \rfloor$ .*

*Proof.* Note that for  $a, b \in \mathbf{Z}/n\mathbf{Z}$ , the distance from  $a$  to  $b$  is  $[b-a]$ , where  $[x]$  denotes  $x$  considered modulo  $n$  and taken between 0 and  $n-1$ . Let  $a'_1, a'_2, \dots, a'_t$  be an enumeration of the  $a_i$  in ascending order (from 0 to  $n-1$ ), and  $a'_{t+1} = a'_1$ , and let  $f_i = a'_{i+1} - a'_i$  for  $i \leq t-1$ , and  $f_t = n + a'_1 - a'_t$ . Then the  $f_i$  sum to  $n$ , so there must be some  $m$  such that  $f_m \geq \lceil \frac{n}{t} \rceil$ . For this  $m$  we have  $[a'_1 - a'_{m+1}], \dots, [a'_t - a'_{m+1}] \leq \lfloor \frac{t-1}{t}n \rfloor$ ; to see this, note that  $[a'_j - a'_{m+1}] = n + a'_j - a'_{m+1} \leq n + a'_m - a'_{m+1} \leq \lfloor \frac{t-1}{t}n \rfloor$  for  $j \leq m$ , and for  $j > m$ , it holds that  $[a'_j - a'_{m+1}] = a'_j - a'_{m+1} = \sum_{i=m+1}^j f_i \leq n - f_m \leq \lfloor \frac{t-1}{t}n \rfloor$ , as the  $f_i$  are nonnegative. Hence  $a'_{m+1}$  satisfies the conditions of the lemma.  $\square$

**Theorem 3.2.2.** *Let  $K$  be an infinite algebraic extension of a finite field  $\mathbf{F}_q$ , and let  $f$  be an approximation stable under  $\text{Aut}_{\mathbf{F}_q}(K)$ , and  $m \in \omega \cup \{-1\}$ . Let  $x_n$  be as in lemma 3.1.4, and for  $n \in \mathbf{Z}_{\geq 0}$  such that  $\mathbf{F}_{q^n} \subset K$ , let  $B_n$  be the set of  $\alpha \in K$  such that  $\deg \alpha = n$  and there exists  $f' \in \mathfrak{A}$  stable under  $\text{Aut}_{\mathbf{F}_q}(K)$  such that  $f' \geq f$ ,  $\alpha \in f'(m)$  and  $f'(k) = f(k)$  for  $k > m$  or  $k = -1$  if  $m \neq -1$ . Then  $\lim_{n \rightarrow \infty} \frac{|B_n|}{x_n} = 1$ , where  $n$  ranges over the integers such that  $\mathbf{F}_{q^n} \subset K$ .*

*Proof.* For  $\alpha \in K$ , the set of conjugates of  $\alpha$  is the set  $\{\alpha, \alpha^p, \alpha^{p^2}, \dots, \alpha^{p^{\deg \alpha - 1}}\}$  (see [2], p25). By lemma 3.1.5, there exists a finite set of polynomials  $G \subset \mathbf{F}_q[X_1, \dots, X_l]$  such that there exists an approximation  $f'$  satisfying the above conditions if and only if no  $g \in G$  has any zeroes in  $\{\alpha, \alpha^p, \alpha^{p^2}, \dots, \alpha^{p^{\deg \alpha - 1}}\}^l$ ; let  $k = \prod_{g \in G} g$ . For  $\alpha$  of a fixed degree  $n$ , this implies that such an approximation exists if and only if  $\alpha$  is not a zero of any polynomial of the form  $k(X^{q^{e_1}}, X^{q^{e_2}}, \dots, X^{q^{e_l}})$ , where  $0 \leq e_1, \dots, e_l < n$ . By Lemma 3.2.1, there is an  $e_m$  such that all the values  $[e_i - e_m]$  are lesser than or equal to  $\lfloor \frac{l-1}{l}n \rfloor$ . Now  $\alpha^{e_m}$  is a zero of the polynomial

$$k \left( X^{q^{[e_1 - e_m]}}, X^{q^{[e_2 - e_m]}}, \dots, X^{q^{[e_l - e_m]}} \right) \in \mathbf{F}_q[X].$$

Now  $\alpha$  is a zero of this polynomial as well; hence, for every  $x \in K$  of degree  $n$ , one has  $x \in B_n$  if and only if there are no  $0 \leq e_1, e_2, \dots, e_l \leq \lfloor \frac{l-1}{l}n \rfloor$  such that  $x$  is a zero of  $k(X^{q^{e_1}}, X^{q^{e_2}}, \dots, X^{q^{e_l}})$ .

