

Chapter 2 : positive maps

unital

Def: let A be a C^* -algebra. A subspace $S \subseteq A$ is called an operator system if it is self-adjoint, that is $S^* = S$, $1 \in S$

A linear map $\phi: A \rightarrow B$ between two linear spaces is positive if it maps positive elements to positive elements.

Proposition let A, B be unital C^* -algebras, $S \subseteq A$ an operator system. if $\phi: S \rightarrow B$ is positive, then it is bounded and

$$\|\phi\| \leq 2 \|\phi(1)\|_B$$

Proof if S is an operator system and $h \in S$ self-adjoint.

$$h = \underbrace{\frac{1}{2}(\|h\| \cdot 1 + h)}_{\in S_+} - \underbrace{\frac{1}{2}(\|h\| \cdot 1 - h)}_{\in S_+}$$

$$\Rightarrow \phi(h) = \frac{1}{2} \phi(\|h\| \cdot 1 + h) - \frac{1}{2} \phi(\|h\| \cdot 1 - h)$$

= two positive elements

$$\Rightarrow \|p_1 - p_2\| \leq \max\{\|p_1\|, \|p_2\|\}$$

$$\Rightarrow \|\phi(h)\| \leq \frac{1}{2} \max\{\|\phi(\|h\| \cdot 1 + h)\|, \|\phi(\|h\| \cdot 1 - h)\|\} \\ \leq \|h\| \|\phi(1)\|$$

for a arbitrary, write $a = h + ik$, $\|h\|, \|k\| \leq \|a\|$
 $h = h^*$, $k = k^*$

$$\Rightarrow \|\phi(a)\| \leq \|\phi(h)\| + \|\phi(k)\| \leq 2 \|\phi(1)\| \|a\| \quad \square$$

Example (Arveson): let $\mathbb{T} \subseteq \mathbb{C}$ unit circle, $C(\mathbb{T})$ the C^* -algebra of continuous functions on \mathbb{T} , z the coordinate fct.

$S \subseteq C(\mathbb{T})$ subspace spanned by $1, z, \bar{z}$.

$$\phi(a + bz + c\bar{z}) := \begin{bmatrix} a & 2b \\ 2c & a \end{bmatrix}$$

ϕ is a positive map. Indeed $a \cdot 1 + bz + c\bar{z}$ is positive
 $\Leftrightarrow c = \bar{b}$ & $a \geq 2|b|$

$$\begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix} \in \mathcal{M}_2(\mathbb{C}) \text{ positive} \Leftrightarrow \alpha, \delta \in \mathbb{R}, \alpha\delta - \beta\gamma \geq 0$$

$\Rightarrow \phi$ is a positive map

$$2\|\phi(1)\| = 2 = \|\phi(z)\| \leq \|\phi\| \Rightarrow \|\phi\| = 2\|\phi(1)\|$$

Theorem (2.4)

let B be C^* -algebra, $\phi: C(X) \rightarrow B$ positive.

Then $\|\phi\| = \|\phi(1)\|$

Proof wlog assume $\phi(1) = 1$. let $f \in C(X)$, $\|f\| \leq 1$.

let $\epsilon > 0$ given. choose a finite open covering $\{U_i\}_{i=1}^n$ of X s.t. $|f(x) - f(x_i)| < \epsilon$ for $x \in U_i$.

let p_i be a partition of unity subordinate to \mathcal{U} [eg $\{p_i\}$ non neg $\sum p_i = 1$ $p_i(x) = 0$ $x \notin U_i$]

put $\lambda_i = f(x_i)$.

Note: if $p_i(x) \neq 0 \Rightarrow x \in U_i \Rightarrow |f(x) - \lambda_i| < \epsilon$

$\Rightarrow \forall x \in X$ we have

$$|f(x) - \sum \lambda_i p_i(x)| = |\sum (f(x) - \lambda_i) p_i(x)|$$

$$\leq \sum |f(x) - \lambda_i| p_i(x) < \sum \epsilon p_i(x) = \epsilon$$

no need for $| \cdot |$ because p_i non-negative

because parts of unity

\rightarrow **lemma 2.3** let A be a C^* -alg, $p_i \in A$ positive $\sum p_i = 1$

if λ_i are scalars with $|\lambda_i| < 1$

$$\Rightarrow \left\| \sum_{i=1}^n \lambda_i p_i \right\| \leq 1$$

in our case $\sum |\lambda_i \phi(p_i)| \leq 1$, so that lemma 2.3 implies

$$\|\phi(f)\| \leq \|\phi(f - \sum \lambda_i p_i)\| + \|\sum \lambda_i \phi(p_i)\| < 1 + \epsilon \|\phi\|$$

$$\Rightarrow \|\phi\| \leq 1$$

ϵ arbitrary

□

We now need a classical results from complex analysis:

lemma (Fejér - Riesz)

let $r(e^{i\theta}) = \sum_{n=-N}^N a_n e^{in\theta}$ strictly positive function on \mathbb{T} .