Because  $k(0, \dots, 0) \neq 0$  by Corollary **2.2.2**, polynomials of this form are not the zero polynomial, and of degree at most  $\deg(k) \cdot \max_i \{q^{e_i}\}$ , so they cannot have more than  $\deg(k) \cdot \max_i \{q^{e_i}\}$  zeroes. This implies that

$$\begin{aligned} |B_n| &\geq q^n - \sum_{e_1=0}^{\lfloor \frac{l-1}{l}n \rfloor} \cdots \sum_{e_l=0}^{\lfloor \frac{l-1}{l}n \rfloor} \deg(k) \cdot \max_i \{q^{e_i}\} \\ &\geq q^n - \left( \lfloor \frac{l-1}{l}n \rfloor + 1 \right)^l \cdot q^{\lfloor \frac{l-1}{l}n \rfloor} \cdot \deg(k). \end{aligned}$$

Hence  $\lim_{n \rightarrow \infty} \frac{|B_n|}{x_n} = \lim_{n \rightarrow \infty} \frac{|B_n|}{q^n} \frac{q^n}{x_n} = 1$ .  $\square$

**Theorem 3.2.3.** *Let  $F$  be a finite field, and let  $K$  be an infinite algebraic extension of  $F$ . Then there exist  $2^{2^{\aleph_0}}$  field topologies on  $K$  such that the action of  $\text{Aut}_F(K)$  on  $K$  is continuous.*

*Proof.* This can be proven similarly to theorem **2.3.5**. Analogously, for every  $l \in {}^{<\omega}2$  we define an approximation  $f^l$  stable under  $\text{Aut}_F(K)$  such that (2.3.2) holds, starting with  $f^0 : \omega \cup \{-1\} \rightarrow [K]^{<\omega}$  defined as in section **2.3**. Because of theorem **3.2.2**, we can expand the approximations. Now we can make topologies, which analogously to theorem **2.3.4** are all different.  $\square$



## Chapter 4

# A field topology with nontrivial subfield topologies

In this section, we refine the methods in section 2.3 to construct a Hausdorff field topology on an algebraic closure of a finite field  $F$  such that for every infinite algebraic extension  $F \subset L$ , the induced topology on  $L$  is not discrete. We start off with some definitions:

**Definition 4.1.1.** Let  $F = \mathbf{F}_q$  be a finite field, and  $\bar{F}$  an algebraic closure of  $F$ . Then we define the following subfields of  $\bar{F}$ , where  $p$  is a prime and  $\mathcal{P}$  an infinite set of primes:

$$\begin{aligned} F_p &= \{x \in \bar{F} : [F(x) : F] \text{ is a power of } p\} \\ F_{\mathcal{P}} &= \{x \in \bar{F} : [F(x) : F] \text{ is squarefree, and its prime divisors are elements of } \mathcal{P}\} \\ F_{<p} &= \{x \in \bar{F} : \text{all primes dividing } [F(x) : F] \text{ are smaller than } p\} \end{aligned}$$

To make this topology, we desire further constraints on  $(\phi_n)_{n \in \omega}$ : for  $n \leq 2k - 3$ ,  $\phi_n$  must be an element of  $\{\zeta, \eta, \theta\} \cup \{\xi_A : A \subset F_{<p_k}, A \text{ finite}\}$  (we use  $\mathcal{A} = [L]^{<\infty}$ ), where  $p_i$  denotes the  $i$ -th prime. Furthermore,  $2^{d(n)}$  must be smaller than  $p_n$ . Also, let  $(q_i)_{i \in \omega}$  be a sequence of primes such that  $q_i \leq p_i$  for all  $i$ , and every prime occurs in  $(q_i)_{i \in \omega}$  an infinite number of times.

**Theorem 4.1.2.** *Let  $F$  be a finite field. Then there exists a field topology on  $\bar{F}$  such that for any infinite subfield  $L \subset \bar{F}$  the induced topology is nontrivial, i.e., neither discrete nor antidiscrete.*

For the proof of this theorem, we need two lemmas, which we will prove later on.

**Lemma 4.1.3.** *Let  $F = \mathbf{F}_q$  be a finite field. Then for any infinite algebraic extension  $F \subset L$ , the field  $L$  must contain a subfield either of the form  $F_p$  for some prime  $p$ , or  $F_{\mathcal{P}}$  for some infinite set of primes  $\mathcal{P}$ .*

**Lemma 4.1.4.** *There exists an increasing sequence of approximations  $(f^n)_{n \in \omega}$  satisfying the following conditions:*

- for every  $k \geq 2$ , the image of  $f^{2k-2}$  is contained in  $F_{<p_k}$ ;
- for every  $k \geq 3$ , the image of  $f^{2k-3}$  is contained in  $F_{<p_k}$ ;
- for every  $n$  and every  $m > n$ , the set  $f^n(m)$  is equal to  $\{0\}$ ;
- for every  $n$ , the set  $f^n(-1)$  is equal to  $\{-1\}$ ;
- for every  $k \geq 1$ , the set  $f^{2k}(2k)$  is of the form  $\{x\}$  for some  $x \in F_{q_k}$ ;
- for every  $k \geq 1$ , the set  $f^{2k-1}(2k-1)$  is of the form  $\{x\}$  for some  $x$  of degree  $p_k$ .