$\Rightarrow \exists$ polynomials $p(z) = \sum_{n=0}^N p_n z^n$ s.t.

$$r(e^{i\theta}) = |p(e^{i\theta})|^2$$

(cf proof of lemma 2.3)

Thus let T be an operator on a Hilbert space \mathcal{H} with $\|T\| \leq 1$

let $\mathcal{S} \subseteq C(\mathbb{T})$ be an operator system defined by

$$\mathcal{S} = \{ p(e^{i\theta}) + \overline{q(e^{i\theta})} : p, q \text{ polynomials} \}$$

The map $\phi: \mathcal{S} \rightarrow B(\mathcal{H})$ defined by $\phi(p + \overline{q}) = p(T) + q(T)^*$ is positive.

Proof enough to prove positivity for strictly positive τ .

if $\tau \geq 0 \Rightarrow \tau + \epsilon \mathbb{1}$ is strictly positive $\forall \epsilon > 0$

$$\Rightarrow \phi(\tau) + \epsilon \mathbb{I} = \phi(\tau + \epsilon \cdot \mathbb{1}) \geq 0 \quad \forall \epsilon$$

$$\phi(\mathbb{1}) = \mathbb{I}$$

so we look at τ strictly positive

$$\Rightarrow \tau(e^{i\theta}) = \sum_{\ell, k=0}^n \alpha_{\ell, k} \overline{\alpha_{\ell, k}} e^{i(\ell-k)\theta}$$

$$\phi(\tau) = \sum_{\ell, k=0}^n \alpha_{\ell, k} \overline{\alpha_{\ell, k}} T(\ell-k)$$

with convention $T(j) = \begin{cases} T^j & j \geq 0 \\ (T^*)^{-j} & j < 0 \end{cases}$

Fix $x \in \mathcal{H}$

$$\langle \phi(\tau)x, x \rangle = \left\langle \begin{bmatrix} 1 & T^* & \dots & T^{*n} \\ T & 1 & & \\ \vdots & T & \ddots & \\ \vdots & & & T^n \\ T^n & \dots & & T \end{bmatrix} \begin{bmatrix} \alpha_{1,x} \\ \vdots \\ \alpha_n,x \end{bmatrix}, \begin{bmatrix} \alpha_{1,x} \\ \vdots \\ \alpha_n,x \end{bmatrix} \right\rangle$$

$\in \mathcal{H}^{(n)} \quad \in \mathcal{H}^{(n)}$

if we can show this matrix operator is positive, we are done

let $R = \begin{bmatrix} 0 & & & 0 \\ T & \ddots & & \\ \vdots & \ddots & \ddots & \\ 0 & & T & 0 \end{bmatrix}$ nilpotent: $R^{n+1} = 0 \quad \|R\| \leq 1$

$$\mathbb{I} + R + R^2 + \dots + R^n + R^{*n} + \dots + (R^*)^n = \underbrace{(\mathbb{I} - R)^{-1} + (\mathbb{I} + R^*)^{-1}}_{\text{because nilpotent}} - \mathbb{I}$$

so we need to check $(\mathbb{I} - R)^{-1} + (\mathbb{I} + R^*)^{-1} - \mathbb{I}$ positive

let $h \in \mathcal{H}^{(n)}$, $h = (\mathbb{I} - R)y$ for $y \in \mathcal{H}^{(n)}$

$$\begin{aligned} \langle (\mathbb{I} - R)^{-1} + (\mathbb{I} + R^*)^{-1} - \mathbb{I} \rangle h, h \rangle &= \langle y, (\mathbb{I} - R)y \rangle + 2 \langle (\mathbb{I} - R)y, y \rangle \\ &\quad - \langle (\mathbb{I} - R)y, (\mathbb{I} - R)y \rangle = \\ &= \|y\|^2 - \|Ry\|^2 \geq 0 \end{aligned}$$

because R contraction \square

Corollary If A is a unital C^* -algebra, $a \in A$ with $\|a\| \leq 1$, then there is a unital positive map $\phi: C(\sigma(a)) \rightarrow A$ with $\phi(1) = 1$ and $\phi(p) = a$.