*Proof of Theorem 4.1.1 from 4.1.3 and 4.1.4.* By Lemma 4.1.3, it is sufficient to construct a topology such that the induced topology on every  $F_p$  and  $F_{\mathcal{P}}$  is nontrivial. This is true if and only if 0 is not an isolated point in any of those fields and the topology is not antidiscrete. Take the field topology induced by the sequence  $(f_n)_{n \in \omega}$  of Lemma 4.1.4. As our construction gives neighbourhoods of 0 not containing 1, the topology will not be antidiscrete. For any prime  $p$ , elements of  $F_p$  occur in  $f^{2k}(2k)$  for arbitrarily large  $k$ , so 0 will not be an isolated point in  $F_p$ . Also, for any infinite set of primes  $\mathcal{P}$ , elements of  $F_{\mathcal{P}}$  occur in  $f^{2k-1}(2k-1)$  for arbitrarily large  $k$ , so 0 will not be discrete in  $F_{\mathcal{P}}$ ; hence this topology is nontrivial on any infinite subfield of  $\bar{F}$ .  $\square$

*Proof of Lemma 4.1.3.* Define  $A \subset \mathbf{Z}_{\geq 1}$  as  $A = \{n \in \mathbf{Z}_{\geq 1} : \mathbf{F}_{q^n} \subset L\}$ . Then  $A$  is infinite and  $L = \bigcup_{n \in A} \mathbf{F}_{q^n}$ . Furthermore, if  $m$  and  $n$  are elements of  $A$ , then so are any of their divisors, as well as their least common multiple. This means that  $A$  is defined by the prime powers occurring in it. As  $A$  is infinite, either an unlimited number of primes must occur in  $A$ , or arbitrarily large powers of a certain prime must occur in  $A$ ; so  $L$  either has a subfield of the form  $F_{\mathcal{P}}$  for a certain infinite set of primes  $\mathcal{P}$ , or a subfield of the form  $F_p$  for a certain prime  $p$ .  $\square$

*Proof of Lemma 4.1.4.* We recursively define our approximations by setting  $f^0 = f^\emptyset$  as defined in section 2.3; indeed the image of  $f^0$  is contained  $F_{<2} = F$ . For  $n = 2k$  given an approximation  $f^{2k-1}$  satisfying the conditions in the lemma, we want to choose an approximation  $f^{2k}$  such that:

- the image of  $f^{2k}$  is contained in  $F_{<p_{k+1}}$ ;
- $f^{2k-1} \leq f^{2k}$ ;
- $f^{2k-1}(m) = f^{2k}(m)$  for  $m = -1$  and  $m > 2k$ ;
- $f^{2k}(2k) = \{x\}$  for some  $x \in F_{q_k}$ .

As  $f^{2k-1}(m)$  equals  $\{0\}$  for all  $m > 2k - 1$ , condition 5 from 2.1.2 is implied by condition 1 for  $n \geq 2k - 1$ ; hence for  $n \geq 2k - 1$ , we may assume without loss of generality that  $\phi_n = \xi_0$  for those  $n$ ; as  $\phi_n \in \{\zeta, \eta, \theta\} \cup \{\xi_a : a \in F_{<p_{k+1}}\}$  for  $n < 2k - 1$ , we may assume that  $\phi_n$  is defined within  $F_{<p_{k+1}}$ . As the image of  $f^{2k-1}(m)$  is contained in  $F_{<p_{k+1}}$ , we may apply lemma 2.3.1 with  $K = F_{<p_{k+1}}$ ,  $f = f^{2k-1}$  and  $n = 2k$ . As  $F_{q_k}$  is an infinite subfield of  $F_{<p_{k+1}}$ , there is an  $x \in F_{q_k}$  such that  $f^{2k}$  satisfies the above conditions.

For  $n = 2k - 1$ , given  $f^{2k-2}$  satisfying the conditions in the lemma, we wish to make  $f^{2k-1}$  such that:

- the image of  $f^{2k-1}$  is contained in  $F_{<p_{k+1}}$ ;
- $f^{2k-2} \leq f^{2k-1}$ ;
- $f^{2k-2} \leq f^{2k-1}$ ,  $f^{2k-2}(m) = f^{2k-1}(m)$  for  $m > 2k - 1$ ;
- $f^{2k-1}(2k - 1) = \{x\}$  for some  $x$  of degree  $p_k$ .

To see this is possible, note that, as above, we assume without loss of generality that  $\phi_n$  is defined within  $F_{<p_{k+1}}$ . Then we may apply theorem 2.2.1 for  $K = F_{<p_k}$ ,  $L = F_{<p_{k+1}}$  and  $\mathcal{A} = [L]^{<\infty}$ , to show that there exists a set of polynomials  $G \subset F_{<p}[X_1, \dots, X_l]$  of degree at most  $2^{d(n)}$  such that we can add  $x$  in the manner described above if and only if  $g(x, x, \dots, x) \neq 0$  for all  $g \in G$ . But any  $x \in \mathbf{F}_{q^{p_k}}$  satisfies  $[F_{<p_k}(x) : F_{<p_k}] = p_k > 2^{d(n)}$ , but the degree of any  $g \in G$  is at most  $2^{d(n)}$ , so  $g(x, x, \dots, x) \neq 0$ , and such an approximation exists.  $\square$

# Bibliography

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