Corollary Let B, C C^* -algebras with units, $A \subseteq B$ a subalgebra, $S = A + A^*$. If $\phi: S \rightarrow C$ positive, then $\|\phi(a)\| \leq \|\phi(1)\| \|a\| \forall a \in A$.

Corollary (Russo-Dye):

Let A, B be unital C^* -algebras, $\phi: A \rightarrow B$ a positive map.

Then $\|\phi\| = \|\phi(1)\|$.

We conclude by showing how positive maps arise:

Lemma Let A be a C^* -algebra, $S \subseteq A$ an operator system, and $f: S \rightarrow \mathbb{C}$ a linear functional with $f(1) = 1$, $\|f\| = 1$.

If $a \in S$ is a normal element of a , then $f(a)$ lies in the closed convex hull of the spectrum of a .

Proof Recall that the C^0 of a compact set is the intersection of all closed disks containing the set.

Suppose $f(a) \notin C^0(\sigma(a))$. Then $\exists \lambda$ and $r > 0$ s.t. $|f(a) - \lambda| > r$

while $\sigma(a) \subseteq \{z: |z - \lambda| \leq r\} \subseteq \mathbb{C}$

But then $\sigma(a - \lambda 1) \subseteq \{z: |z| \leq r\}$

moreover for a normal $\|a\| = \text{spr}(a) \Rightarrow \|a - \lambda 1\| \leq r$

while $\|f(a) - \lambda\| > r$ $\begin{matrix} \curvearrowright \\ \curvearrowleft \end{matrix}$ with $\|f\| = 1$ \square

Remark: the convex hull of the spectrum of a positive operator $\subseteq \mathbb{R}_+$, so f must be positive.

Proposition: Let S be an operator system, B a unital C^* -algebra $\phi: S \rightarrow B$ a unital contraction. Then ϕ is positive.

Pf: By GNS, we represent B on a Hilbert space $\pi: B \rightarrow B(\mathcal{H})$

let $x \in \mathcal{H}$, $\|x\| = 1$, set $f(a) = \langle \pi(\phi(a))x, x \rangle$

$\Rightarrow f(1) = 1$, $\|f\| \leq \|\phi\|$. By the previous lemma, if a positive,

$f(a)$ positive $\Rightarrow \phi(a)$ positive $\uparrow x$ arbitrary \square

Proposition let A be a unital C^* -algebra, $\mathcal{X} \subseteq A$ subspace with $1 \in \mathcal{X}$.

If B is a unital C^* -algebra $\phi: \mathcal{X} \rightarrow B$ a unital contraction, then

$$\hat{\phi}: \mathcal{X} + \mathcal{X}^* \rightarrow B \quad \text{given by}$$

$$\phi(a + b^*) = \phi(a) + \phi(b)^* \quad \dagger$$

is well-defined & there is a unique positive extension of $\hat{\phi}$ to $M + M^*$

Proof: Suppose $\hat{\phi}$ has a positive extension, then by s-adjointness of positive maps, the extension must satisfy \dagger

To prove well-definedness: $a, a^* \in \mathcal{X} \Rightarrow \phi(a^*) = \phi(a)$

let $S_1 = \{a : a \in M \text{ \& } a^* \in \mathcal{X}\}$

$\Rightarrow S_1$ is an operator system by definition, and since $\hat{\phi}$ is unital + contractive

on $S_1 \Rightarrow \hat{\phi}$ is positive $\Rightarrow \phi$ is self-adjoint on S_1

(Exercise 2.1.)

$\Rightarrow \hat{\phi}$ is well-defined.

To see that $\hat{\phi}$ is positive we represent $B \subseteq B(H)$, define $\hat{\rho}(a) := \langle \hat{\phi}(a)x, x \rangle$

to prove $\hat{\rho}$ positive we use **Hahn-Banach**.

Indeed, let $\rho: M \rightarrow \mathbb{C}$ $\rho(a) = \langle \phi(a)x, x \rangle$, $\|\rho\| = 1$

$\Rightarrow \rho$ extends to $\rho_1: M + \mathcal{X}^* \rightarrow \mathbb{C}$ $\|\rho_1\| = 1$

HB

$\Rightarrow \rho_1$ positive, so $\rho_1(a + b^*) = \rho_1(a) + \overline{\rho_1(b)} = \hat{\rho}(a + b^*)$

$\Rightarrow \hat{\rho}$ positive